

Maximum size of a set of integers with no two adding up to a square

Simao Herdade, Rutgers University
Ayman Khalfalah, Rutgers University
Endre Szemerédi, Rényi Institute

January 5, 2016

Erdős and Sárközy asked in 1981:

What is the maximum cardinality of a subset of the first N integers with the property (NS) that no two elements add up to a perfect square?

Natural candidate

$$S = \{x \in \{1, \dots, N\}, x \equiv 1 \pmod{3}\}?$$

Massias (1982) found a slightly bigger set with size $11N/32$.

Namely,

$$S = \{x \in \{1, \dots, N\}, x \equiv 1 \pmod{4} \text{ or } x \equiv 14, 26, 30 \pmod{32}\}$$

or

$$S' = \{x \in \{1, \dots, N\}, x \equiv 1 \pmod{4} \text{ or } x \equiv 10, 14, 30 \pmod{32}\}.$$

In a remarkable work, J. Lagarias, M. Odlyzko and J. Shearer showed that over a finite cyclic group Z_N one cannot find more than $11N/32$ residue classes with the sum of any two a quadratic nonresidue.

In particular they showed that if our set S consists of residue classes for a fixed modulus then $|S| \leq 11N/32$.

In a subsequent paper they proved the first nontrivial upper bound of $0.475N$. ($0.5N$ is trivial)

Using the beautiful result of Lagarias et al., A. Khalfalah, S. Lodha and E. Szemerédi proved that for any $\delta > 0$ and sufficiently large N every subset of $1, \dots, N$ having at least $(11/32 + \delta)N$ elements contains two elements that add up to a perfect square.

Based on the previous work by Lagarias, Odlyzko, Shearer, Khalfalah and Lodha, **Herdade, Khalfalah and Szemerédi** proved that

$$|S| \leq 11N/32$$

for large N .

They also proved “stability” results in both of the modular case (\mathbb{Z}_N) and for the case of integers. Maybe this is the more important contribution.

“Stability results” briefly

1., The only “large” sets S (that is, $|S| = 11N/32$) are the examples of Massias.

2., If M is one of the examples of Massias and

$$|S \Delta M| \geq \delta N,$$

then

$$|S| \leq \left(\frac{11}{32} - \epsilon(\delta) \right) N$$

is enough.

We could formulate the “stability results” in \mathbb{Z}_N , too.

We will sketch only the methods in our presentation.

- 1., We present the solution to the problem in finite cyclic groups (the results of **Lagarias, Odlyzko and Shearer**, no originality is claimed).
- 2., We characterize the subset of a finite cyclic group that achieves maximum size (the two examples of Massias).
- 3., We explain the argument which reduces the study of a subset of the first N integers to its distribution among residue classes modulo some fixed integer q . An almost tight bound $(\frac{11}{32} + \delta) N$ is obtained using the result of **Lagarias, Odlyzko and Shearer** for cyclic groups (**Khalfalah, Lodha, Sz.**).

4., We show that the previous argument in 3. can be extended to a set of integers of size at least $(\frac{11}{32} - \delta) N$ and sufficiently different from the examples of Massias.

5., We prove that any subset of the first N integers of size at least $\frac{11}{32} N$ which is similar to one of the examples of Massias must have two elements that add to a perfect square.

Our main results can be found in 4. and 5.

We introduce a graph Q_N with $V(Q_N) = \mathbb{Z}_N$, and $xy \in \mathbb{Z}_N \times \mathbb{Z}_N$ is an edge if and only if $x + y \equiv t^2 \pmod{N}$ for some $t \in \mathbb{Z}_N$.

A set $S \subset \mathbb{Z}_N$ has property **SR** if there are no x, y, t such that

$$x + y \equiv t^2 \pmod{N}.$$

Obviously finding the maximum size of subset of \mathbb{Z}_N with property SR is the same as finding the maximum size of independent sets ($\alpha(Q_N)$) in Q_N . Let

$$i(N) = \frac{\alpha(Q_N)}{N},$$

the *independence ratio*. For example, **Lagarias et al.** proved that $i(N) \leq 11/32$.

We define the product of two graphs G and H to be the graph $G \times H$ with vertex set $V(G) \times V(H)$ and $((x, y)(w, z))$ is an edge of $G \times H$ if and only if $(x, w) \in E(G)$ and $(y, z) \in E(H)$.

