

Nonzero density on the lattice

Dénes Sexty

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12-13.05.2022. DK-PI Retreat

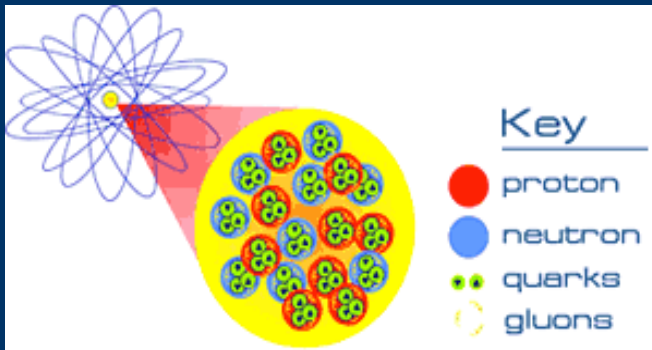
1. Lattice QCD – nonzero density in lattice QCD – sign problem
 2. Reweighting – Taylor expansion – imaginary μ
 3. Complex Langevin and Lefschetz thimbles
-
-

From the action to the phenomenology of QCD

Action of QCD, the theory of strong interactions

$$S = -\frac{1}{4} \text{Tr} F_{\mu\nu} F^{\mu\nu} + \sum_1^6 \bar{\psi}_f (i \gamma^\mu D_\mu + m_f) \psi_f$$

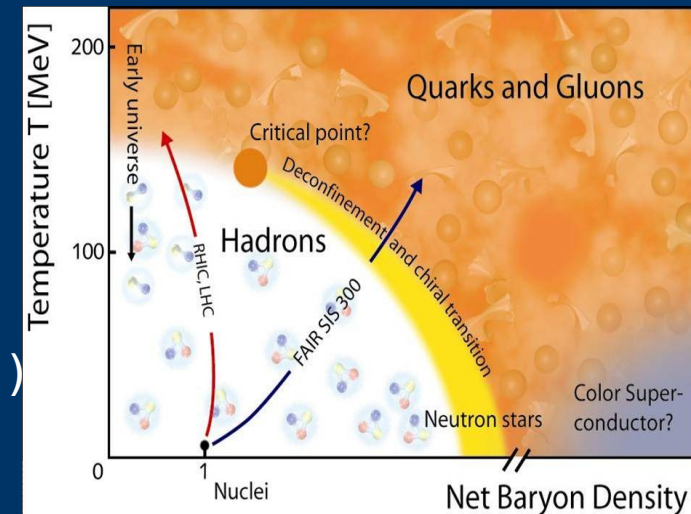
1 gauge coupling
6 quark masses



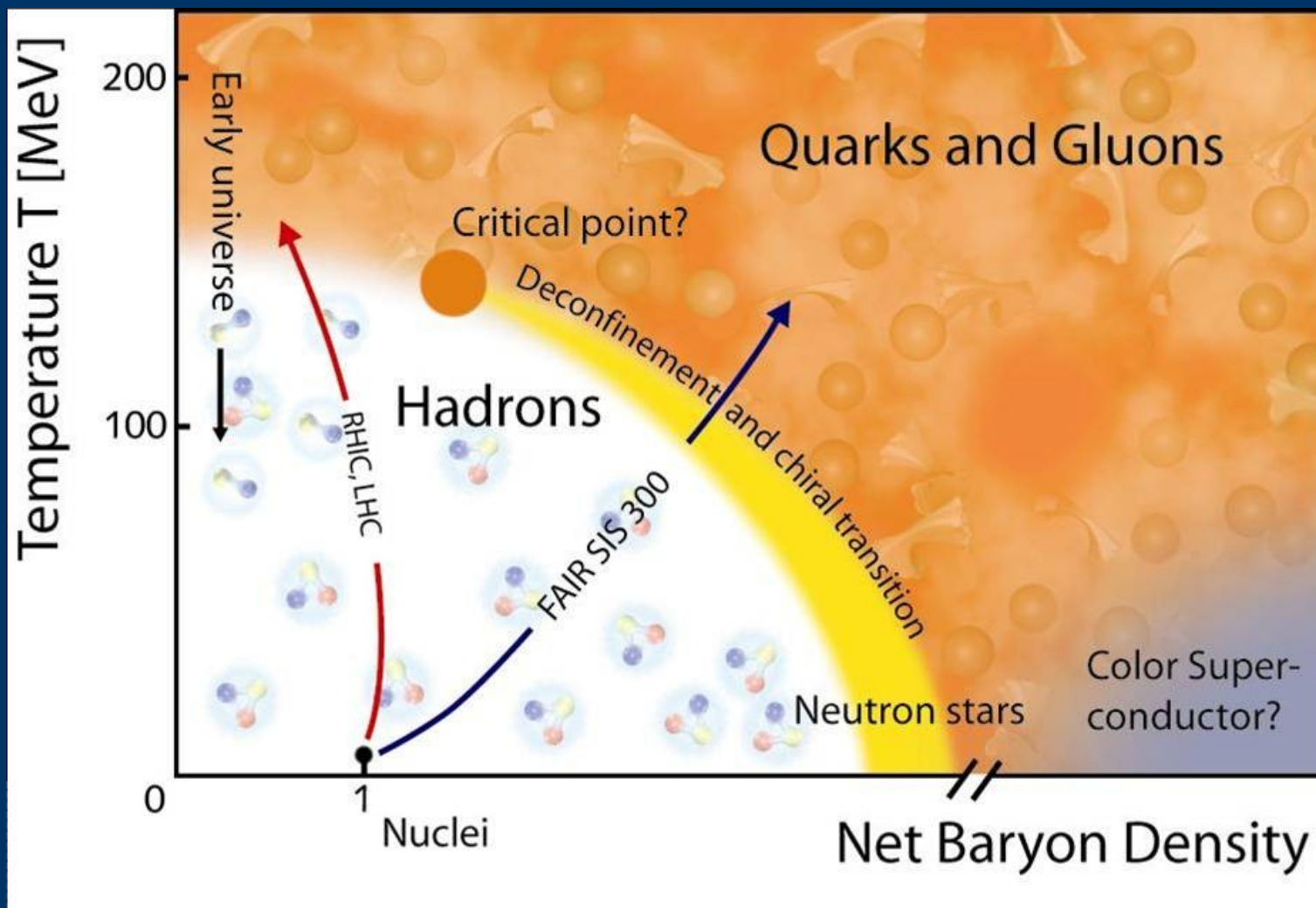
- Confinement mechanism?
- Mass of hadrons?
- Scattering cross sections?
- Phases transition to Quark-gluon plasma?
 - Critical point at nonzero density?
- Equation of state?
- Compressibility of quark matter? (in neutron stars)
- Exotic phases:
 - Color superconducting phases?
 - Quarkyonic phase?
 - QCD in magnetic fields?
 - and so on

How?

- Perturbation theory - asymptotic freedom
- Kinetic theory
- Effective models (NJL, Polyakov-NJL, SU(3) spin model, ...)
- Functional methods (FRG, 2PI,3PI, Dyson-Schwinger eq.)
- Lattice

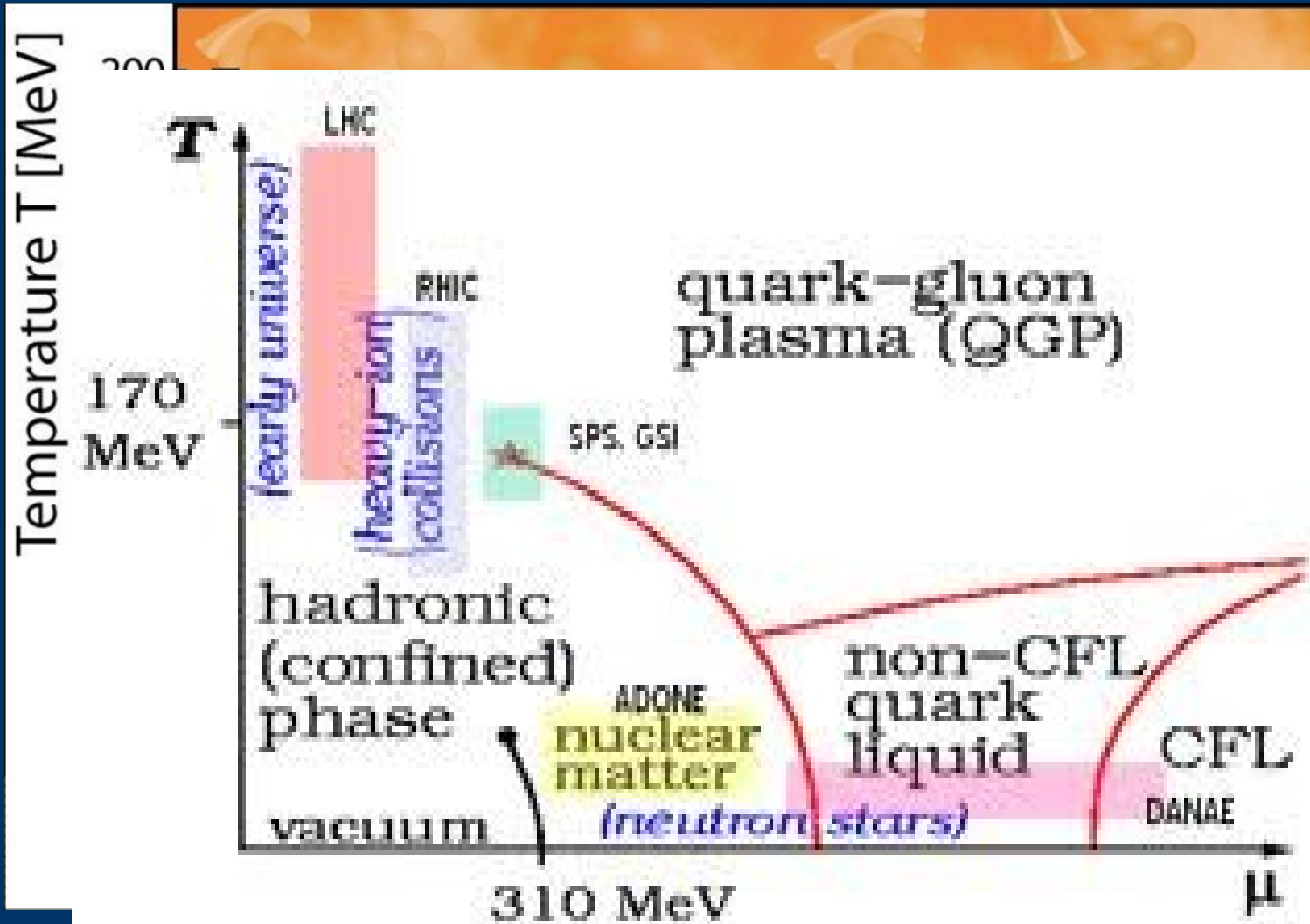


Phase diagram of QCD



For these lectures we focus on **thermodynamics at nonzero density**

Phase diagram of QCD

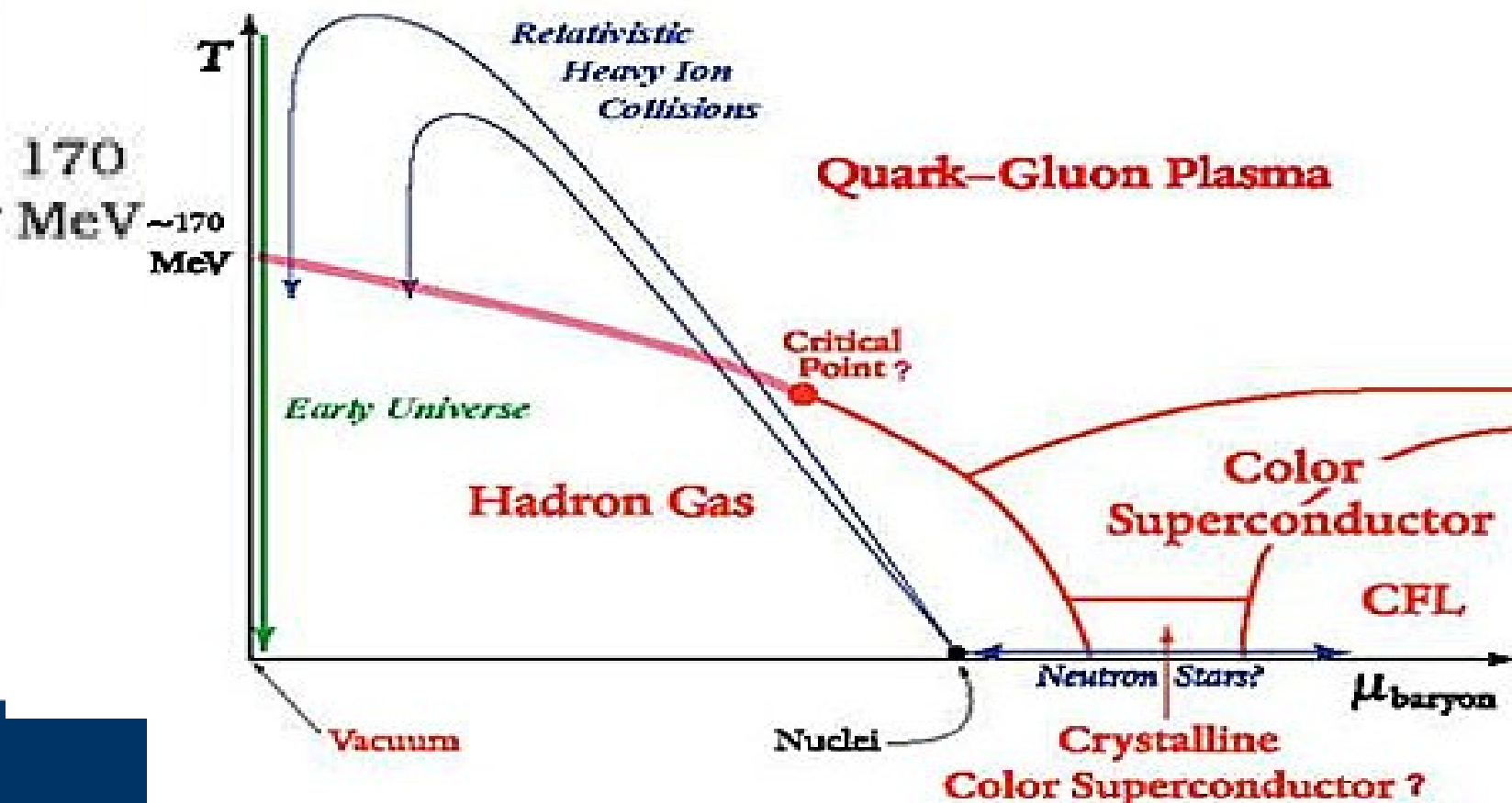


Phase diagram of QCD

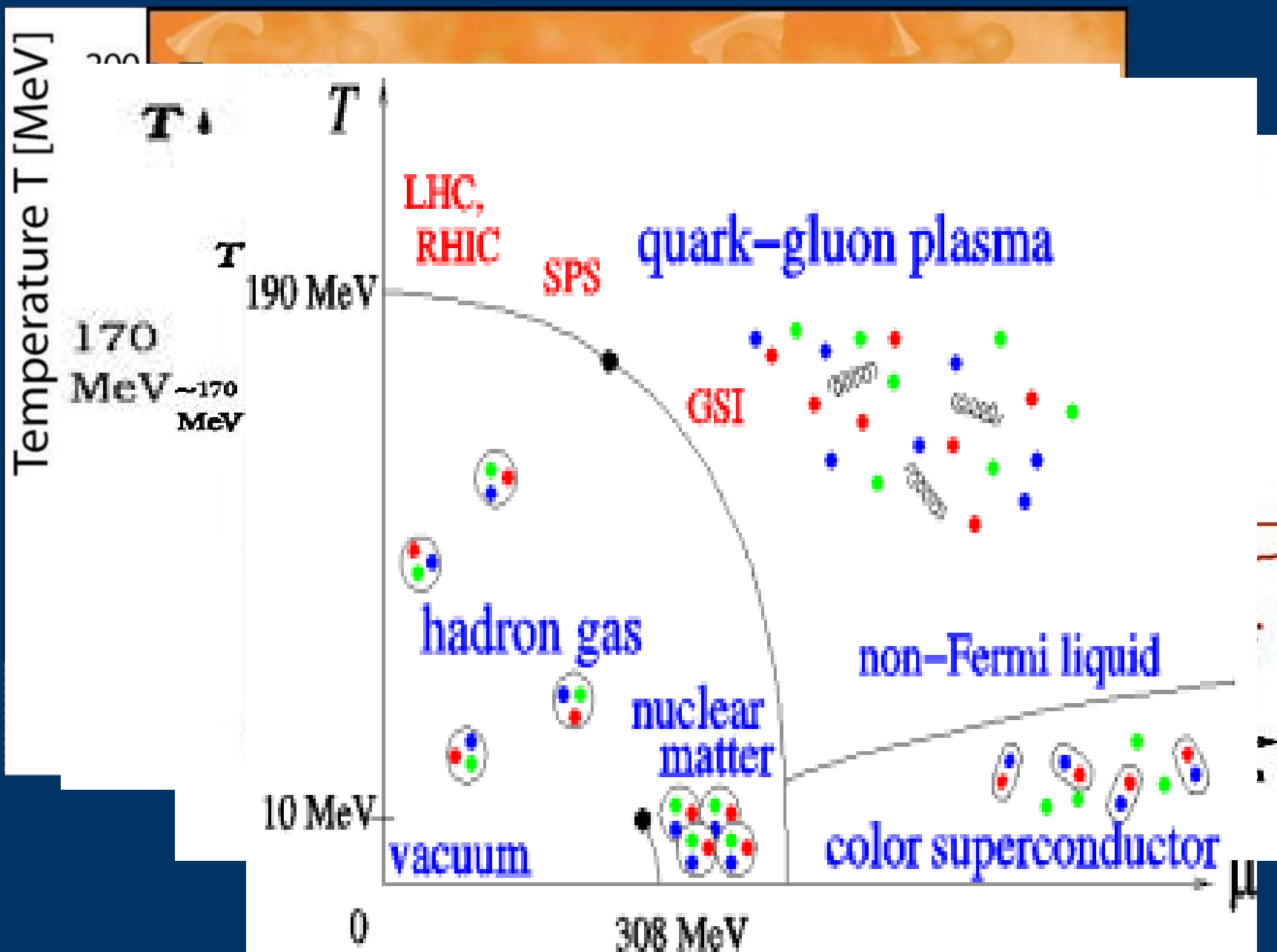
Temperature T [MeV]

