

# Combinatorial and Additive Number Theory 2016

## Additive combinatorics methods in associative algebras

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# Credits

Thanks to the organizers

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# Kneser's and Diderrich's Theorems

**Theorem** Let  $G$  be a group and  $A, B$  finite non empty subsets of  $G$ . Assume  $A$  is a commutative subset of  $G$  (that is to say  $aa' = a'a$  for every  $a, a'$  in  $A$ ) and let  $H := \{g \in G, gAB = AB\}$ . We have

$$|AB| \geq |A| + |B| - |H|.$$

## Main idea of the proof

Dyson e-transform : fix  $e \in B$  and let  $A' = A \cap Be^{-1}$  and  $B' = Ae \cup B$ . We have  $A'B' \subset AB$  and  $|A'| + |B'| = |A| + |B|$ .

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Is it possible to replace groups by more complex algebraic structures (fields, associative algebras over a field) ?

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In the rest of the talk,  $k$  will be an infinite field



## Field extensions

**Theorem – X.D.Hou, K.H.Leung, Q.Xiang (2002)-Kainrath (2005).** Let  $K$  be a field extension of  $k$ . Assume every algebraic element in  $K$  is separable over  $k$ . Let  $A$  and  $B$  be two nonempty finite subsets of  $K^*$ . Then

$$\dim_k(AB) \geq \dim_k(A) + \dim_k(B) - \dim_k(H) \quad (1)$$

where  $H := \{h \in K \mid h\langle AB \rangle_{k-vs} \subseteq \langle AB \rangle_{k-vs}\}$  and  $\dim_k(C)$  stands for  $\dim_k(\langle C \rangle_{k-vs})$  for every finite subset  $C$  of  $K$ .

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## Main ideas of the proof

Dyson  $e$ -transform, Vandermonde determinant, pigeonhole principle

# Applications

**Theorem – Kneser's theorem.** Let  $G$  be an abelian group and  $A, B$  finite non empty subsets of  $G$ , and  $H := \{g \in G, gAB = AB\}$ . We have

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## Main ideas of the proof

Realize  $G = \langle A, B \rangle_{gr}$  as the Galois group of a field extension (of field of fractions)  $k \hookrightarrow K$ , define a map from  $G$  to  $K$  and use Galois correspondence theorem.

## The algebra case

**Question** : Can we replace  $K$  by an associative algebra  $\mathcal{A}$  in Hou, Leung and Xiang's or Kainrath's theorem ?

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More precisely, we assume that  $\mathcal{A}$  is of either one of the following type **finite dimensional algebras**, **Banach algebras** or **finite products of field extensions of  $k$** .

In particular, for all  $a \in \mathcal{A}$  there exists  $\lambda \in k$  such that  $a - \lambda 1$  is invertible in  $\mathcal{A}$ .

# Diderrich's theorem for algebras

**Theorem – B., Lecouvey (2015).** Assume  $\mathcal{A}$  is isomorphic to a subalgebra of an algebra of the three preceding types.

Assume  $A$  and  $B$  to be two finite nonempty subsets of  $\mathcal{A}$  such that  $\langle A \rangle_{k-vs.} \cap U(\mathcal{A}) \neq \emptyset$  and  $\langle B \rangle_{k-vs.} \cap U(\mathcal{A}) \neq \emptyset$ .

Assume that  $A$  is commutative and  $\mathbb{A}(A)$  admits a finite number of finite-dimensional subalgebras.

Let  $\mathcal{H} := \{x \in \mathcal{A}, x \langle AB \rangle_{k-vs} \subset \langle AB \rangle_{k-vs}\}$ .

We have

$$\dim_k(AB) \geq \dim_k(A) + \dim_k(B) - \dim(\mathcal{H})$$

## Sketch of proof of the theorem

**Lemma** Assume  $\mathcal{A}$  is of one of the three preceding types.

Let  $A$  and  $B$  be two finite subsets of  $\mathcal{A}$  such that  $A$  is commutative,  $\langle A \rangle_{k-vs} \cap U(\mathcal{A}) \neq \emptyset$  and  $\langle B \rangle_{k-vs} \cap U(\mathcal{A}) \neq \emptyset$ .