Easy lemma: Let

$$N = p_1^{\alpha_1} \cdots p_j^{\alpha_j}$$

then

$$Q_N = Q_{p_1^{\alpha_1}} \times \cdots \times Q_{p_j^{\alpha_j}}.$$

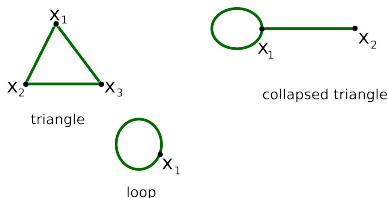
$(z \in V(Q_N), z_1, z_2, \dots, z_j$

$z \equiv z_k \pmod{p_k^{\alpha_k}}$

$i + j \equiv z^2 \pmod{N}$ if and only if $z_k^2 \equiv i + j \pmod{p_k^{\alpha_k}}$)

Chinese Remainder Theorem)

$$Q_{2^n} \times Q_m, i(Q_{2^n} \times Q_m) \leq 11/32$$



Difficult theorem of **Lagarias, Odlyzko and Shearer**: Let N be odd. Then Q_N has a D -uniform covering consisting entirely of triangles, collapsed triangles and loops, for some D depending on N .

Using very elementary facts from linear programming we get $i(Q_N) \leq i(Q_{2^j} \times T)$ where $N = 2^j m$, m is odd, and T is a triangle.

We have to show that

$$i(Q_N \times T) \leq 11/32.$$

Definitions: Let T be a triangle with vertex set $\{a, b, c\}$.

$V(Q_N \times T) = \bigcup_{i=0}^7 V_i$ where

$V_i = \{(x, t) : x \equiv i \pmod{8}, t = a, b, c\}$

I is the independent set of $Q_N \times T$

$$\alpha_i = \frac{|I \cap V_i|}{|V_i|}$$

Our goal is to show that $\sum_{i=0}^7 \alpha_i \leq 2 + 3/7$ ($8 \cdot \frac{11}{32}$).

Observations

(1.)

$$\alpha_0 = 0 \text{ OR } \alpha_1 = 0 \text{ OR } (\alpha_0 \leq 1/3 \text{ AND } \alpha_1 \leq 1/3)$$

$$\alpha_2 = 0 \text{ OR } \alpha_7 = 0 \text{ OR } (\alpha_2 \leq 1/3 \text{ AND } \alpha_7 \leq 1/3)$$

$$\alpha_3 = 0 \text{ OR } \alpha_6 = 0 \text{ OR } (\alpha_3 \leq 1/3 \text{ AND } \alpha_6 \leq 1/3)$$

$$\alpha_4 = 0 \text{ OR } \alpha_5 = 0 \text{ OR } (\alpha_4 \leq 1/3 \text{ AND } \alpha_5 \leq 1/3)$$

(2.) $\alpha_0 + \alpha_4 \leq 11/16$

(3.)

$$\alpha_1 + \alpha_7 \leq 1$$

$$\alpha_3 + \alpha_5 \leq 1$$

$$\alpha_1 + \alpha_3 \leq 1$$

$$\alpha_5 + \alpha_7 \leq 1$$

Observations, cont'd

(4.) $2\alpha_6 \leq 1$

(5.) $2\alpha_2 + \alpha_6 \leq 1$

From these observations with some case analysis we can get the required result.

Characterization of extremal sets

$$M_{10} = \{x \in \mathbb{Z}_2^n \mid x \equiv 1 \pmod{4} \text{ or } x \equiv 14, 10, 30 \pmod{32}\},$$

$$M_{26} = \{x \in \mathbb{Z}_2^n \mid x \equiv 1 \pmod{4} \text{ or } x \equiv 14, 26, 30 \pmod{32}\}.$$

After case analysis $|S| = 2 + 3/7$ occurs only if

$$\alpha_0 = 0, \alpha_1 = 1, \alpha_2 = 1/4, \alpha_3 = 0, \alpha_4 = 0, \alpha_5 = 1, \\ \alpha_6 = 1/2, \alpha_7 = 0.$$

Before completing the characterization of the extremal sets we state a proposition:

If $|S| \geq 2 + 3/7 - \delta$ for some $\delta \in [0, 1/16)$ we have

$$\alpha_0 = 0, \alpha_1 \geq 1 - \delta, 1/4 - \delta \leq \alpha_2 \leq 1/4 + \delta, \alpha_3 = 0, \alpha_4 = 0, \\ \alpha_5 \geq 1 - \delta, 1/2 \geq \alpha_6 \geq 1/2 - \delta, \alpha_7 \leq 2\delta.$$

For the characterization we have to partition $Q_{2^n} \times T$ into 32 classes: U_0, U_1, \dots, U_{31} , where

$$U_i = \{(x, t) \mid x \equiv i \pmod{32}, t = a, b, c\}.$$

Let

$$\alpha_i^* = \frac{|I \cap U_i|}{|U_i|}.$$

$$V_2 = U_2 \cup U_{10} \cup U_{18} \cup U_{26}$$

$$V_6 = U_6 \cup U_{14} \cup U_{22} \cup U_{30}$$

We know that $\alpha_2 = 1/4$, $\alpha_6 = 1/2$. This means

$$\alpha_2^* + \alpha_{10}^* + \alpha_{18}^* + \alpha_{26}^* = 1$$

and

$$\alpha_6^* + \alpha_{14}^* + \alpha_{22}^* + \alpha_{30}^* = 2.$$

Case analysis gives EITHER

$$\alpha_{10}^* = 1, \alpha_{26}^* = \alpha_2^* = \alpha_{18}^* = 0$$

OR

$$\alpha_{10}^* = \alpha_2^* = \alpha_{18}^* = 0, \alpha_{26}^* = 1$$

.

In addition

$$\alpha_{30}^* = \alpha_{14}^* = 1, \alpha_6^* = \alpha_{22}^* = 0.$$

If $|S| \geq 2 + 3/7 - \delta$ for some $\delta \in [0, 1/16)$, then we can derive inequalities for the values of α_j^* , $0 \leq j \leq 31$.

If for some independent set $A \subset V(Q_{2^n} \times T)$ we have

$$|A \Delta M_{10} \times T| \geq \delta 2^3 \cdot 3,$$

$$|A \Delta M_{26} \times T| \geq \delta 2^n \cdot 3$$

then obviously for this A , $\alpha_j^*(A)$ for some j will differ from α_j^* by at least $\delta/16$ so we can conclude that $|A| \geq 11/32 - \delta/100$.

This exactly is a stability theorem for $Q_{2^n} \times T$.

From $Q_{2^n} \times T$ back to $Q_{2^n m}$

Theorem: Let S be a subset of $Q_{2^n} \times Q_m$ with no two elements adding to a perfect square residue and $|S| = 11 \cdot 2^n m / 32$. Then either $S = M_{10} \times Q_m$ or $S = M_{26} \times Q_m$.

Proof:

$$A = \{x \in Q_m \mid M_{10} \times \{x\} \subset S\}$$

$$\bar{A} = \{y \in Q_m \mid M_{26} \times \{y\} \subset S\}$$

Observe that no two elements $a \in A$, $b \in \bar{A}$ can be adjacent in Q_m (otherwise $((i, a), (j, b))$ would be an edge in $Q_{2^n} \times Q_m$ between two elements of S for every $i \equiv 10 \pmod{32}$, $j \equiv 26 \pmod{32}$).

But we will show that it is not possible to partition the vertices of Q_m into two sets with no edges between them.

$a \in A$, $b \in \bar{A}$, $t \in \mathbb{Z}_m$, of course there is an edge between A and \bar{A} provided that $\nu > 0$.

Sketch of the proof:

$$e(x) = e^{2i\pi x}$$

Let $f_A(\alpha) = \sum_{a \in A} e(a\alpha)$, and $f_{\bar{A}}(\alpha) = \sum_{a \in \bar{A}} e(a\alpha)$ and $f_{SQ}(\alpha) = \sum_{z=0}^{m-1} e(z^2\alpha)$. Then

$$\nu = \frac{1}{m} \sum_{t=0}^{m-1} f_A(t/m) f_{\bar{A}}(t/m) f_{SQ}(-t/m)$$

We divide the above sum into the sum of

$$(1) = \frac{1}{m} f_A(0) f_{\bar{A}}(0) f_{SQ}(0)$$

and

$$(2) = \frac{1}{m} \sum_{t \neq 0} f_A(t/m) f_{\bar{A}}(t/m) f_{SQ}(-t/m).$$

(1) is $|A| \cdot |\bar{A}|$ using Gauss sum, Parseval's identity and some standard computation we can show that $(2) \leq \frac{1}{\sqrt{2}} |A| \cdot |\bar{A}|$.