LHC

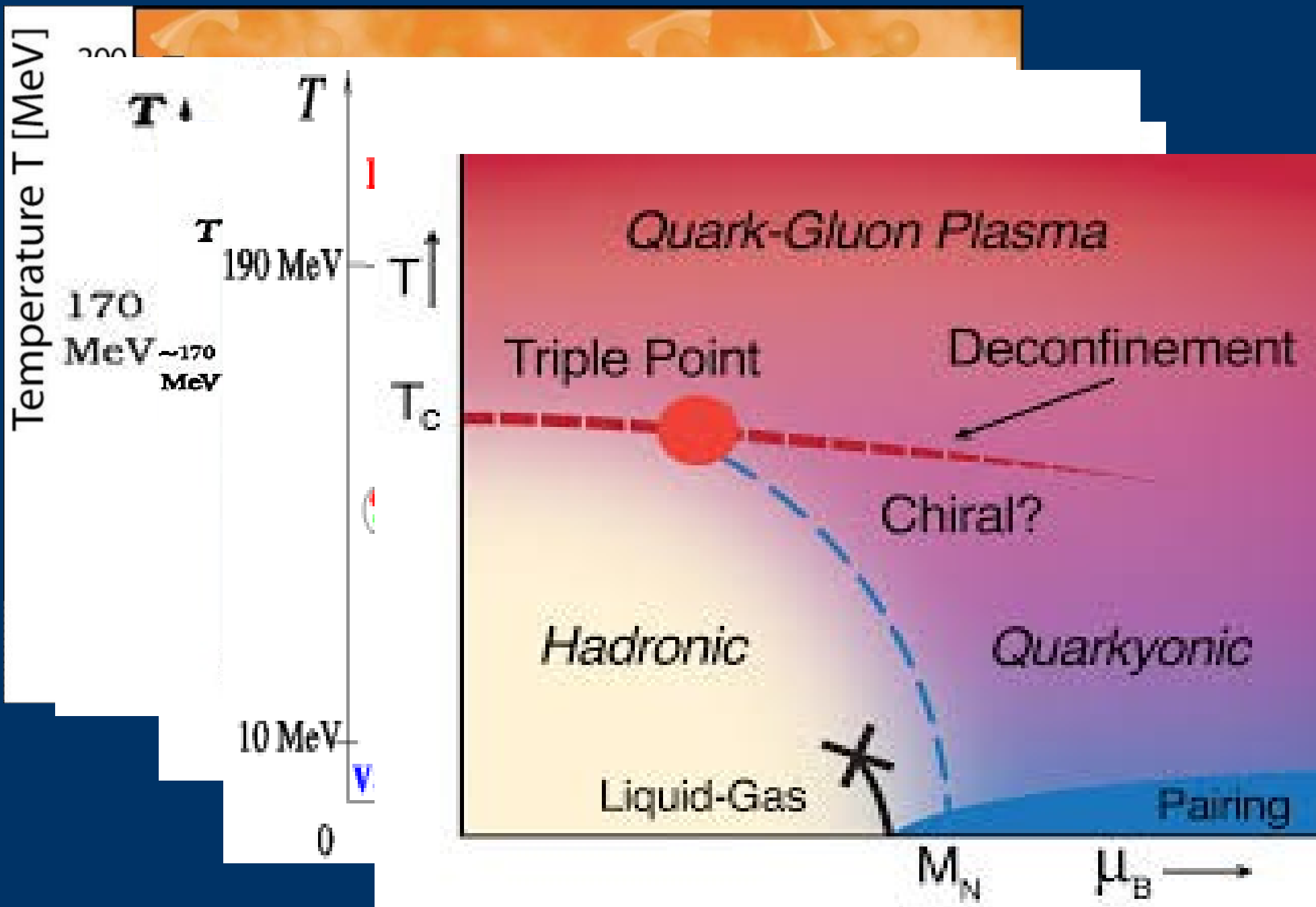
EXPLORING the PHASES of QCD



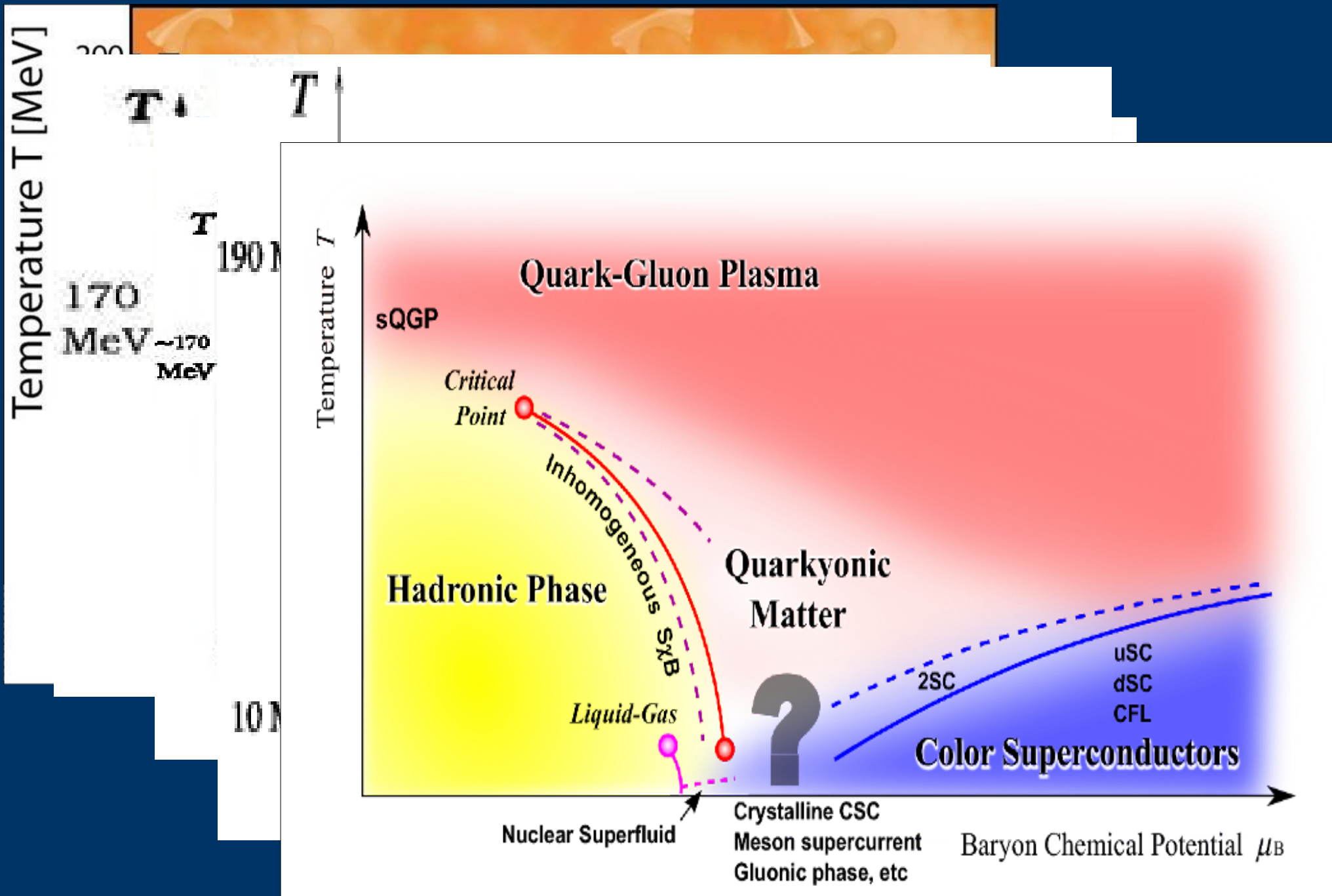
Phase diagram of QCD



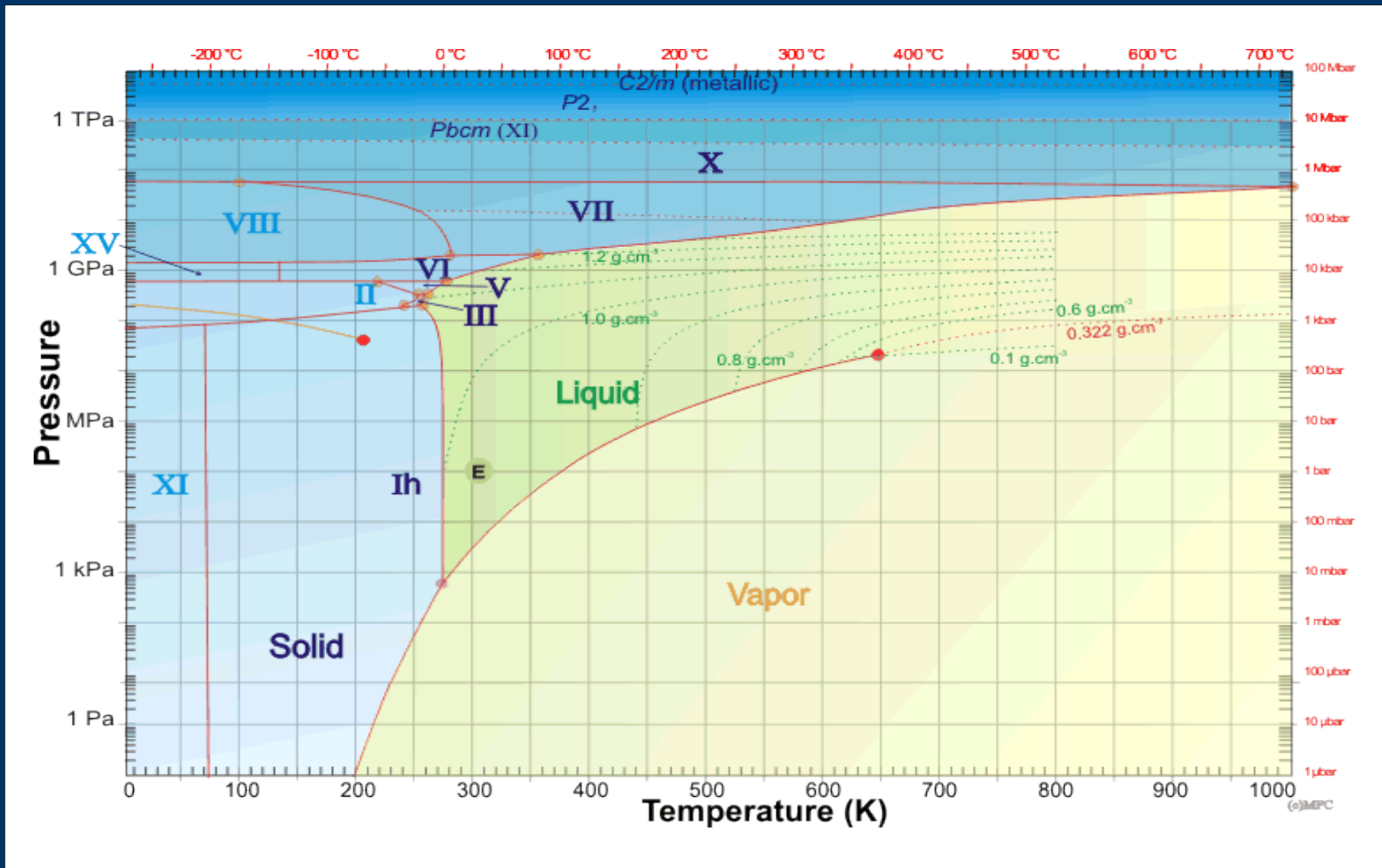
Phase diagram of QCD



Phase diagram of QCD



compare with: Phase diagram of water



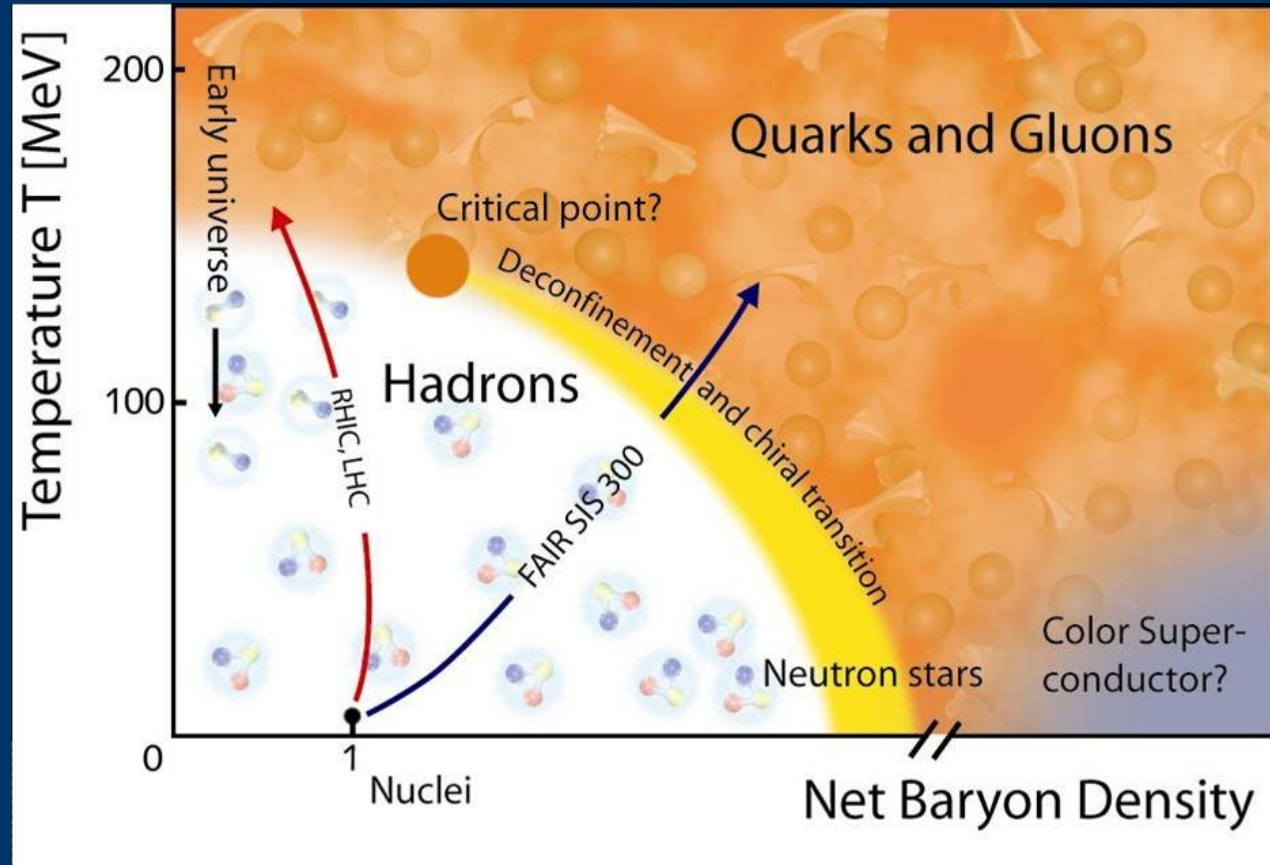
much better known....

Phase diagram of QCD

Zero density axis well known

transition temperature

zero temperature:
hadron masses
scattering amplitudes, etc.

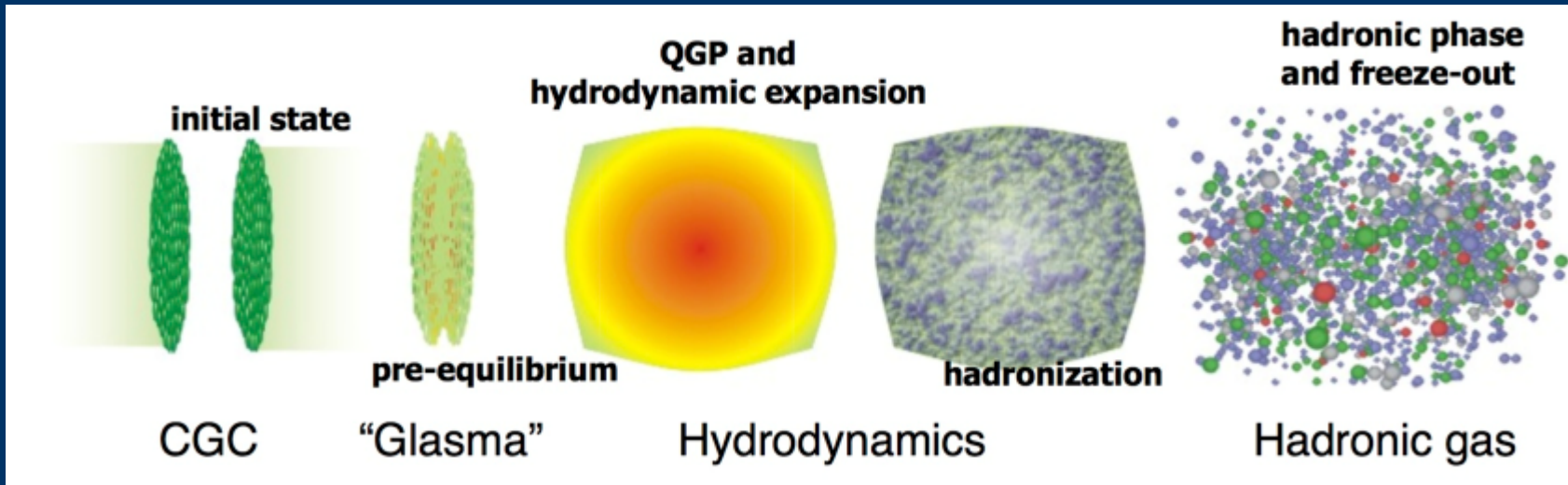


At nonzero density much less solid knowledge

What phases are present?
Is there a critical point?
compressibility of nuclear matter?

Why is non-zero density so hard?

Heavy-Ion collisions



How does the Glasma equilibrate?
 Non-equilibrium Quantum Field theory

$$|\Psi(t=0)\rangle \rightarrow |\Psi(t)\rangle$$

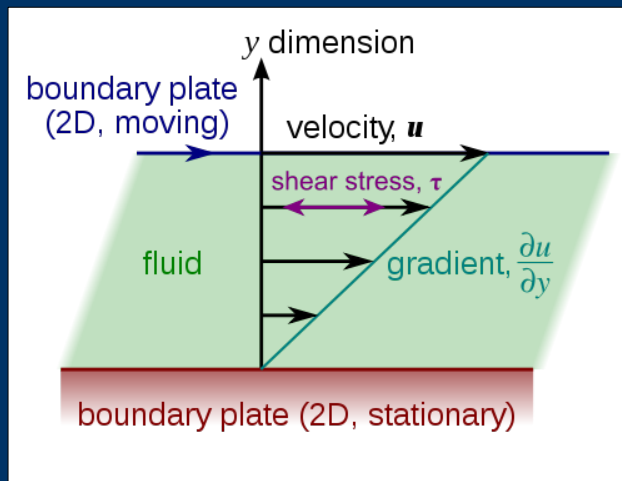
For hydrodynamics one needs equilibrium values of:

Equation of State

Transport coefficients: e.g. viscosity

← "easy" to calculate

← Hard problem
 Real-time correlator



$$\eta = \frac{1}{TV} \int_0^\infty dt \langle \sigma_{xy}(0) \sigma_{xy}(t) \rangle$$

Why is real-time QFT so hard?