Then, for each  $a \in \langle A \rangle_{k-vs} \cap U(\mathcal{A})$ , there exists a (commutative) finite-dimensional subalgebra  $\mathcal{A}_a$  of  $\mathcal{A}$  such that  $\mathcal{A}_a \subseteq \mathbb{A}(A)$  and a vector space  $V_a$  contained in  $\langle AB \rangle_{k-vs}$  such that  $V_a \cap U(\mathcal{A}) \neq \emptyset$ ,  $\mathcal{A}_a V_a = V_a$ ,  $\langle aB \rangle_{k-vs} \subseteq V_a$  and

$$\dim_k(V_a) + \dim_k(\mathcal{A}_a) \geq \dim_k(A) + \dim_k(B).$$

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$$\dim_k(V_a) + \dim_k(\mathcal{A}_a) \geq \dim_k(A) + \dim_k(B).$$

**Proof of Lemma** e-Dyson transform, recursion on dimension of  $\langle A \rangle_{k-vs}$ ; the type of  $\mathcal{A}$  ensures us that at each step there are enough invertible elements in  $\langle A \rangle_{k-vs}$ .

## Sketch of proof of the theorem

Let  $(x_1, \dots, x_n)$  be a basis of  $\langle A \rangle_{k-vs}$  with  $x_1$  invertible.

For any  $\alpha \in k$ , set  $x_\alpha = x_1 + \alpha x_2 + \dots + \alpha^{n-1} x_n$ .

For each  $\alpha$  such that  $x_\alpha$  is invertible (there exists an infinity of such  $\alpha$ ), there exists a finite-dimensional subalgebra  $\mathcal{A}_\alpha$  and a subspace  $V_\alpha$  such in the preceding lemma.

Since  $\mathbb{A}(A)$  has only a finite number of subalgebras, there exists  $n$  distinct elements of  $k$ ,  $\alpha_1, \dots, \alpha_n$  such that

$\mathcal{B} := \mathcal{A}_{\alpha_1} = \dots = \mathcal{A}_{\alpha_n}$ . Moreover  $(x_{\alpha_1}, \dots, x_{\alpha_n})$  is a basis of  $\langle A \rangle_{k-vs}$ .

We have  $\mathcal{B} \subset \mathcal{H}$ .

## A first example

**Example** Let  $\mathcal{C}$  the Banach algebra of continuous functions from  $[0, 1]$  into  $\mathbb{R}$ . Let  $V$  and  $W$  be finite dimensional subspaces containing a positive function. We have

$$\dim_{\mathbb{R}}(VW) \geq \dim_{\mathbb{R}}(V) + \dim_{\mathbb{R}}(W) - 1.$$



## Back to Kneser-Diderrich's theorem for groups

Let  $G$  be a group and  $A, B$  finite non empty subsets of  $G$ . Assume  $A$  is a commutative subset of  $G$  and let  $H := \{g \in G, gAB = AB\}$ .

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**Going to algebras.** We consider  $\mathcal{A} = \mathbb{C}[G]$ . This is a subalgebra of a Banach algebra.

The algebra  $\mathbb{A}(A) \stackrel{\mathbb{C}\text{-alg.}}{\simeq} \mathbb{C}[X_1^{\pm 1}, \dots, X_n^{\pm 1}]^r$  has only a finite number of finite dimensional subalgebras.

The stabilizer of  $\langle AB \rangle_{\mathbb{C}\text{-vs}}$  in  $\mathbb{C}[G]$  is  $\mathbb{C}[H]$ .

Diderrich's theorem in  $\mathbb{C}[G]$  gives Diderich's theorem for  $G$ .