Assume S is a subset of $\{1, \dots, N\}$ with size $(11/32 - \delta)N$ such that

$$|S \Delta M_{10}| \geq 10\delta N$$

and

$$|S \Delta M_{26}| \geq 10\delta N.$$

Theorem: There are $x, y \in S$ such that $x + y = z^2$.

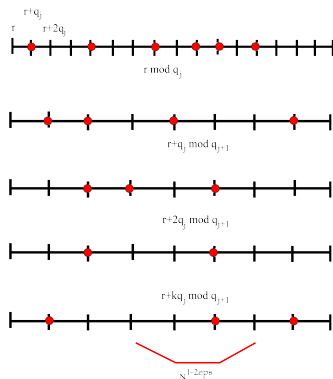
Proof: Let $q_i = m_i!$ and $q_{i+1} = m_{i+1}!$ where $m_{i+1} > 2^{m_i}$ for every i . Easy to see that there is a $j \leq \delta^{-5}$ such that the residue classes of $|S| \bmod q_{j+1}$ are well distributed among the residue classes of $|S| \bmod q_i$ ($i \leq \delta^{-5}$).

Definition:

$$\varepsilon_{i,j} = \frac{|\{s \in S \mid s \equiv j \pmod{q_i}\}|}{N/q_i}$$

“Well distributed”: $\varepsilon_{i+1,j+kq_i} \approx \varepsilon_{i,j}$, $0 \leq k \leq q_{i+1}/q_i$

Incrementing Method



We can assume that there are at least $(11/32 + \delta/100)q_i$ residue classes such that they contain at least $\delta N/(2q_i)$ elements of S .

Then from the result of **Lagarias, Odlyzko and Shearer** we can conclude that there are residue classes, say, x, y, z^2 such that $x + y \equiv (\text{ mod } q_i)$.

If $M = \prod_{i=1}^j q_i$ and $M^* = q_{i+1}$ then by the “shifting” argument we can conclude that the number of solutions of

$$x + y = z^2$$

$$x \in S, y \in S + jM, 1 \leq j \leq N^{1-2\epsilon}$$

is at least $10N\sqrt{N}/\sqrt{P}$, where P is the largest prime divisor of M .

On the other hand

$$\frac{1}{N} \sum_{t=1}^N f_S(t/N) f_{S+jM}(t/N) f_{SQ}(-t/N) \approx$$

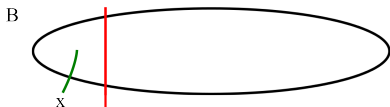
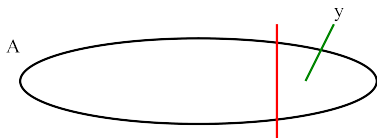
$$\frac{1}{N} \sum_{t=1}^N f_S(t/N) f_S(t/N) f_{SQ}(-t/N)$$

(recall, that $f_{SQ}(\alpha) = \sum_{1 \leq z^2 \leq N} e(z^2 \alpha)$).

So from the analytical expression we get that the number of solutions is $\leq N^{3/2}/(100\sqrt{P})$, a contradiction.

Let $S \subset \{1, \dots, N\}$ be a set such that $|S| = 11N/32$ and

$$|S \Delta M_{10}| < \varepsilon N.$$



$$x \in S, y \notin S, x+w^2 = y, y \in Y, y+w^2 \notin A \setminus Y, x+w^2 = y+jM^*$$

Assume further that

$$a \equiv j \pmod{32}, j \in M_{10}^*,$$

$$b \equiv K \pmod{32}, K \notin M_{10}^*, j + K \equiv t^2 \pmod{32}.$$

Then the number of solutions for a fixed $y \in A$ is about

$$N^{1-2\varepsilon}/\sqrt{N},$$

the total number of solutions is

$$\frac{|X|N^{1-2\varepsilon}}{\sqrt{N}\sqrt{P}},$$

but it should be

$$\frac{|X| \cdot |Y| \cdot N^{1-2\varepsilon}}{\sqrt{N}\sqrt{P}}.$$