Path integral formulation of Quantum Mechanics

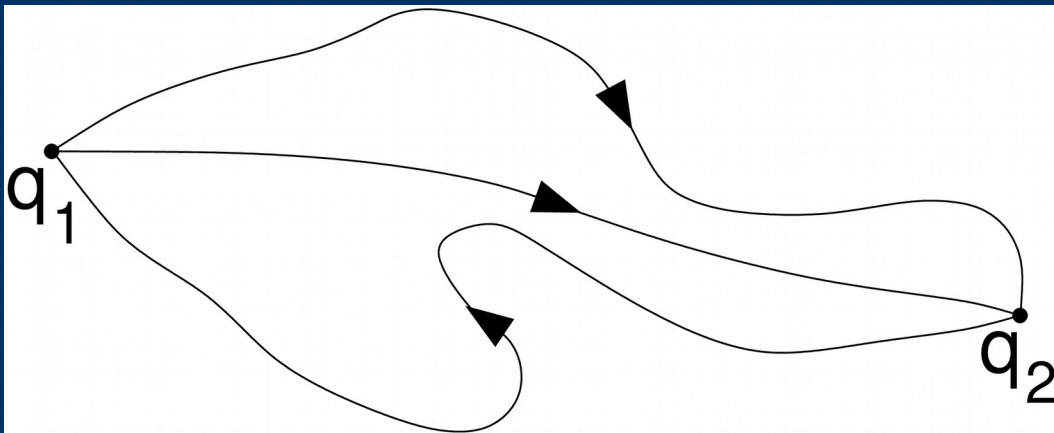
Quantum Mechanics with $\hat{H} = \frac{\hat{p}^2}{2m} + V(\hat{q})$

Time evolution given by Schrödinger eq. $i \partial_t \Psi(x, t) = \hat{H} \Psi(x, t)$ $|\Psi(x, t)\rangle = e^{-it\hat{H}} |\Psi(x, 0)\rangle$

Equivalent formulation

Transition amplitude: $\langle q_2 | e^{-it\hat{H}} | q_1 \rangle = \int_{q_1}^{q_2} Dq e^{iS[q(t)]}$

Path integral: $\int_{q_1}^{q_2} Dq =$ normalized sum for all functions $q(t_1 \leq t \leq t_2)$
with correct bound. cond. $q(t_1) = q_1$ $q(t_2) = q_2$



Numerically advantageous

$q(t)$ instead of $\Psi(x, t)$

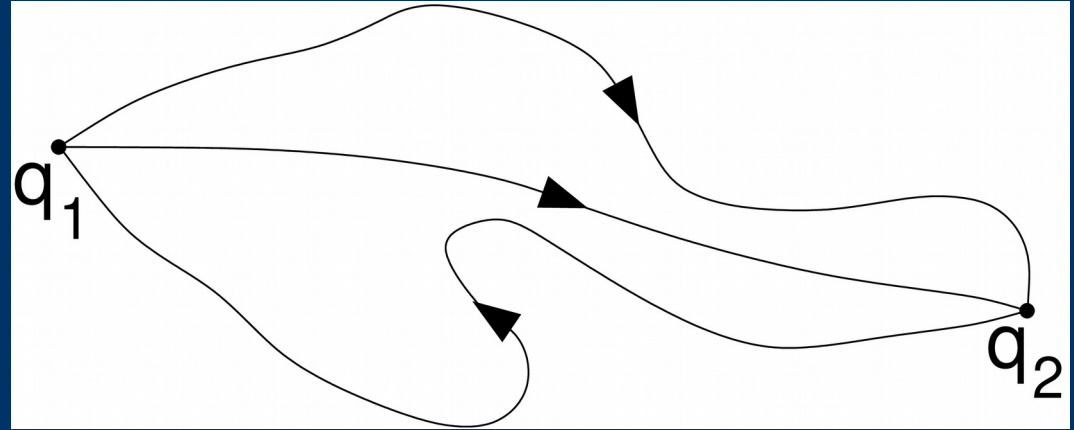
Thermodynamics with Path integral

Thermodynamics

$$e^{-it\hat{H}} \rightarrow e^{-\beta\hat{H}}$$

Imaginary time - Wick rotation

Imaginary time: $t \rightarrow -i\tau$ $0 < \tau < -i\beta$



$$\langle q_1 | e^{-\beta\hat{H}} | q_2 \rangle = \int_{q_1}^{q_2} Dq e^{-S_E[q(t)]} \quad S_E[q(t)] = \int_{t=0}^{t=\beta} dt \left(\frac{1}{2} m \dot{q}(t)^2 + V(q(t)) \right)$$

We want $Z = \text{Tr}(e^{-\beta H})$ $\langle X \rangle = \text{Tr}(X e^{-\beta H})$

► Periodical boundary conditions in temporal directions

System of size L_s^3, L_t \rightarrow Temperature = $1/L_t$

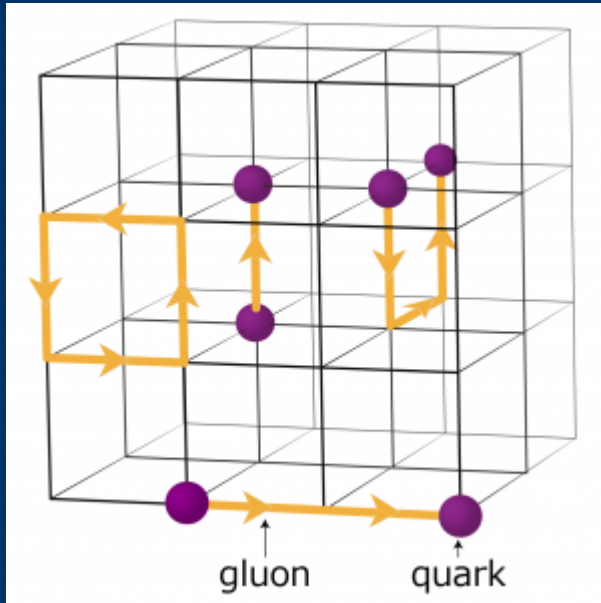
Temperature only appears as the inverse temporal size.

Temporal size infinite (or “large” compared to spatial)

► zero temperature

Lattice QCD

Discretise action on a cubic-space time lattice



$$F_{\mu\nu}^a = \partial_\mu A_\nu^a - \partial_\nu A_\mu^a + g f_{abc} A_\mu^b A_\nu^c$$

Gluon fields

$$A_\mu^a(x) \rightarrow U_\mu(x) = \exp\left(i \int dx A_\mu^a(x) \lambda_a\right)$$

Link variables $U_\mu(x) \in \text{SU}(3)$

Fermion fields

$$\psi(x) \rightarrow \psi(x)$$

Site variables

Discretised action:

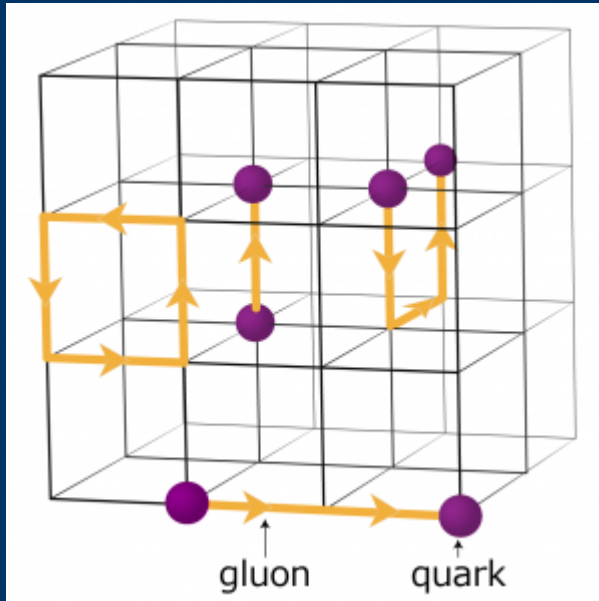
$$\text{gluons: } -\frac{1}{4} F_{\mu\nu} F^{\mu\nu} \rightarrow \sum_{\text{plaquettes}} \text{Re Tr}(U_{\mu\nu})$$

$$\text{fermions: } \bar{\psi}(i \gamma^\mu D_\mu + m) \psi \rightarrow \bar{\psi}(i \gamma^\mu D_\mu^{L,F} + m) \psi$$

$$D_\nu^{L,F} \psi(x) = \frac{1}{a} (U_\nu(x) \psi(x + \hat{\nu}) - \psi(x))$$

Lattice QCD

Discretise action on a cubic-space time lattice



Gluon fields Link variables $U_\mu(x) \in \text{SU}(3)$

Fermion fields $\psi(x)$

Path integral:

$$\int D A_\mu \rightarrow \int \prod_{x,\mu} dU_\mu(x)$$

finite number of integrals with respect
to the Haar measure of the SU(3) group

$$\int D\psi D\bar{\psi} \rightarrow \int \prod_x d\psi(x) d\bar{\psi}(x)$$

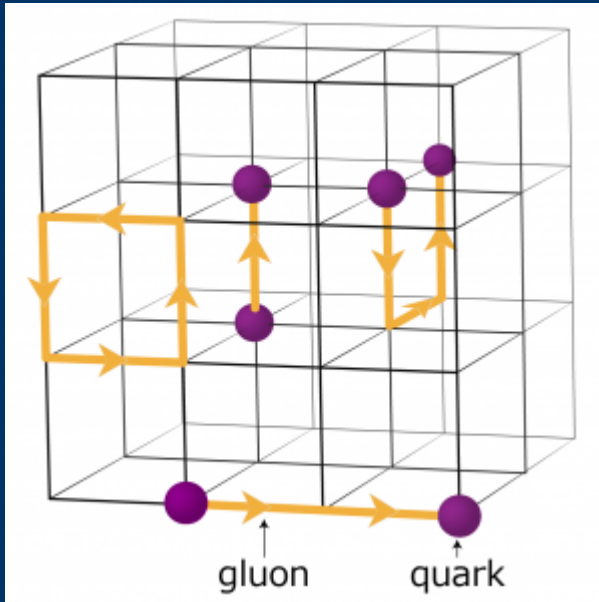
finite number of Grassman integrals

Lattice = one of the alternatives to regularize QFT

We want continuum and thermodynamical limit $a \rightarrow 0, V \rightarrow \infty$

Gauge transformations on the lattice

Gauge transformations



$$A_\mu(x) \rightarrow -\frac{i}{g}(\partial_\mu \Omega(x))\Omega^{-1}(x) + \Omega(x)A_\mu(x)\Omega(x)^{-1}$$

$$\psi(x) \rightarrow \Omega(x)\psi(x)$$

on the lattice

$$U_\mu(x) \rightarrow \Omega(x)U_\mu(x)\Omega^{-1}(x+a_\mu)$$

$$\psi(x) \rightarrow \Omega(x)\psi(x)$$

Symmetry group: $SU(3)^{N_s N_t}$

Finite volume of gauge orbits



No need for gauge fixing
No ghosts

Observables have to be gauge invariant:

$$\text{Tr}(U(x, x)) \quad \text{or} \quad \psi^\dagger(x)U(x, y)\psi(y)$$

where $U(x, y)$ is a chain of link variables between x and y

Lattice observables



$$\text{Plaquette } U_{\mu\nu} = U_{\mu}(x) U_{\nu}(x+\mu) U_{\mu}^{-1}(x+\nu) U_{\nu}^{-1}(x)$$

Related to Field strength tensor $F_{\mu\nu}$

$$\text{Wilson loops } W_{m,n,\mu\nu} \quad W_{1,1,\mu\nu} = U_{\mu\nu}$$

$\log \langle W_{R,T} \rangle =$ energy of static quark pair at dist. $R \rightarrow$ String tension

$$\text{Polyakov loop } P = \prod_{i=0}^{N_t-1} U_i$$

$$L = \langle \text{Tr } P \rangle \simeq e^{-F_q(T)/T} \quad \text{energy of a static quark}$$

$$T=0 \text{ confinement } \rightarrow F(q) = \infty \rightarrow L=0$$

$$T = \text{Large deconfinement } \rightarrow L \neq 0$$

Monte Carlo calculations with importance sampling

We have a (discretised) theory with some action

$$Z = \int D\phi e^{-\beta S(\phi)} \rightarrow \int \prod d\phi_i e^{-\beta S[\phi]}$$

Interested in observables: $\langle X \rangle = \frac{1}{Z} \int \prod d\phi_i X[\phi] e^{-\beta S[\phi]}$ still too many integrals

Importance sampling:

build a Markov chain on field configurations with the Metropolis algorithm

$$C = \{\phi_i\} \quad (\text{or } \{U_v\} \text{ for QCD})$$

$$\dots \rightarrow C_{i-1} \rightarrow C_i \rightarrow C_{i+1} \rightarrow \dots$$

From C_i we propose a random C_{i+1}
Accept with some probability

Probability of visiting C $p(C) \sim e^{-\beta S[C]}$

$$\langle X \rangle = \frac{1}{Z} \text{Tr} \hat{X} e^{-\beta(\hat{H})} = \frac{1}{Z} \int dC e^{-\beta S[C]} X[C] = \frac{1}{N} \sum_i X[C_i]$$

observable averaged
on configurations

Scale setting in lattice QCD

How do we set the lattice spacing in QCD?
we actually don't prescribe it, but measure it

$$-\frac{1}{4} F_{\mu\nu} F^{\mu\nu} \rightarrow \sum_{\text{plaquettes}} \text{Re Tr}(U_{\mu\nu})$$

We can set the inverse coupling in the action: $S_G = \frac{1}{g^2} \sum_{\text{plaquettes}} \text{Re Tr}(U_{\mu\nu})$

In practice we use $\beta = \frac{2N_c}{g^2}$ This sets the gauge coupling
on the scale of the lattice spacing

the running coupling grows in the infrared
at some length scale confinement appears

Fix lattice spacing such that confinement scale
is same as in our world

Historically the string tension is calculated in lattice units
and made equal to its experimental value
this yields lattice spacing in MeV

Scale setting in lattice QCD

Historically the string tension is calculated in lattice units

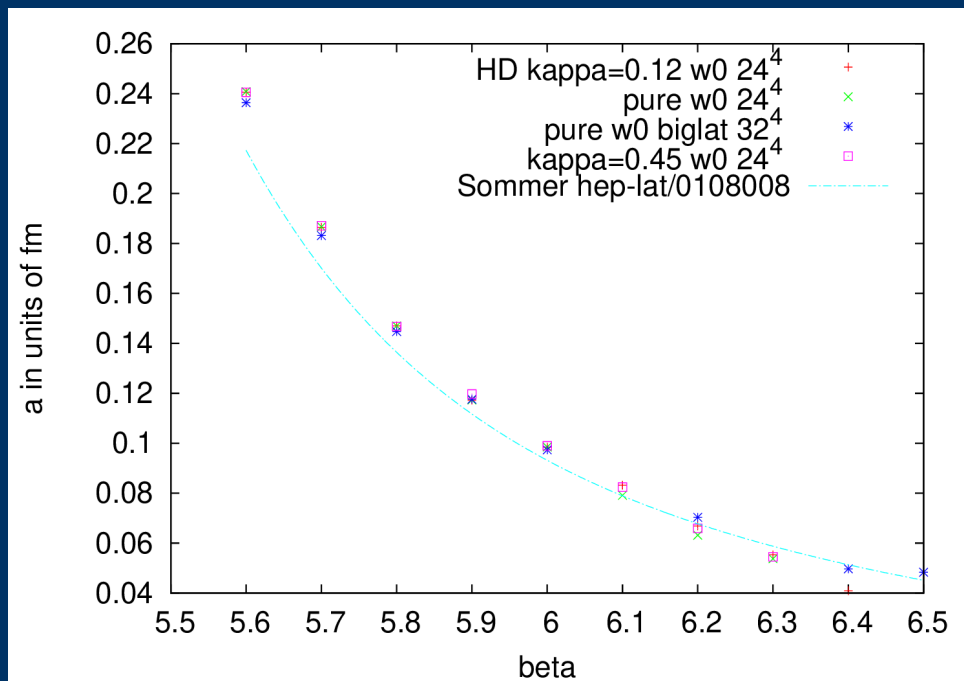
In practice: fitting asymptotic behavior of “Wilson loop” observable

(Nowadays e.g. “Gradient Flow” is used for scale setting.)

$$W_{RT} \simeq e^{-\alpha RT}$$

This process of scale setting is also used in theories not corresponding to our world (e.g. for different masses or even number of fermion flavours)

Typical behavior



So in lattice QCD

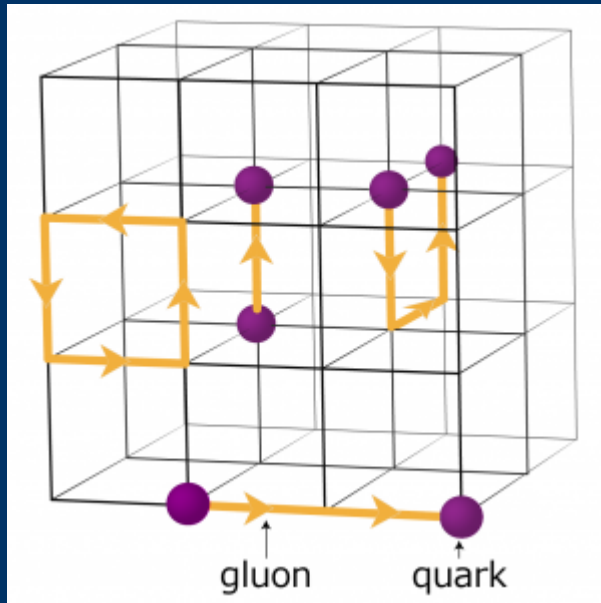
β increases \rightarrow

lattice spacing a decreases \rightarrow

Temporal size of lattice decreases \rightarrow

Temperature increases

Lattice QCD – fermions



In continuum: Fermions described with Grassmann variables

$$Z = \int d\psi d\bar{\psi} e^{\bar{\psi}(i\gamma^\mu \partial_\mu + m)\psi}$$

$$\int d\eta d\nu e^{\eta M \nu} = \det M$$

$M = \text{Dirac matrix}$

In gauge theories: $\partial_\nu \rightarrow \partial_\nu + i g A_\nu = D_\nu$ covariant derivative

quarks: $\bar{\psi}(i\gamma^\mu D_\mu + m)\psi \rightarrow \ln \det(1 + \kappa \sum_{\pm\mu} (1 + \gamma_\mu) U_\mu(x) \delta_{y, x+\hat{\mu}})$

large matrix $N = 10^5 - 10^7$

source of most of the problems with lattice QCD...

Fermion doubling

The dispersion relation for a particle should be:

$$D\psi(x) = (i\gamma_\nu p_\nu + m)\psi(x) \rightarrow E_p^2 = m^2 + p^2$$

Is this satisfied for fermions on the lattice? Let's use free fermions: $U_\nu = 1$

$$i\partial_\nu \psi(x) \rightarrow \frac{i}{2a} (\psi(x+\hat{\nu}) - \psi(x-\hat{\nu}))$$

symmetrized derivative
as antihermiticity is needed

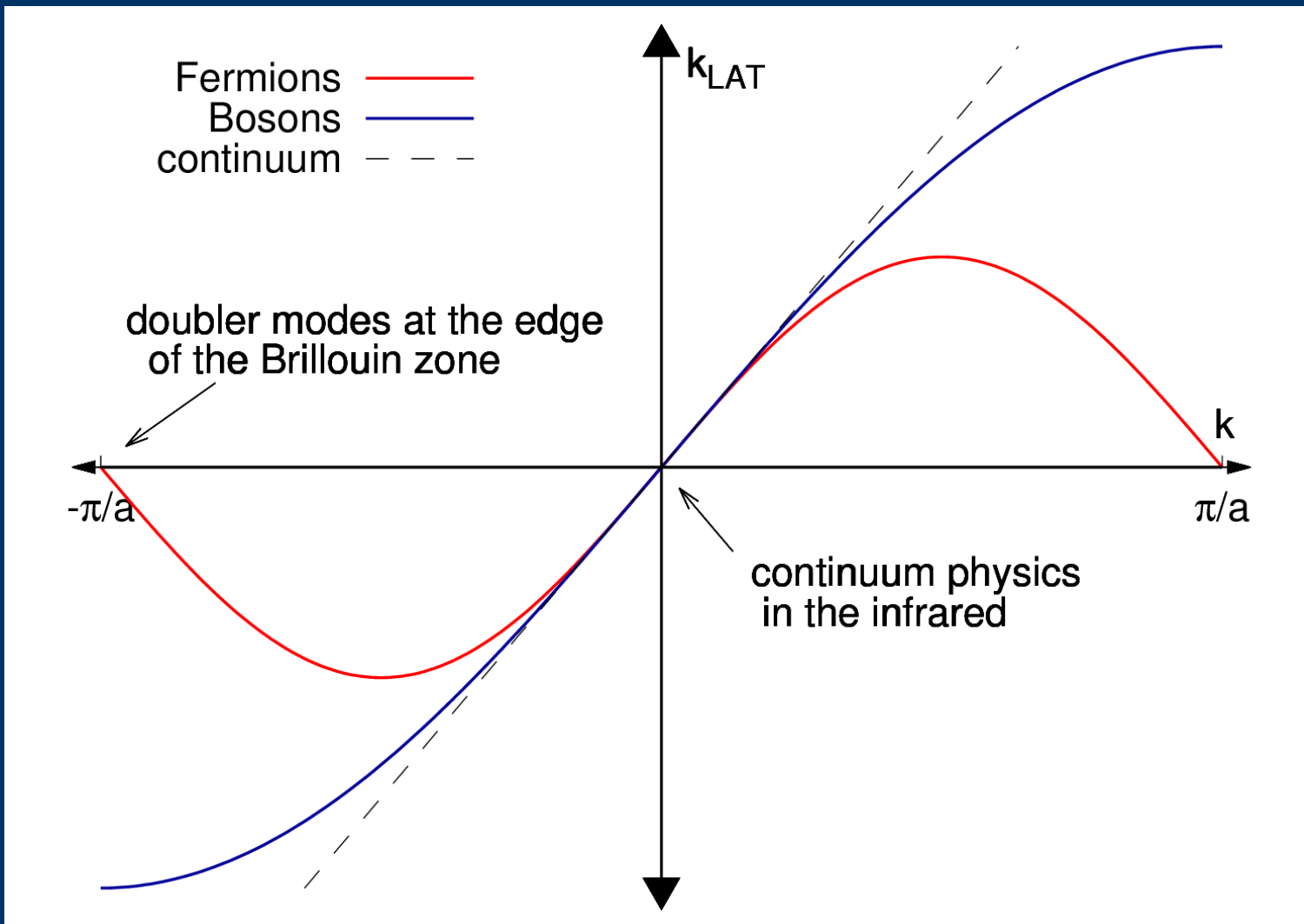
$$\partial = \frac{1}{2} (\partial_F + \partial_B)$$

momentum on the lattice $\psi(x) \simeq e^{ikx}$ $k = \frac{2\pi}{N} i$

$$\frac{i}{2a} (e^{ik(x+\hat{\nu})} - e^{ik(x-\hat{\nu})}) = i \sin(ka) e^{ikx} = i k_{LAT} e^{ikx}$$

If we have bosons in the action with a second derivative term

$$\Delta e^{ikx} = e^{ik(x+\hat{\nu})} - 2e^{ikx} + e^{ik(x-\hat{\nu})} = 4 \sin^2\left(\frac{ka}{2}\right) e^{ikx} = k_{LAT}^2 e^{ikx}$$



4 dimensional lattice $\rightarrow 2^4 = 16$ fermion modes

$$k = (0, 0, 0, 0), k = (0, 0, 0, \pi/a), \dots$$

Fermion doubling – Solutions

Wilson fermions

Introduce new term in fermion action to suppress unwanted doublers

$$S = \bar{\psi}(x) \gamma_{\mu} (\psi(x+\mu) - \psi(x-\mu)) + m \bar{\psi}(x) \psi(x)$$

Add a new term to lift energy of edge modes:

$$S_W = \frac{r}{2} \bar{\psi} \Delta \psi \quad \begin{array}{l} \text{Second derivative} \\ \Delta \psi = \psi(x+\hat{\mu}) - 2\psi(x) + \psi(x-\hat{\mu}) \end{array}$$

Acts as “momentum dependent mass” $M(p) = m + \frac{2r}{a} \sum_{\nu} \sin^2(k_{\nu} a/2)$

As the continuum limit is neared

Edge modes (doublers) become very heavy $m_{\text{doubler}} \simeq O(1/a)$
Physical modes have $O(a)$ mass contribution

Price to pay:

- Chiral symmetry explicitly broken
- mass parameter needs tuning
- $O(a)$ discretisation errors (instead of $O(a^2)$)

Fermion doubling - Solutions

Staggered fermions

One notes 4-fold symmetry of the naive fermion action

$\psi_{i,a}(x) \rightarrow \psi_a(x)$ throw away 3 of them

16 fermion flavours ("tastes") \rightarrow 4 fermion tastes

Still needs some rooting:

If we had 2 degenerate fermion flavors in continuum

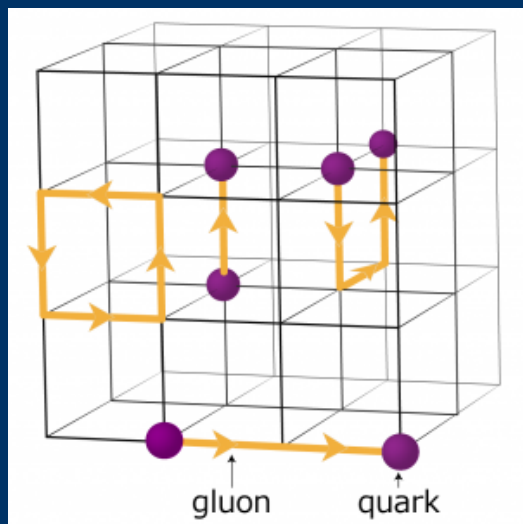
$$Z = \int d\psi_1 d\bar{\psi}_1 d\psi_2 d\bar{\psi}_2 e^{\bar{\psi}_1 (i\gamma^\mu \partial_\mu + m)\psi_1} e^{\bar{\psi}_2 (i\gamma^\mu \partial_\mu + m)\psi_2} = (\det M)^2$$

For staggered we could than use
(pretending that it's four degenerate species):

$$\det M \rightarrow (\det M)^{1/4}$$

In reality taste breaking effects vanish only in the continuum limit

Improved actions for lattice QCD



Carrying out continuum extrapolation $a \rightarrow 0$

Simulate at multiple lattice spacings

Fitting some observable

$$O(a) = O_0 + O_1 a + O_2 a^2 + \dots$$

Change action such that O_1 is eliminated

Gauge improvement

Include larger loops in action

Symanzik action:

$$S = -\beta \left(\frac{5}{3} \sum \text{ReTr} \square - \frac{1}{12} \sum \text{ReTr} \square\square \right)$$

Symmetry of discretisation: plaquette action scales with $O(a^2)$

Symanzik sets the coefficient of $O(a^2)$ term in the action to zero at tree level

loop effects $\rightarrow O(g^2 a^2)$

Coefficient of quadratic term much smaller than with plaquette action

Improved fermion actions

Changing the Dirac operator

Wilson fermions: clover improvement adds a clover term

Staggered fermions: naik or p4 take into account 3-link terms

Fat links

Smear the gauge fields inside the Dirac operator

APE, HYP

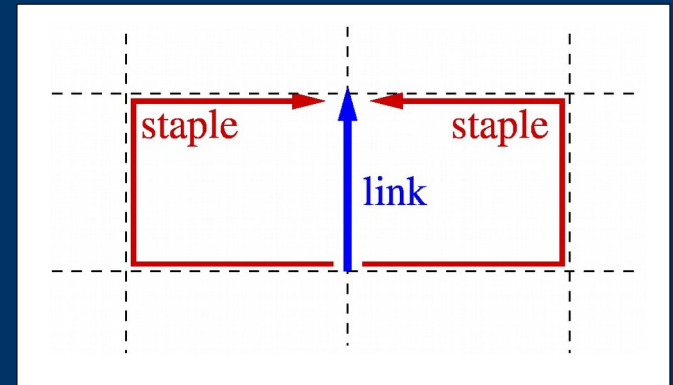
$$V_\mu = (1 - \alpha) U_\mu + \alpha \sum \text{staples}$$

$$U'_\mu = \text{Proj}_{\text{SU}(3)} V_\mu$$

Stout

$$U'_\mu = e^{iQ_\mu} U_\mu \quad Q_\mu = \rho \sum \text{staples}$$

essentially one step of gradient flow
with stepsize ρ



Chiral symmetry on the lattice

$$\psi \rightarrow e^{i\Theta\gamma_5}\psi \quad \bar{\psi} \rightarrow \bar{\psi} e^{i\Theta\gamma_5}$$

Fermion action $S_F = \int \bar{\psi}(x) D(x, y) \psi(y)$ is invariant if $\{\gamma_5, D\} = 0$

In continuum the kinetic term respects symmetry
mass term gives an explicit breaking

Nielsen-Ninomiya theorem:

On the lattice a local discretisation that satisfies $\{\gamma_5, D\} = 0$ will have doublers

Staggered: Chiral symm exact, still 4 tastes, usually rooted

Wilson: Chiral symmetry broken (only restored in cont. limit.)
Eliminates doublers

Overlap fermions: reinvents lattice version of Chiral symmetry
Nielsen-Ninomiya does not apply

Ginsparg Wilson relation and Overlap fermions

$$\{\gamma_5, D\} = 0 \quad \longrightarrow \quad \{\gamma_5, D\} = a D \gamma_5 D \quad \begin{array}{l} \text{In the continuum limit} \\ \text{Reduces to usual chiral symmetry} \end{array}$$

Corresponding lattice transformations:

$$\psi \rightarrow e^{i\Theta \gamma_5 (1 - \frac{a}{2} D)} \psi \quad \bar{\psi} \rightarrow \bar{\psi} e^{i\Theta \gamma_5 (1 - \frac{a}{2} D)}$$

A Dirac operator satisfying this can be constructed:

$$D = \frac{1}{a} \left(1 + \gamma_5 \text{sign}(\gamma_5 A) \right) \quad \begin{array}{l} A \text{ is some hermitian, real kernel operator} \\ \text{e.g. Wilson fermion operator} \end{array}$$

sign function makes overlap fermions quite expensive.

Fermions in lattice QCD - local updates

In pure gauge theory:

$$Z = \int DU e^{-S_g}$$

Update proposal: change one link variable with a random SU(3) rotation

Action change calculated cheaply
considering the 6 plaquettes which contain the link

Easily parallelisable

Cost to update all links: $O(N_s^3 N_t)$

With fermions:

$$Z = \int DU e^{-S_g} \det M[U_v]$$

Determinant depends on all link variables \longrightarrow Nonlocal action

Cost of determinant: $O(N^3) = O(N_s^9 N_t^3)$

Cost to update all links: $O(N_s^{12} N_t^4)$

Action change very expensive, not parallelisable

Hybrid Monte Carlo with pseudofermions

HMC: introduce momenta for all fields with trivial kinetic terms

$$S[\phi_i] \rightarrow H_{HMC}[\phi_i, p_i] = S[\phi_i] + \sum \frac{p_i^2}{2}$$

$e^{-\beta S_{HMC}}$ Gaussian distribution for all momenta

To generate a new configuration:

- fill all momenta with gaussian random numbers
- integrate Canonical equations of motion numerically

$$\dot{\phi}_i = \frac{\partial H}{\partial p_i} = p_i \quad \dot{p}_i = -\frac{\partial H}{\partial \phi} = -\frac{\partial S[\phi]}{\partial \phi_i} \quad \text{with stepsize } \Delta t$$

from $t=0$ to $t=1$

-forget momenta: new configuration of ϕ

Discretisation effects change distribution somewhat

An accept-reject step at the end gets rid of this stepsize dependence

Δt small \rightarrow energy conserved, $e^{-\Delta S} \approx 1 \rightarrow$ acceptance large

Δt large \rightarrow energy conservation imprecise, $e^{-\Delta S} < 1 \rightarrow$ acceptance small

Hybrid Monte Carlo with pseudofermions

Fermions give the determinant

$$\int d\eta d\nu e^{\eta M \nu} = \det M$$

We can do that with bosonic fields
If we invert the matrix

$$\int \prod d\phi_{R,i} d\phi_{I,i} e^{-\phi_i^+ M_{ij}^{-1} \phi_j} = \det M$$

For given M , ϕ has Gaussian distribution

Strategy:

1. Generate pseudofermion fields for given link variables from random generator
2. Update links using HMC
3. goto 1.

Force calculation needs $M^{-1} \phi$

Calculated with
Conjugate gradient

Cost to update all links: $\approx O\left(\left(N_s^3 N_t\right)^{5/4} m_q^{-\alpha}\right)$

Further improvements:

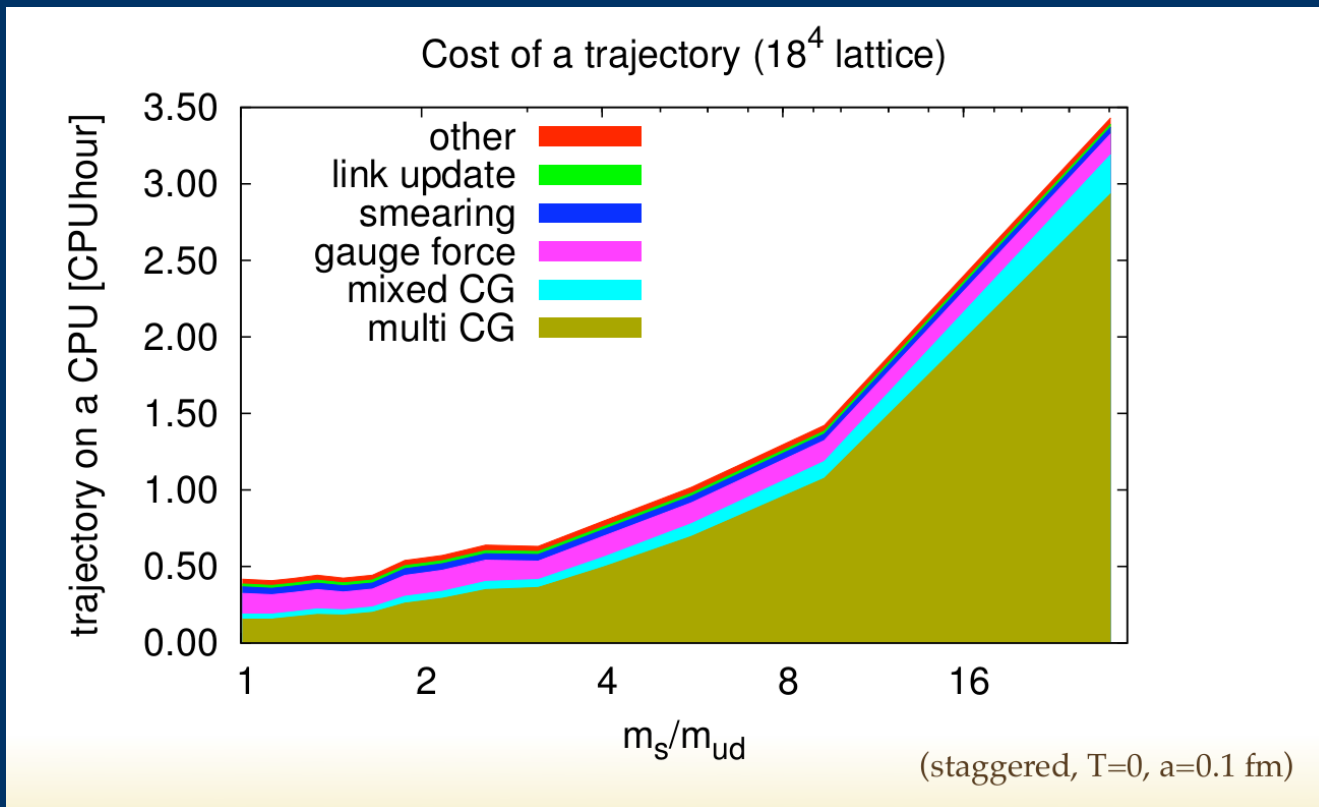
- Rational Hybrid Monte Carlo for rooting the determinant
- imprecise CG solution for HMC force calculation
- different HMC stepsizes for different mass quarks
- higher order discretisations of canonical eqs.
- Etc.

Costs of QCD lattice calculations

Cost to update all links: $\approx O((N_s^3 N_t)^{5/4} m_q^{-\alpha})$ $\alpha \approx 4-6$

$(N_s^3 N_t)^{5/4}$ as HMC stepsize needs to decrease as volume increases.

Fermions are expensive at smaller quark masses



More CG iterations needed

Fermion force larger
Calculate more often

Autocorrelation time
increases

[Fig: Borsanyi]

Fermions in lattice QCD

$$Z = \int DU e^{-S_g} \det M[U_\nu]$$

Determinant depends on all link variables \longrightarrow Nonlocal action

\swarrow Local metropolis update very inefficient

Solution 2: **Langevin equation**

Langevin Equation (aka. stochastic quantisation)

Given an action $S(x)$

Stochastic process for x :
$$\frac{dx}{d\tau} = -\frac{\partial S}{\partial x} + \eta(\tau)$$

Gaussian noise

$$\begin{aligned}\langle \eta(\tau) \rangle &= 0 \\ \langle \eta(\tau) \eta(\tau') \rangle &= \delta(\tau - \tau')\end{aligned}$$

Random walk in configuration space

Averages are calculated along the trajectories:

$$\langle O \rangle = \lim_{T \rightarrow \infty} \frac{1}{T} \int_0^T O(x(\tau)) d\tau = \frac{\int e^{-S(x)} O(x) dx}{\int e^{-S(x)} dx}$$

Numerically,
results are extrapolated to $\Delta\tau \rightarrow 0$

Fermions in lattice QCD

$$Z = \int DU e^{-S_g} \det M[U_v]$$

Determinant depends on all link variables \longrightarrow Nonlocal action

\swarrow Local metropolis update very inefficient

Solution 2: **Langevin equation**

$$\text{SU}(3) \text{ Group manifold: } U(\tau + \Delta\tau) = \exp\left(i\lambda_a(\Delta\tau K_a + \sqrt{\Delta\tau}\eta_a)\right)U(\tau)$$

$$\text{Drift term } K_a = -D_a S[U] \quad \text{noise: } \langle \eta_a \eta_b \rangle = 2\delta_{ab}$$

$$\text{Fermionic Drift from noise vectors: } \langle \psi_{ai}^*(x) \psi_{bj}(x) \rangle = \delta_{ab} \delta_{ij} \delta_{xy}$$

$$K_{axv,F} = D_{axv}(\ln \det M^{N_F}) = N_F \text{Tr}((D_{axv} M) M^{-1}) = N_F \langle \psi^+ (D_{axv} M) M^{-1} \psi \rangle$$

Needs 1 Conjugate gradient inversion per update

Langevin vs HMC for lattice QCD

HMC:

- 1 CG per fermion per update
- rooting requires rational Hybrid Monte Carlo
- distance to previous configuration $\simeq \tau$
momenta refreshed after every trajectory
- easy to decrease costs for heavy fermions
- no stepsize dependence

Nowadays HMC is used
(almost?) exclusively
at zero chemical potential

Langevin:

- 1 CG per fermion per update
- rooting is trivial
- distance to previous configuration $\simeq \sqrt{\tau}$
- Stepsize needs to be extrapolated to zero

Chemical potential in the continuum

Fermion action:
$$S = \int_0^{1/T} \int d^3x \bar{\psi} (\gamma_\nu \partial_\nu + m) \psi$$

This action has the symmetry:
$$\psi \rightarrow e^{i\alpha} \psi \quad \bar{\psi} \rightarrow e^{-i\alpha} \bar{\psi}$$

Corresponding conserved charge:
$$N = \int d^3x \bar{\psi} \gamma_4 \psi = \int d^3x \psi^\dagger \psi$$

We want grand canonical potential
$$Z = e^{-\beta(H - \mu N)}$$

$$\frac{\mu N}{T} = \int_0^\beta d\tau \int d^d x \mu \bar{\psi} \gamma_4 \psi$$

So the action becomes:
$$S = \int_0^{1/T} \int d^3x \bar{\psi} (\gamma_\nu (\partial_\nu + i A_\mu) + \mu \gamma_4 + m) \psi = \int \bar{\psi} M \psi$$

At $\mu = 0$ γ_5 -Hermiticity: $(\gamma_5 M)^\dagger = \gamma_5 M$

$$(\det M)^* = \det M^\dagger = \det (\gamma_5 M \gamma_5) = \det M \rightarrow \det M \text{ is real}$$

At $\mu \neq 0$ $M^\dagger(\mu) = \gamma_5 M(-\mu^*) \gamma_5$

$$\det(M(U, -\mu^*)) = (\det(M(U), \mu))^* \quad \text{Determinant is complex}$$

Chemical potential in the continuum

Fermions: **At zero μ determinant is real**

For staggered at zero μ , it can be shown that \det is positive

For Wilson at zero μ

sometimes the determinant is negative (“exceptional configs.”)
in the continuum limit exceptional configs don’t appear

At nonzero μ

the action becomes:
$$S = \int_0^{1/T} \int d^4x \bar{\psi} (\gamma_\nu (\partial_\nu + i A_\nu) + \mu \gamma_4 + m) \psi = \int \bar{\psi} M \psi$$

$$\det(M(U, -\mu^*)) = (\det(M(U, \mu))^*) \quad \text{Determinant is complex}$$

Chemical potential appears as imaginary Abelian gauge field
in temporal direction

Imaginary chemical potential \longrightarrow Determinant is real again

Chemical potential in the continuum

U(1) symmetric bosons

$$S = \int d^4 x (|\partial_\nu \phi|^2 + m^2 |\phi|^2) + \lambda |\phi|^4 \quad \text{Symmetry: } \phi \rightarrow e^{i\alpha} \phi$$

$$\text{Conserved charge: } N = \int d^3 x i (\phi^* \partial_4 \phi - (\partial_4 \phi^*) \phi)$$

$$Z = e^{-\beta(H - \mu N)}$$

Leads to:

$$S = \int d^4 x [(\partial_4 + \mu) \phi^* (\partial_4 - \mu) \phi + \partial_i \phi^* \partial_i \phi + m^2 |\phi|^2 + \lambda |\phi|^4]$$
$$S = \int d^4 x [|\partial_\nu \phi|^2 + (m^2 - \mu^2) |\phi|^2 + \mu (\phi^* \partial_4 \phi - (\partial_4 \phi^*) \phi) + \lambda |\phi|^4]$$

Bosons also have a quadratic term in the chemical potential

$$\text{Linear term is imaginary} \quad S(\mu) = S(-\mu)^*$$

Imaginary $\mu \rightarrow$ Action is real

Chemical potential on the lattice

How does μ appear in lattice QCD?

adding $\mu \bar{\psi} \gamma_4 \psi$ to the action \rightarrow ultraviolet divergences

Instead we add it as an imaginary Abelian vectorfield

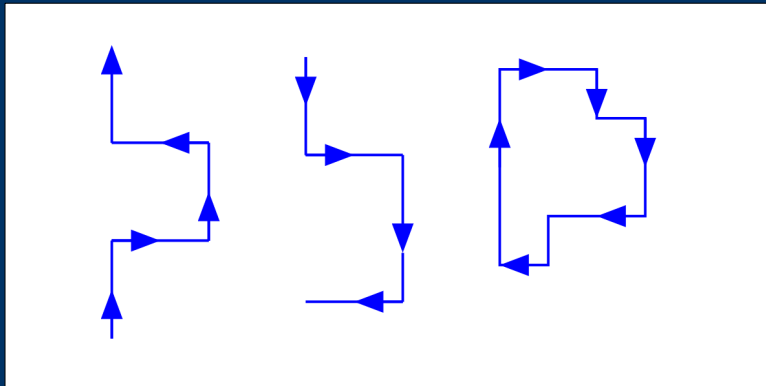
Forward hopping terms: $e^{iA_4} = e^{a\mu}$

Backward hopping terms: $e^{-iA_4} = e^{-a\mu}$

Complex determinant
at nonzero μ

$M^+(\mu) = \gamma_5 M(-\mu^*) \gamma_5$ remains valid on the lattice

μ Couples to conserved charge \rightarrow no divergences appear



[Fig: Aarts]

Closed loops don't change

Forward loops gain factor $e^{a\mu N_t}$

Backward loops gain factor $e^{-a\mu N_t}$

$$aN_t = \frac{1}{T} \rightarrow a\mu N_t = \frac{\mu}{T}$$

Importance Sampling

We are interested in a system
Described with the partition sum:

$$Z = \int D\phi e^{-S} = \text{Tr} e^{-\beta(H - \mu N)} = \sum_C W[C]$$

Typically exponentially many configurations,
no direct summation possible.

If the Weight is positive, build a Markov chain with the Metropolis algorithm

$$\dots \rightarrow C_{i-1} \rightarrow C_i \rightarrow C_{i+1} \rightarrow \dots$$

Probability of visiting C $p(C) \sim W[C]$

$$\langle X \rangle = \frac{1}{Z} \text{Tr} X e^{-\beta(H - \mu N)} = \frac{1}{Z} \sum_C W[C] X[C] = \frac{1}{N} \sum_i X[C_i]$$

This works if we have $W[C] \geq 0$

Otherwise we have a **Sign problem**

Toy model with sign problem

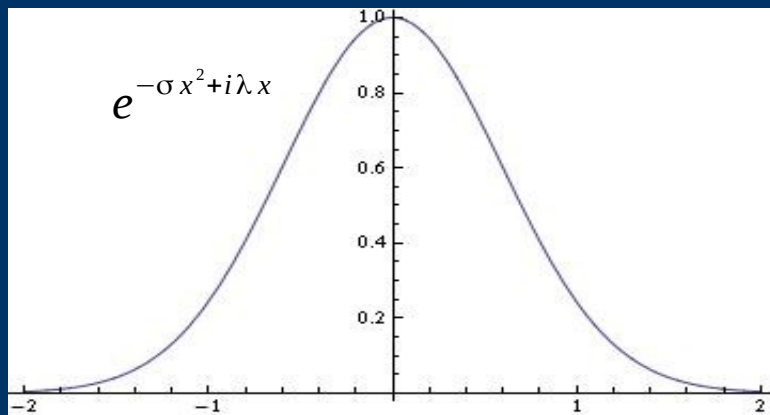
$$Z = \int_{-\infty}^{\infty} e^{-(\sigma x^2 + i\lambda x)} dx$$

$$\langle x^2 \rangle = \frac{1}{Z} \int x^2 e^{-(\sigma x^2 + i\lambda x)} dx = ?$$

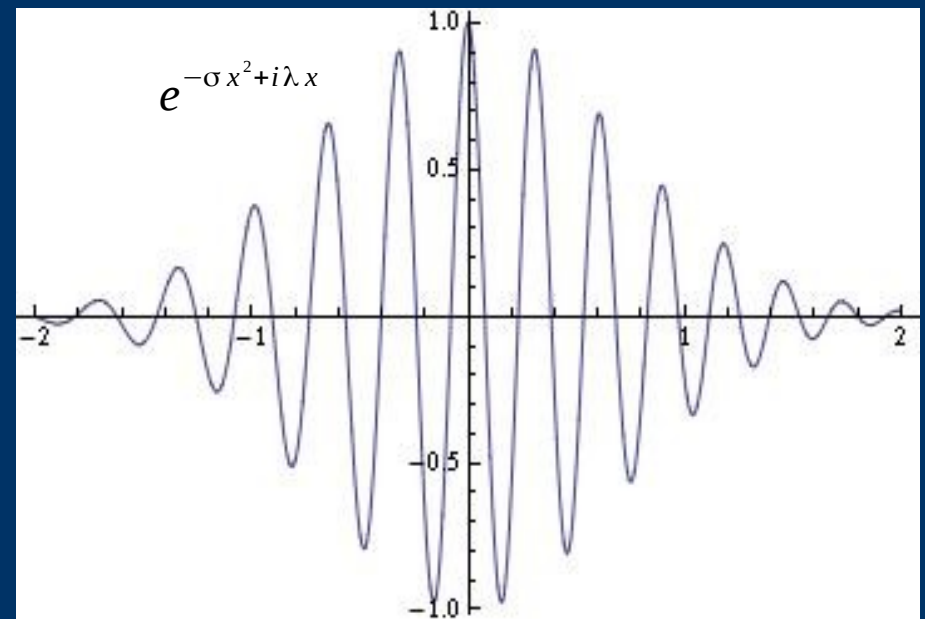
Sampling method: draw uniform random

$$-a \leq x_i \leq a$$

$$\int_{-a}^a f(x) dx \approx \frac{1}{N} \sum_i f(x_i)$$



$$\sigma = \sqrt{2}, \lambda = 0$$



$$\sigma = 1+i, \lambda = 20$$

100 samples to have 10% relative error

$$\Delta \sim \frac{1}{\sqrt{N}}$$

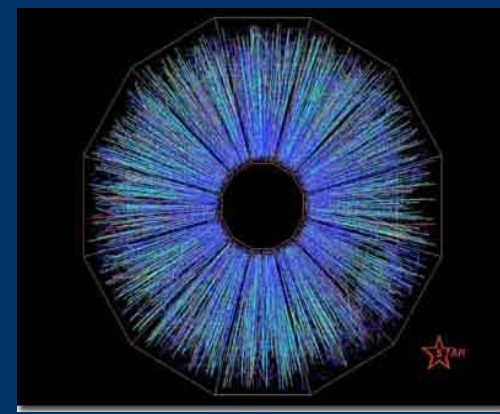
$$Z \approx 10^{-22}$$

$\sim 10^{46}$ samples to have 10% relative error

Sign problems

Real-time evolution in QFT

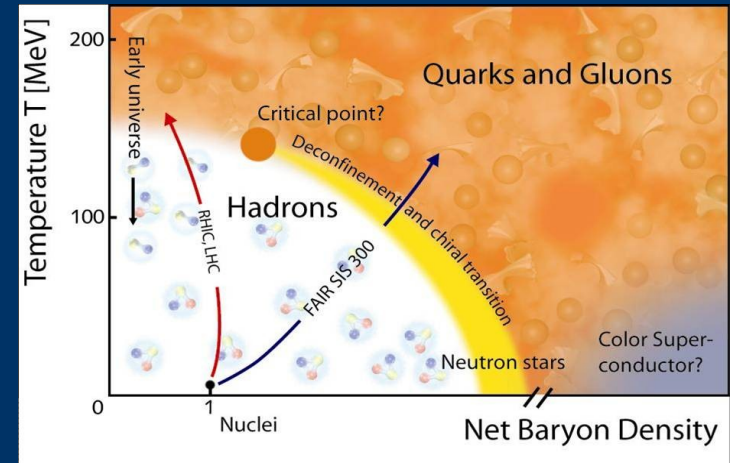
“strongest” sign problem e^{iS}



Non-zero density

$$Z = \text{Tr} e^{-\beta(H - \mu N)} = \int DU e^{-S[U]} \det(M[U])$$

Many systems: Bose gas
 XY model
 SU(3) spin model
 Random matrix theory
 QCD

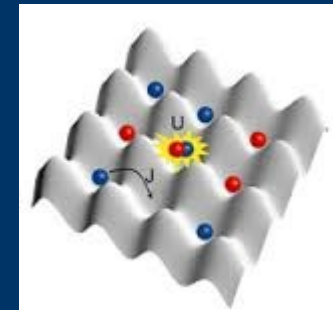


Theta therm

$$S = F_{\mu\nu} F^{\mu\nu} + i\Theta \epsilon^{\mu\nu\theta\rho} F_{\mu\nu} F_{\theta\rho}$$



Inbalanced Fermi gas



And everything else with complex action

$$w[C] = e^{-S[C]} \quad w[C] \text{ is positive} \leftrightarrow S[C] \text{ is real}$$

How to solve the sign problem?

Probably no general solution - There are sign problems which are NP hard

[Troyer Wiese (2004)]

Many solutions for particular models with sign problem exist

Sign problem is representation dependent!

if $Z > 0$ probably a real representation exists

need to find the “right” degrees of freedom

How to solve the sign problem?

Probably no general solution - There are sign problems which are NP hard

[Troyer Wiese (2004)]

Many solutions for particular models with sign problem exist

Sign problem is representation dependent!

if $Z > 0$ probably a real representation exists

need to find the "right" degrees of freedom

Transforming the problem to one with positive weights

$$Z = \text{Tr} e^{-\beta(H - \mu N)} = \sum_C W[C] = \sum_D W'[D]$$

Dual variables
Worldlines

$$Z = \text{Tr} e^{-\beta(H - \mu N)} = \sum_n z_n e^{\beta \mu n}$$

Canonical ensemble

$$Z = \text{Tr} e^{-\beta(H - \mu N)} = \int dE \rho_\mu(E) e^{-\beta E}$$

Density of states

$$Z = \text{Tr} e^{-\beta(H - \mu N)} = \sum_C W[C] = \sum_S \left(\sum_{C \in S} W[C] \right)$$

Subsets

How to solve the sign problem?

Extrapolation from a positive ensemble

Reweighting
$$\langle X \rangle_W = \frac{\sum_c W_c X_c}{\sum_c W_c} = \frac{\sum_c W'_c (W_c/W'_c) X_c}{\sum_c W'_c (W_c/W'_c)} = \frac{\langle (W/W') X \rangle_{W'}}{\langle W/W' \rangle_{W'}}$$

Taylor expansion
$$Z(\mu) = Z(\mu=0) + \frac{1}{2} \mu^2 \partial_\mu^2 Z(\mu=0) + \dots$$

Analytic continuation from imaginary sources
(chemical potentials, theta angle, imaginary time,...)

Using analyticity (for complexified variables)

Complex Langevin

Complexified variables – enlarged manifolds

Lefschetz thimble

Integration path shifted onto complex plane

In QCD direct simulation only possible at $\mu=0$

Taylor extrapolation, Reweighting, continuation from imaginary μ , canonical ens. all break down around

$$\frac{\mu_q}{T} \approx 1-1.5 \quad \frac{\mu_B}{T} \approx 3-4.5$$

Around the transition temperature

Breakdown at

$$\mu_q \approx 150-200 \text{ MeV}$$

$$\mu_B \approx 450-600 \text{ MeV}$$

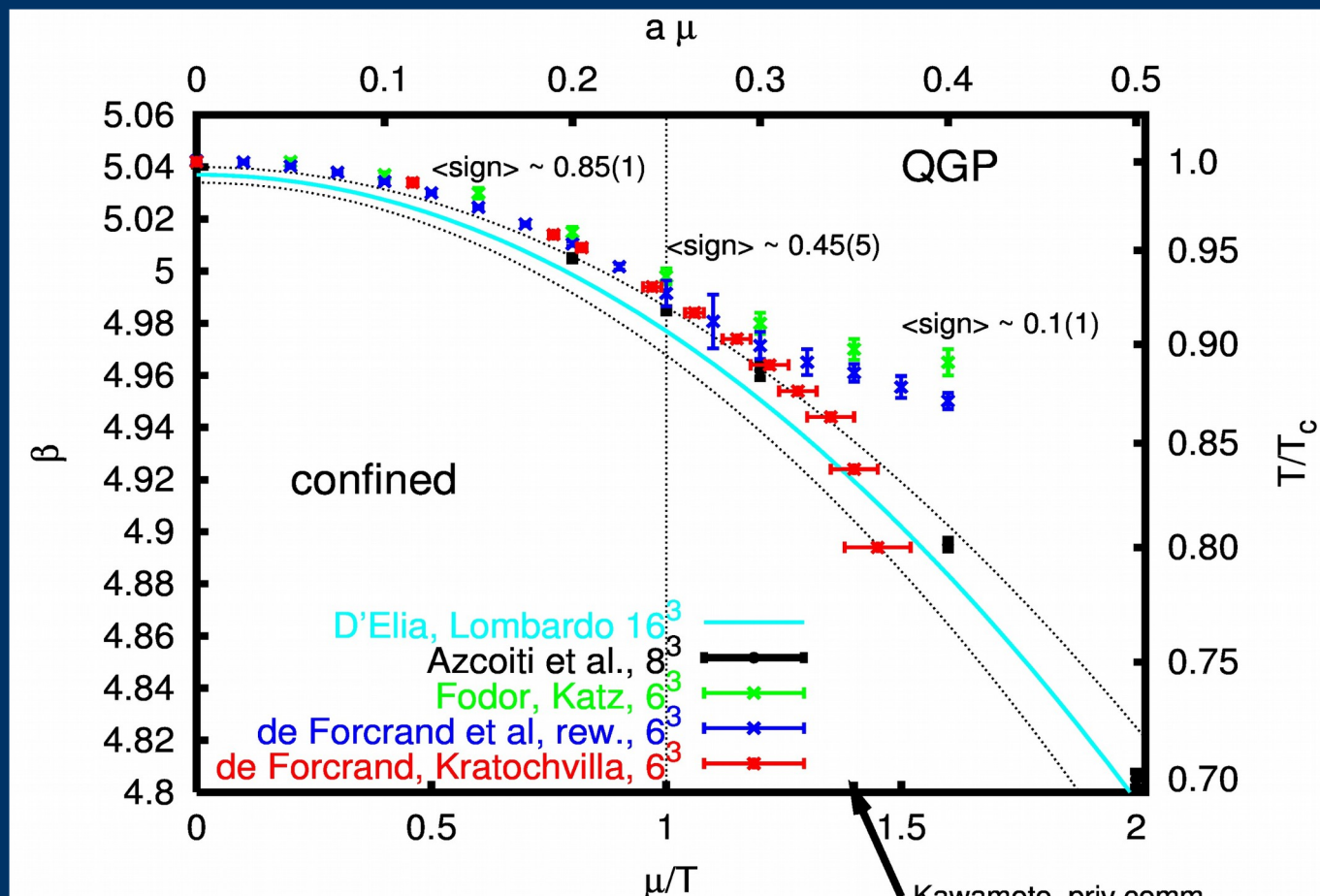
Results on

$$N_T=4, N_F=4, ma=0.05$$

using

Imaginary μ ,
Reweighting,
Canonical ensemble

Agreement only at $\mu/T < 1$



Reweighting

$$\langle F \rangle_{\mu} = \frac{\int DU e^{-S_E} \det M(\mu) F}{\int DU e^{-S_E} \det M(\mu)} = \frac{\int DU e^{-S_E} \det M(\mu=0) \frac{\det M(\mu)}{\det M(\mu=0)} F}{\int DU e^{-S_E} \det M(\mu=0) \frac{\det M(\mu)}{\det M(\mu=0)}}$$

$$= \frac{\langle F \det M(\mu) / \det M(\mu=0) \rangle_{\mu=0}}{\langle \det M(\mu) / \det M(\mu=0) \rangle_{\mu=0}}$$

$$\left\langle \frac{\det M(\mu)}{\det M(\mu=0)} \right\rangle_{\mu=0} = \frac{Z(\mu)}{Z(\mu=0)} = \exp\left(-\frac{V}{T} \Delta f(\mu, T)\right)$$

$\Delta f(\mu, T)$ = free energy density difference

Exponentially small as the volume increases $\langle F \rangle_{\mu} \rightarrow 0/0$

Reweighting works for large temperatures and small volumes

Sign problem gets hard at $\mu/T \approx 1$

Reweighting

Overlap problem

If the two ensembles are far,
most configurations are far from the peak of the target distribution

Reweighting factor $\frac{\det M(\mu)}{\det M(0)}$ has long tailed distribution

Most configs have small weight, averages are dominated by a few configs

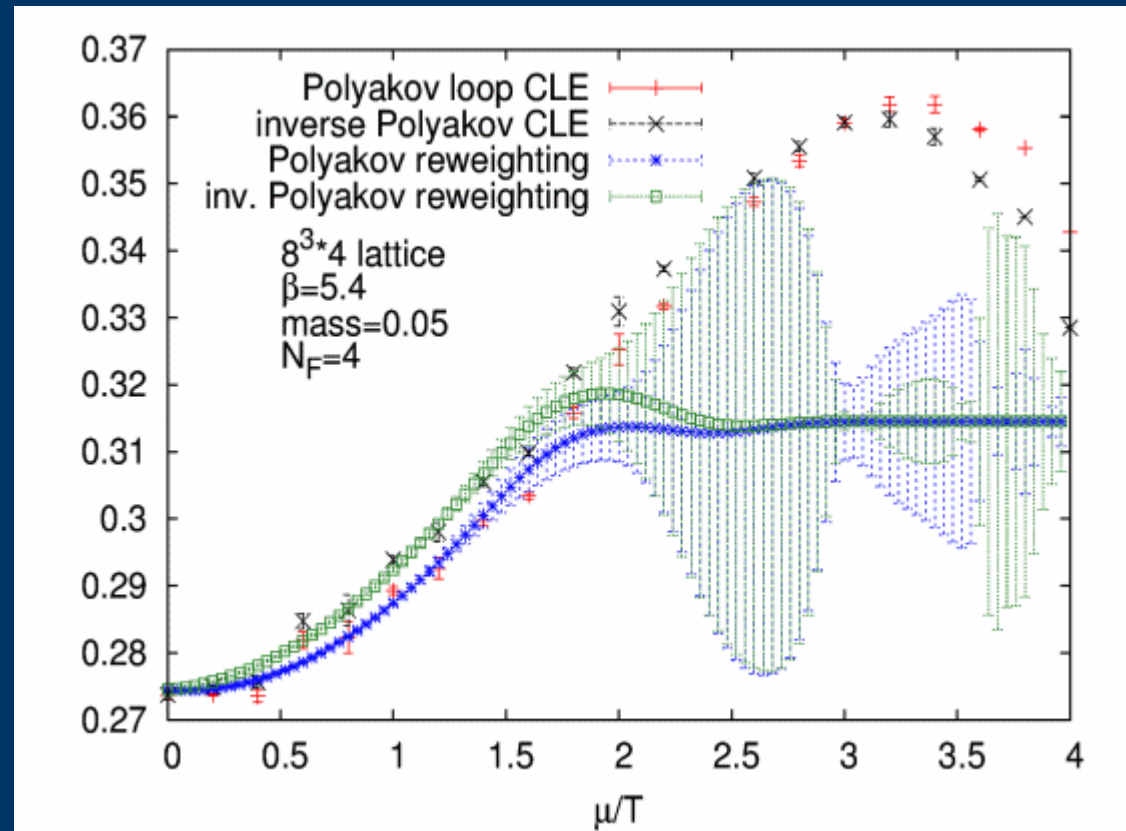
→ even errorbars are untrustworthy

Explicit calculation of determinant
Is expensive

$$O(N_s^9 N_t^3)$$

Sign problem has exponential
volume dependence

→ Volume is limited



Silver blaze

At low temperature, system is in the ground state

$$\langle X \rangle = \text{Tr} (X e^{-\beta(H-\mu N)}) \approx \langle 0 | X e^{-\beta(H-\mu N)} | 0 \rangle$$

No dependence on the chemical potential

If chemical potential reaches mass of the lightest excitation

—————▶ onset transition

Reweighting from phase quenched theory $\int DU e^{-S_g} |\det M(\mu)|$

we have u and d quarks: $|\det M(\mu)|^2 = \det M(\mu) \det M(-\mu)$

u and d has opposite chemical potentials

Phasequenched theory \longleftrightarrow nonzero isospin chemical potential

pions have nonzero isospin charge

Silver blaze in QCD

Lightest excitation in phasequenched theory: pion Onset: $\mu_{c,PQ} = m_\pi/2$

Lightest excitation in baryon chemical pot. theory: proton Onset: $\mu_c = m_n/3$

$$0 < \mu < m_\pi/2$$

PQ theory in ground state
Full theory in ground state

phase average close to 1
mild sign problem

$$m_\pi/2 < \mu < m_n/3$$

PQ theory has pion condensate
Full theory in ground state



nontrivial cancellations
given by phase factors
hard sign problem

$$\mu > m_n/3$$

PQ theory has pion condensate
Full theory has nuclear matter

theories different,
hard sign problem

Silver blaze is a good test for methods claiming to solve the sign problem

Taylor Expansion

$$\ln Z(\mu) = \ln Z(0) + \frac{\mu^2}{2} \frac{\partial^2 \ln Z}{\partial \mu^2} + \frac{\mu^4}{24} \frac{\partial^4 \ln Z}{\partial \mu^4} + \dots$$

$Z(\mu) = Z(-\mu) \rightarrow$ only even powers appear

$$\Delta \left(\frac{p}{T^4} \right) = \sum_{n>0, \text{even}} c_n(T) \left(\frac{\mu}{T} \right)^n$$

Pressure: $\frac{p}{T^4} = \frac{\ln Z}{V T^3}$

$$\text{density} = \frac{1}{2} \frac{1}{N_s^3 N_t} \frac{\partial \ln Z}{\partial \mu}$$

Nonvanishing only at nonzero μ

Charge fluctuations:

$$c_2 = \frac{1}{2} \frac{N_T}{N_s^3} \frac{\partial^2 \ln Z}{\partial \mu^2}$$

Higher order fluctuation
related to kurtosis

$$c_4 = \frac{1}{24} \frac{1}{N_s^3 N_T} \frac{\partial^4 \ln Z}{\partial \mu^4}$$

μ dependence of observable: $\partial_\mu \left(\frac{1}{Z} \int DU e^{-S} X \right) \simeq \langle X n \rangle$

At higher order again correlators, fluctuations appear

Measuring the coefficients of the Taylor expansion

$$\frac{\partial^2 \ln Z}{\partial \mu^2} = N_F^2 \langle T_1^2 \rangle + N_F \langle T_2 \rangle$$

$$\begin{aligned} \frac{\partial^4 \ln Z}{\partial \mu^4} = & -3 \left(\langle T_2 \rangle + \langle T_1^2 \rangle \right)^2 + 3 \langle T_2^2 \rangle + \langle T_4 \rangle \\ & + \langle T_1^4 \rangle + 4 \langle T_3 T_1 \rangle + 6 \langle T_1^2 T_2 \rangle \end{aligned}$$

$$T_1/N_F = \text{Tr}(M^{-1} \partial_\mu M)$$

$$T_{i+1} = \partial_\mu T_i$$

$$T_2/N_F = \text{Tr}(M^{-1} \partial_\mu^2 M) - \text{Tr}((M^{-1} \partial_\mu M)^2)$$

$$\begin{aligned} T_3/N_F = & \text{Tr}(M^{-1} \partial_\mu^3 M) - 3 \text{Tr}(M^{-1} \partial_\mu M M^{-1} \partial_\mu^2 M) \\ & + 2 \text{Tr}((M^{-1} \partial_\mu M)^3) \end{aligned}$$

$$\begin{aligned} T_4/N_F = & \text{Tr}(M^{-1} \partial_\mu^4 M) - 4 \text{Tr}(M^{-1} \partial_\mu M M^{-1} \partial_\mu^3 M) \\ & - 3 \text{Tr}(M^{-1} \partial_\mu^2 M M^{-1} \partial_\mu^2 M) - 6 \text{Tr}((M^{-1} \partial_\mu M)^4) \\ & + 12 \text{Tr}((M^{-1} \partial_\mu M)^2 M^{-1} \partial_\mu^2 M) \end{aligned}$$

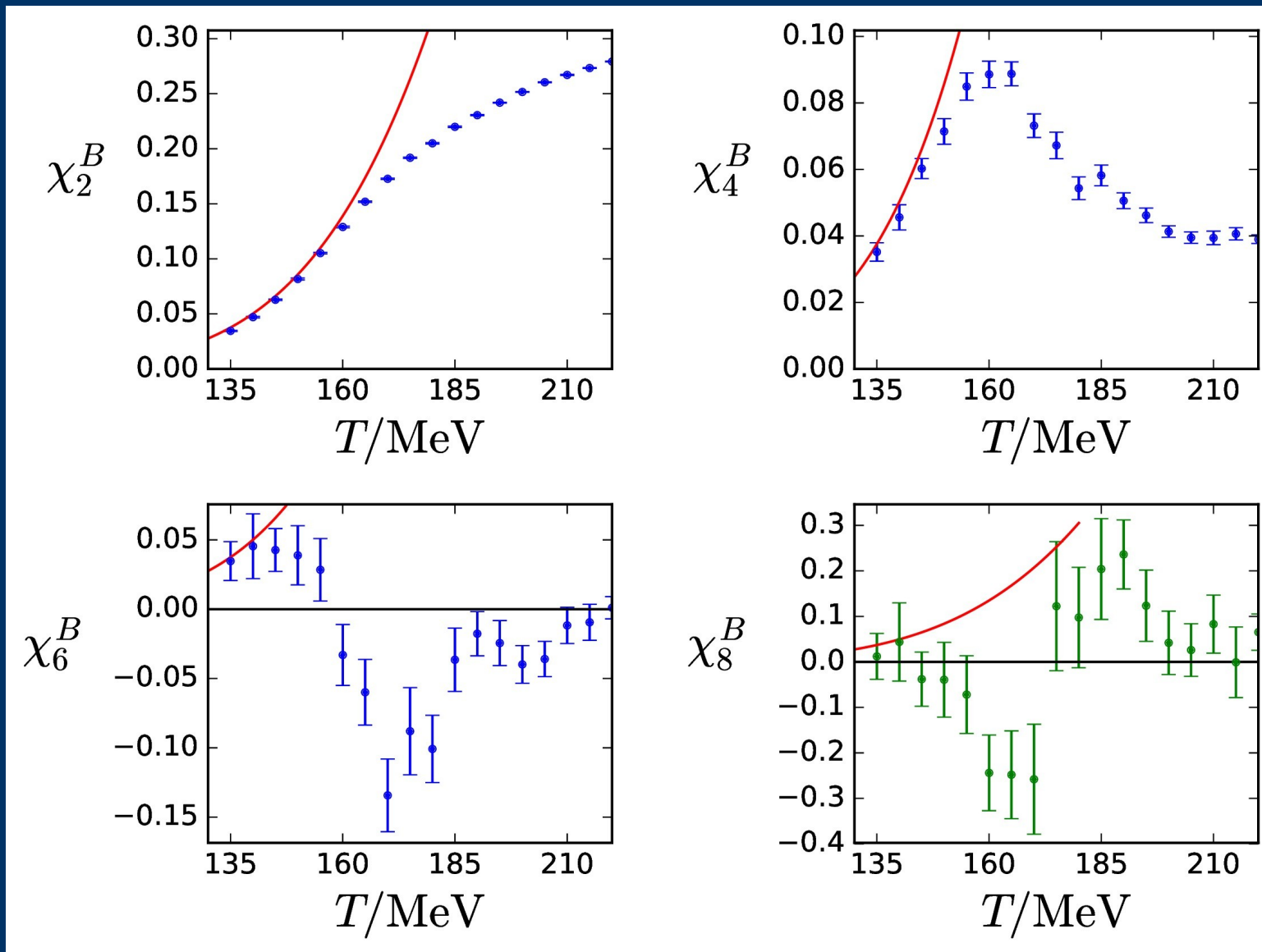
To compare to heavy ion experiments,
chemical potentials are needed for conserved charges:

Baryon: μ^B Strangeness: μ^S Electric: μ^Q

Higher order fluctuations $\chi_{i,j,k}^{B,Q,S} = \frac{\partial^{i+j+k} (p/T^4)}{(\partial \mu^B)^i (\partial \mu^Q)^j (\partial \mu^S)^k}$

Fluctuations are expected to diverge in the vicinity of a critical point

Subject of intense experimental and theoretical work



[Fig: BW collaboration]

Up to 8th order fluctuations calculated on the lattice

Freezout temperature

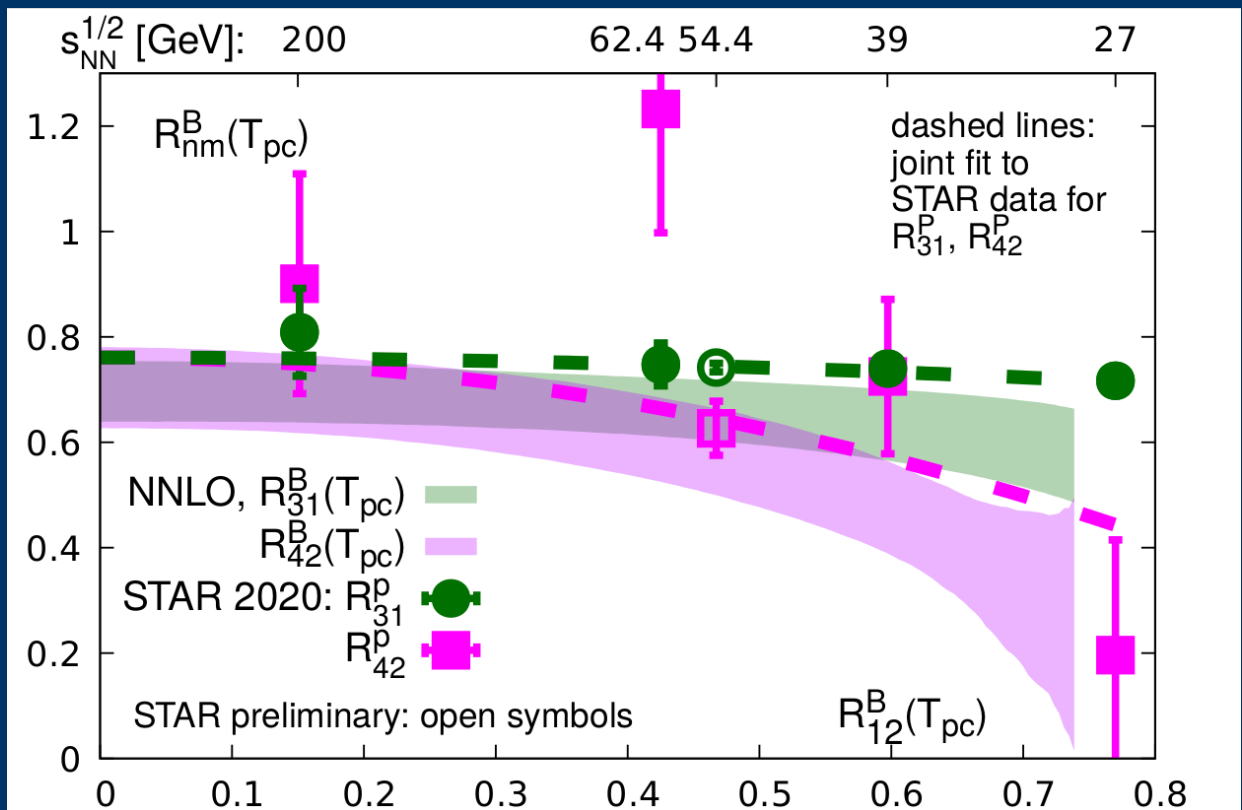
To cancel out volume dependence, one looks at ratios, e.g.:

$$R_{12}^B(T, \mu_B) = \frac{M_B}{\sigma_B^2} = \frac{\chi_1^B(T, \mu_B)}{\chi_2(T, \mu_B)} \quad R_{31}^B(T, \mu_B) = \frac{S_B \sigma_B^3}{M_B} \frac{\chi_3^B(T, \mu_B)}{\chi_1(T, \mu_B)}$$

$R_{31}(R_{12}) \rightarrow$ no need to measure T, μ

STAR data fits well
with lattice data from
pseudocritical line

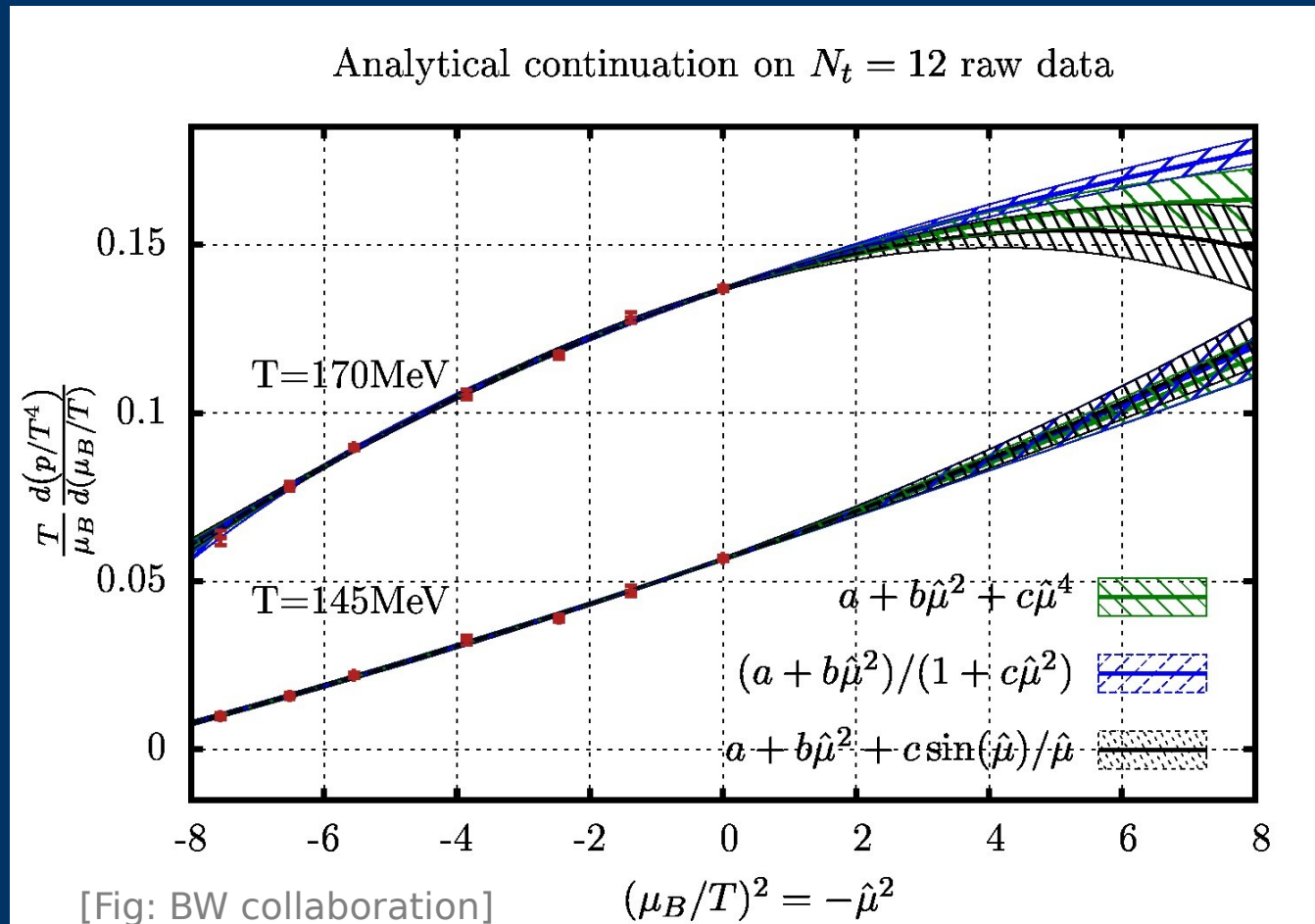
Higher order fluctuations
noisy from both sides
possible tension



Imaginary chemical potentials

No sign problem at imaginary chemical potentials

$$\det(M(U, -\mu^*)) = (\det(M(U), \mu))^*$$



Fit function unknown \longrightarrow systematic error

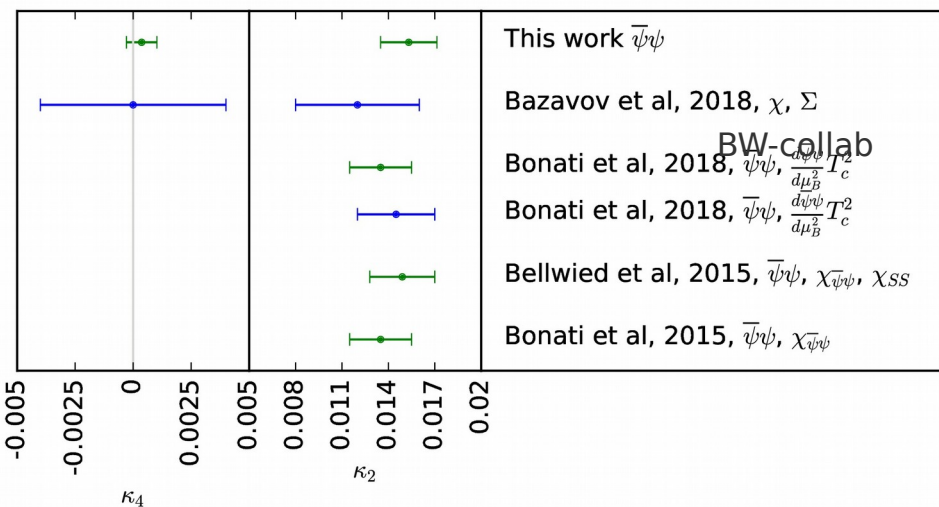
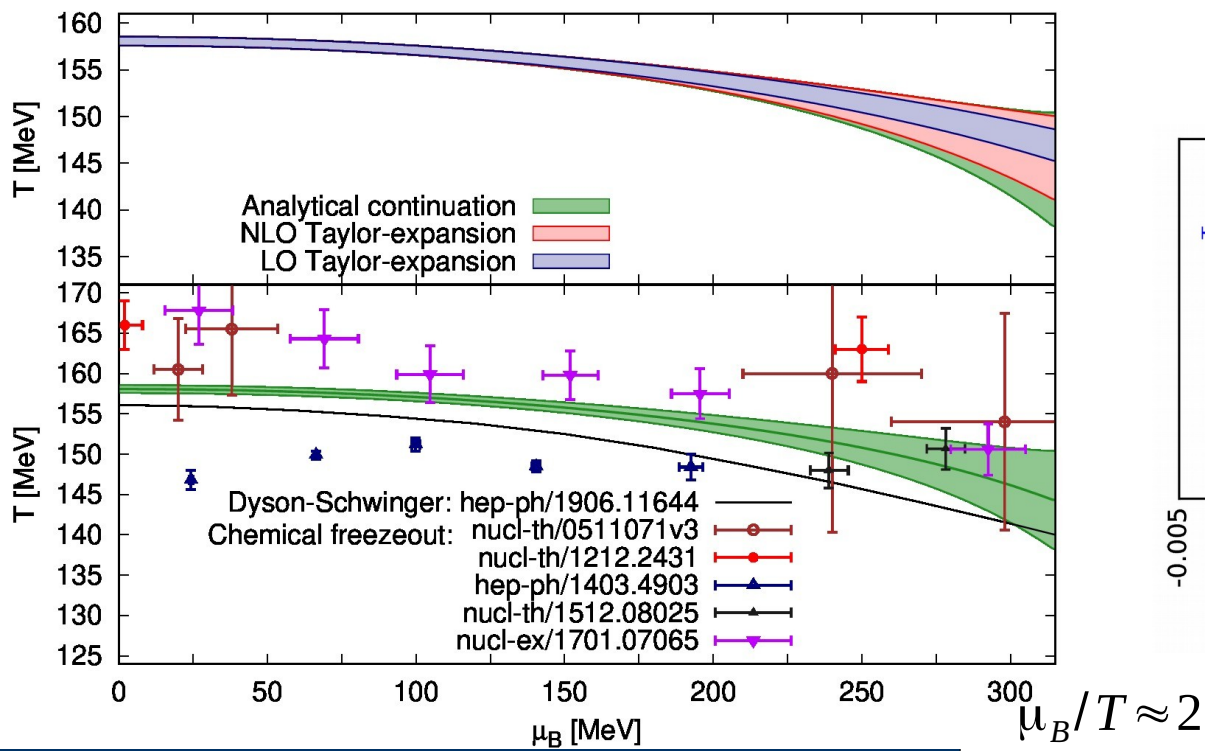
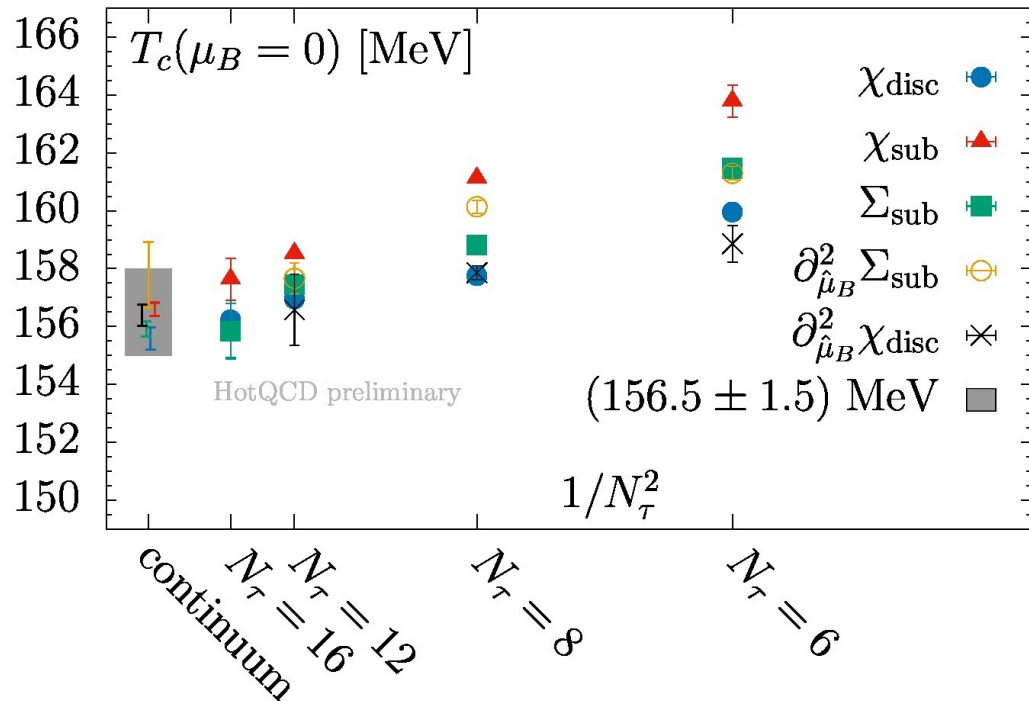
Loses predictivity around $\mu_B/T \simeq 3$ $\mu_q/T \simeq 1$

Transition Temperature

At zero μ transition is crossover
 Ambiguous definitions
 nevertheless converge in cont.lim.

Pseudocritical line:

$$\frac{T_c(\mu_B)}{T_c(0)} = 1 - \kappa_2 \left(\frac{\mu_B}{T_c}\right)^2 - \kappa_4 \left(\frac{\mu_B}{T_c}\right)^4 + O(\mu_B^6)$$



Critical endpoint?

What seems to be clear so far (for physical quark masses):

No critical point at $\mu_B/T < 3$ $\mu_q/T < 1$

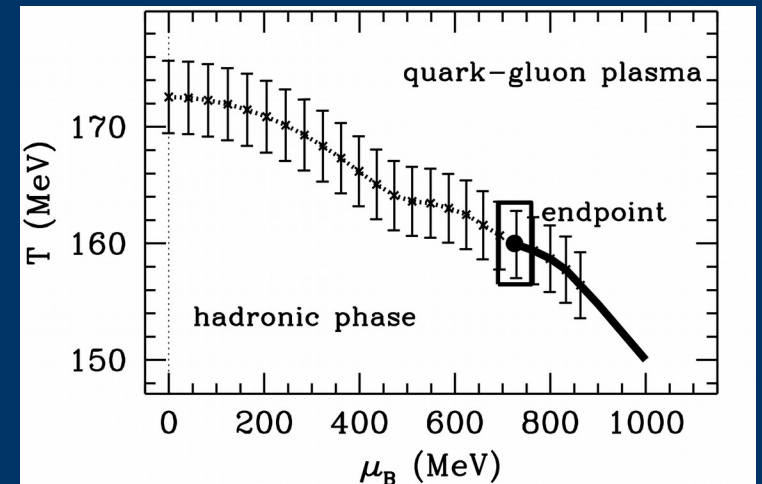
(in the reach of extrapolation methods: Taylor, reweighting, imaginary mu)

Attempts to locate it:

Reweighting

Small lattices
Out of the range of expected validity

Convergence radius



[Fodor,Katz(2002)]

Various estimators for Taylor expansions

$$r_{2n} = \sqrt{\frac{\chi_{2n}}{\chi_{2n+2}}}$$

Could also signal other singularities
on complex plane: Lee-Yang zeroes, Roberge-Weiss

$$r_k = \left| \frac{2}{2kc_{2k} + k^2 c_k^2} \right|^{\frac{1}{2k}}$$

No solid results so far

Columbia Plot

What would be the phase transition at zero mu be like
If we could change the quark masses

Useful tool to understand possible phase transitions

Pure gauge: Z_3 symmetric

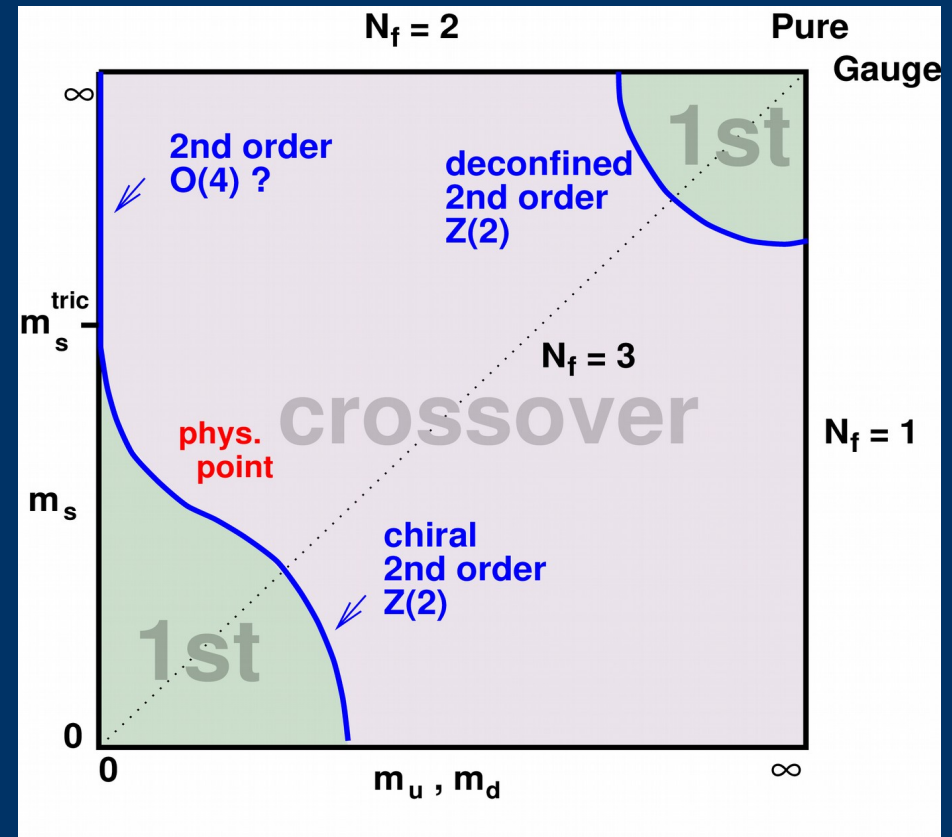
change temporal links on a time slice

$$U_t \rightarrow z U_t \quad z = e^{i2\pi k/N_c}$$

center of $SU(N)$

Spontaneously broken in
first order transition

quarks give explicit breaking



Center symmetry and imaginary chemical potential

$$U_t \rightarrow z U_t \quad U_t^{-1} = z^{-1} U_t \quad z = e^{i2\pi k/N_c}$$

Chemical potential dependence of Dirac matrix
Can be transformed to a single time-slice

with μ_I temporal links in the Dirac matrix get extra factor:

$$U_t \rightarrow e^{iN_t \mu_I} U_t \quad U_t^{-1} \rightarrow e^{-iN_t \mu_I} U_t^{-1}$$

equivalent to center transformation if $\frac{\mu_I}{T} = \frac{2\pi k}{N_c}$

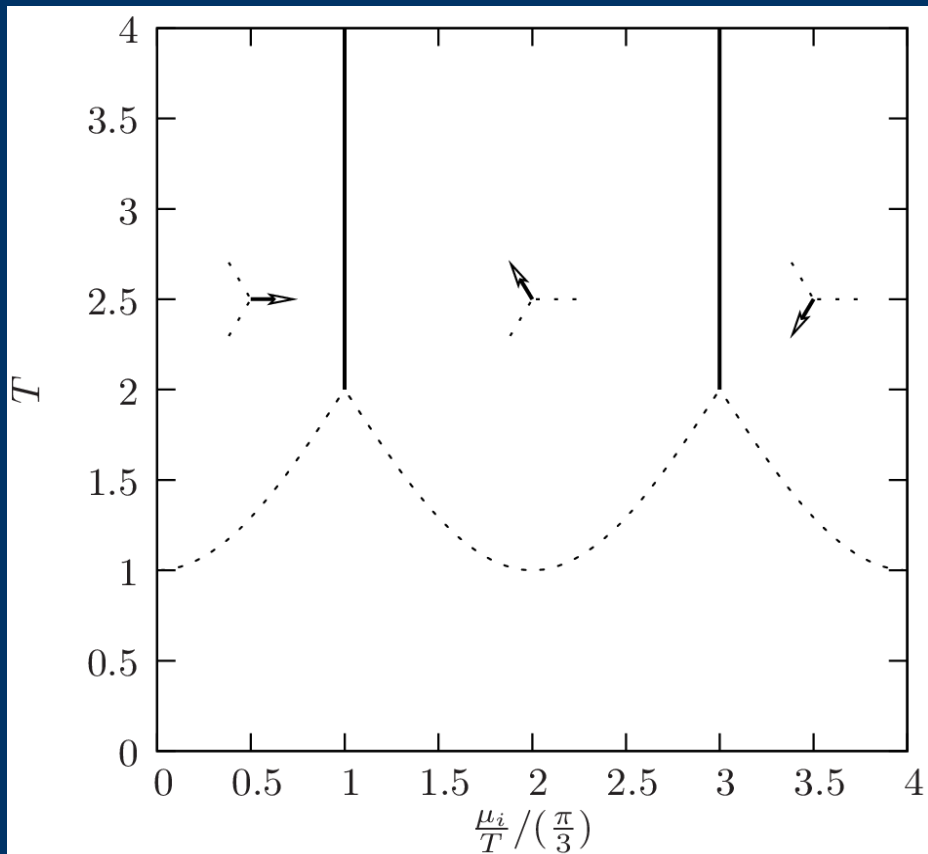
Roberge-Weiss symmetry:
$$Z\left(\frac{\mu}{T}\right) = Z\left(\frac{\mu}{T} + \frac{i2\pi k}{N_c}\right)$$

Configurations in the different sectors are center transformed

At large temperatures where Polyakov loop is nonzero
phase boundaries thus must exist: Roberge-Weiss transition

Polyakov loop is order parameter

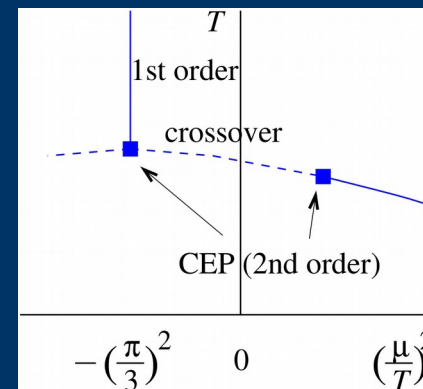
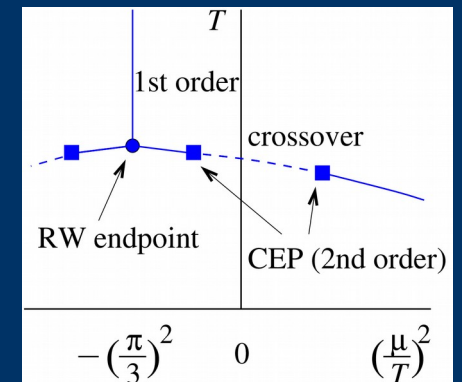
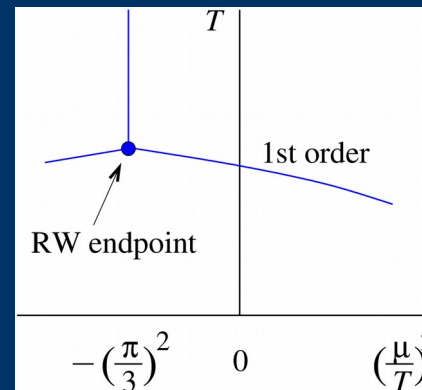
Phase diagram in $\mu_I - T$ plane



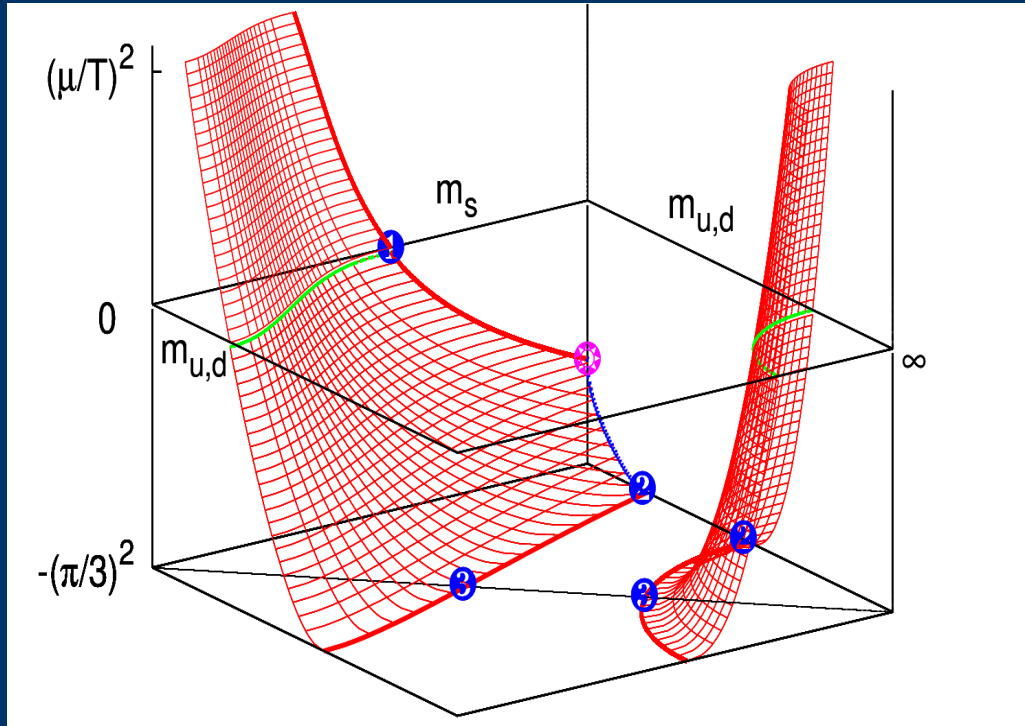
On Temperature axis, there is also a transition

Is it connected with the RW-transition?

Different scenarios according to quark masses



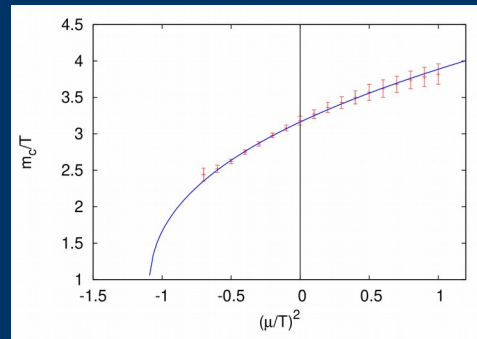
3D Columbia plot



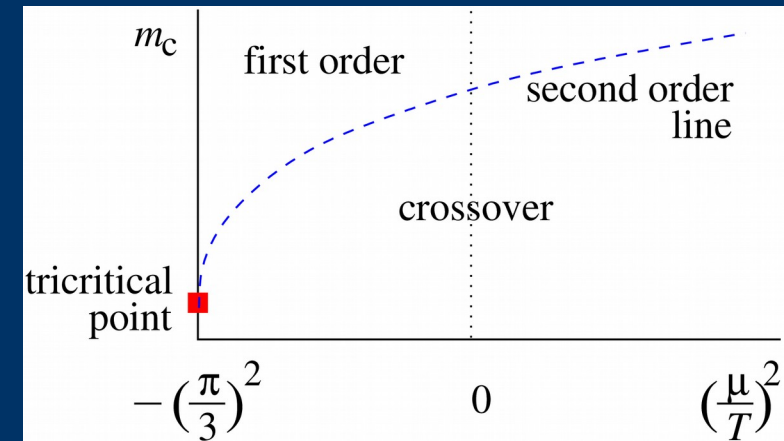
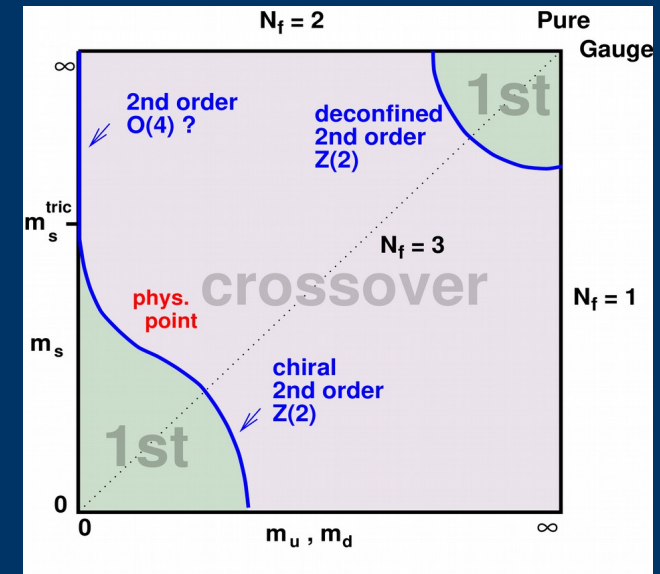
[Bonati et.al (2012)]

First order region seems to shrink at real μ

Results for heavy quark effective theories for $N_F=3$
 Tricritical scaling accurately reproduced



Columbia plot extended with μ^2 axis



Nonzero density on the lattice

Dénes Sexty

KFU Graz



12-13.05.2022. DK-PI Retreat

1. Lattice QCD – nonzero density in lattice QCD – sign problem
 2. Reweighting – Taylor expansion – imaginary μ
 3. Complex Langevin and Lefschetz thimbles
-
-

Importance Sampling

We are interested in a system
Described with the partition sum:

$$Z = \int D\phi e^{-S} = \text{Tr} e^{-\beta(H - \mu N)} = \sum_C W[C]$$

Typically exponentially many configurations,
no direct summation possible.

If the Weight is positive, build a Markov chain with the Metropolis algorithm

$$\dots \rightarrow C_{i-1} \rightarrow C_i \rightarrow C_{i+1} \rightarrow \dots$$

Probability of visiting C $p(C) \sim W[C]$

$$\langle X \rangle = \frac{1}{Z} \text{Tr} X e^{-\beta(H - \mu N)} = \frac{1}{Z} \sum_C W[C] X[C] = \frac{1}{N} \sum_i X[C_i]$$

This works if we have $W[C] \geq 0$

Otherwise we have a **Sign problem**

Langevin Equation (aka. stochastic quantisation)

Given an action $S(x)$

Stochastic process for x :
$$\frac{dx}{d\tau} = -\frac{\partial S}{\partial x} + \eta(\tau)$$

Gaussian noise

$$\begin{aligned}\langle \eta(\tau) \rangle &= 0 \\ \langle \eta(\tau) \eta(\tau') \rangle &= \delta(\tau - \tau')\end{aligned}$$

Random walk in configuration space

Averages are calculated along the trajectories:

$$\langle O \rangle = \lim_{T \rightarrow \infty} \frac{1}{T} \int_0^T O(x(\tau)) d\tau = \frac{\int e^{-S(x)} O(x) dx}{\int e^{-S(x)} dx}$$

Numerically,
results are extrapolated to $\Delta\tau \rightarrow 0$

Complex Langevin Equation

Given an action $S(x)$

Stochastic process for x :
$$\frac{dx}{d\tau} = -\frac{\partial S}{\partial x} + \eta(\tau)$$

Gaussian noise

$$\begin{aligned} \langle \eta(\tau) \rangle &= 0 \\ \langle \eta(\tau) \eta(\tau') \rangle &= \delta(\tau - \tau') \end{aligned}$$

Averages are calculated along the trajectories:

$$\langle O \rangle = \lim_{T \rightarrow \infty} \frac{1}{T} \int_0^T O(x(\tau)) d\tau = \frac{\int e^{-S(x)} O(x) dx}{\int e^{-S(x)} dx}$$

The field is complexified

$$\frac{dx}{d\tau} = -\frac{\partial S}{\partial x} + \eta(\tau)$$

real scalar \longrightarrow complex scalar

link variables: $SU(N)$ \longrightarrow $SL(N, \mathbb{C})$
compact \qquad non-compact

$$\det(U) = 1, \quad U^\dagger \neq U^{-1}$$

Analytically continued observables

$$\frac{1}{Z} \int P_{comp}(x) O(x) dx = \frac{1}{Z} \int P_{real}(x, y) O(x + iy) dx dy$$

$$\langle x^2 \rangle_{real} \rightarrow \langle x^2 - y^2 \rangle_{complexified}$$

Lefschetz Thimble

Transform integral by shifting contour

$$\int_{-\infty}^{\infty} dx e^{S(x)} F(x) = \int_C dz e^{S(z)} F(z) = \int dt \left(\frac{dz}{dt} \right) e^{S(z(t))} F(z(t))$$

Better than the original contour if

- $e^{\text{Re}(S(z(t)))}$ peak + fast decay
- $e^{i\text{Im}(S(z(t)))}$ milder sign problem than original

$$\text{Im}(S(z(t))) = \text{const} \quad \longleftrightarrow \quad \text{steepest descent of } \text{Re}(S(z(t)))$$

Thanks to Cauchy-Riemann equations

Lefschetz thimble is a contour which starts from saddle points $\partial_z S(z_0) = 0$

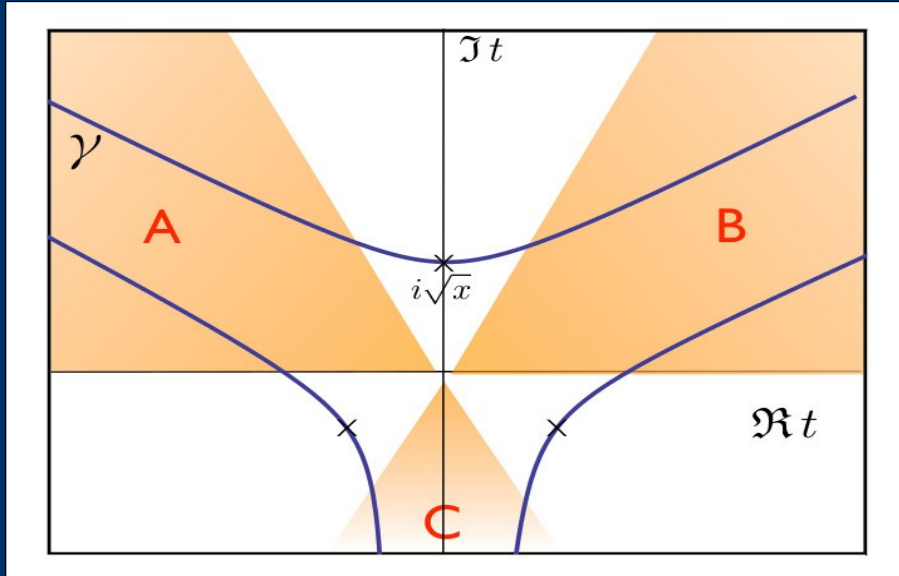
$$\frac{\partial z}{\partial t} = \pm \overline{\partial_z S(z)}$$

$$z \rightarrow z_0 \text{ for } t \rightarrow \infty$$

+: stable thimble \longleftrightarrow steepest descent
 -: unstable thimble \longleftrightarrow steepest ascent

$$Z = \sum_k m_k e^{-\text{Im}S(z_k)} \int_{T_k} dz e^{\text{Re}S(z)} = \sum_k m_k e^{-\text{Im}S(z_k)} \int_{T_k} dt \frac{dz}{dt} e^{\text{Re}S_k(t)}$$

Intersection number (Morse theory)



[Fig: Scorzato]

Residual sign problem
from curvature of thimble
Is it mild?
Is it exponential in the volume?

Global sign problem
Easy as long as few thimbles contribute

For large systems: $\sum_k m_k T_k \rightarrow T_0$

Choose thimble with the global minimum
Regularisation of QFT
Resurgence

Langevin and Lefschetz

Both use analyticity and complexification
Direct simulation of complex actions is possible

Complex Langevin Eq.

Allow complex drift in
Langevin eq.

Complexify the field manifold
Dimensions are doubled

Check for convergence

$$\frac{1}{Z} \int P_{comp}(x) O(x) dx =$$
$$\frac{1}{Z} \int P_{real}(x, y) O(x + iy) dx dy$$

Lefschetz thimble

Shift integration contour into
complex plane

Look for critical points,
Find contributing thimbles

Reweight the residual sign problem

$$\frac{1}{Z} \int P_{comp}(x) O(x) dx =$$
$$\frac{1}{Z} \int_{\Gamma} P_{comp}(z) O(z) dz$$

Gaussian Example

$$S[x] = \sigma x^2 + i\lambda x$$

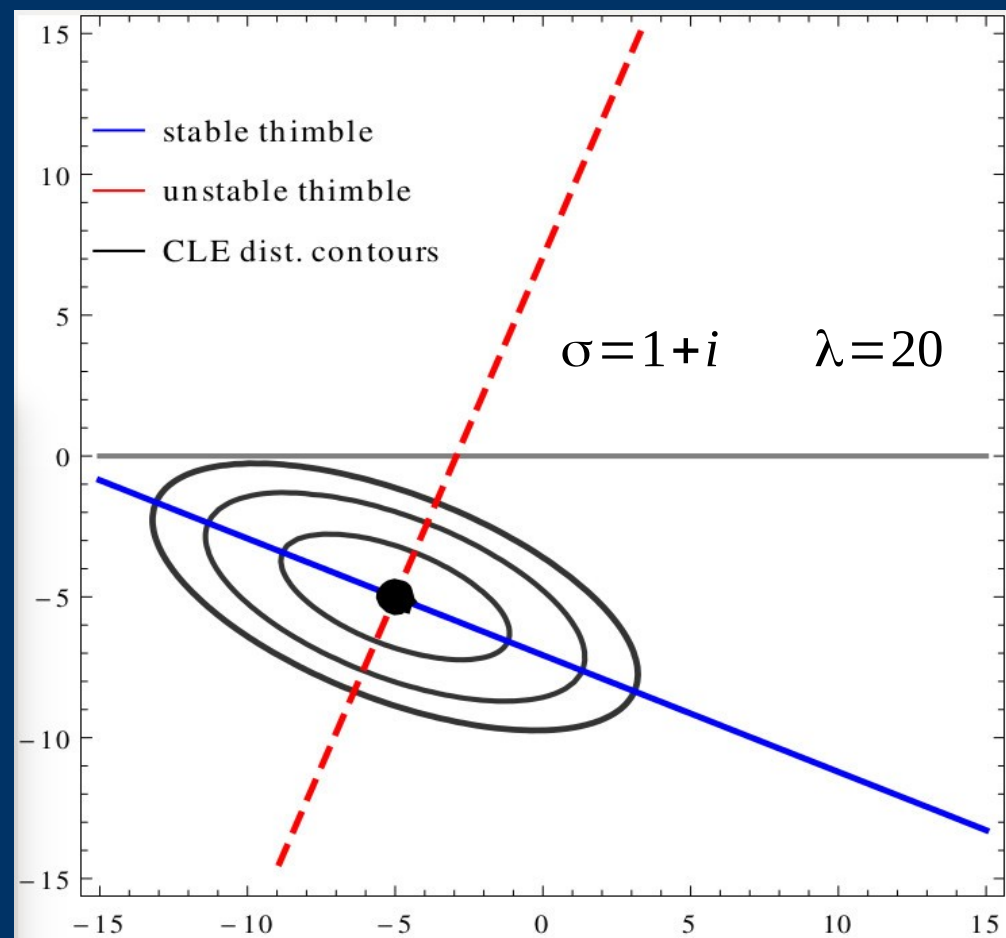
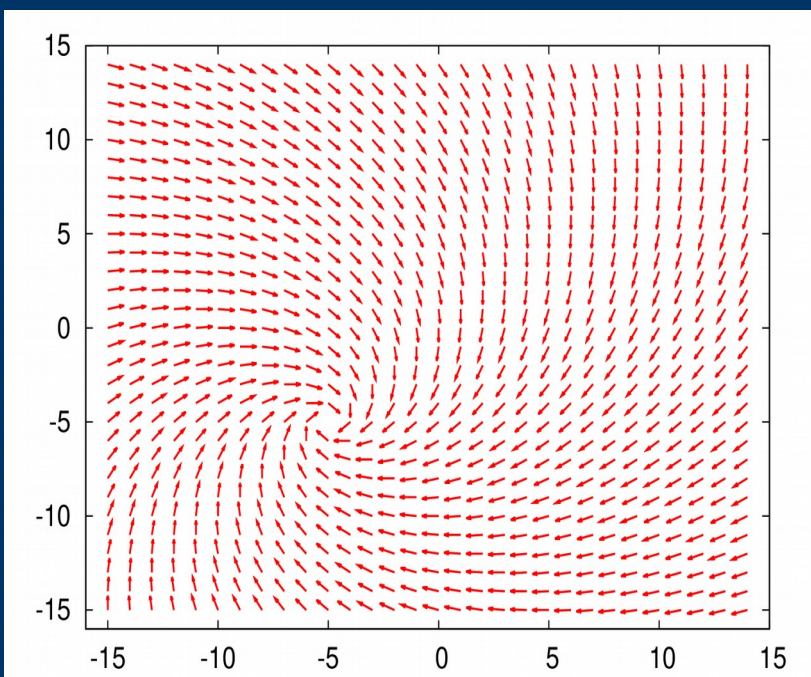
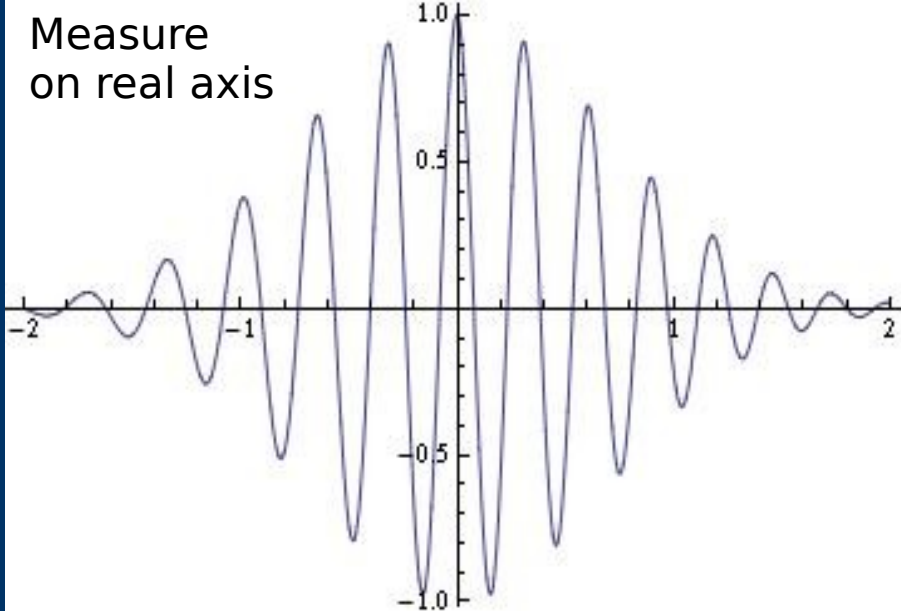
CLE

$$\frac{d}{d\tau}(x+iy) = -2\sigma(x+iy) - i\lambda + \eta$$

$$P(x, y) = e^{-a(x-x_0)^2 - b(y-y_0)^2 - c(x-x_0)(y-y_0)}$$

Gaussian distribution
around critical point

$$\left. \frac{\partial S(z)}{\partial z} \right|_{z_0} = 0$$



Thimbles in practice

Finding the thimbles is easy in toy models – hard on the lattice

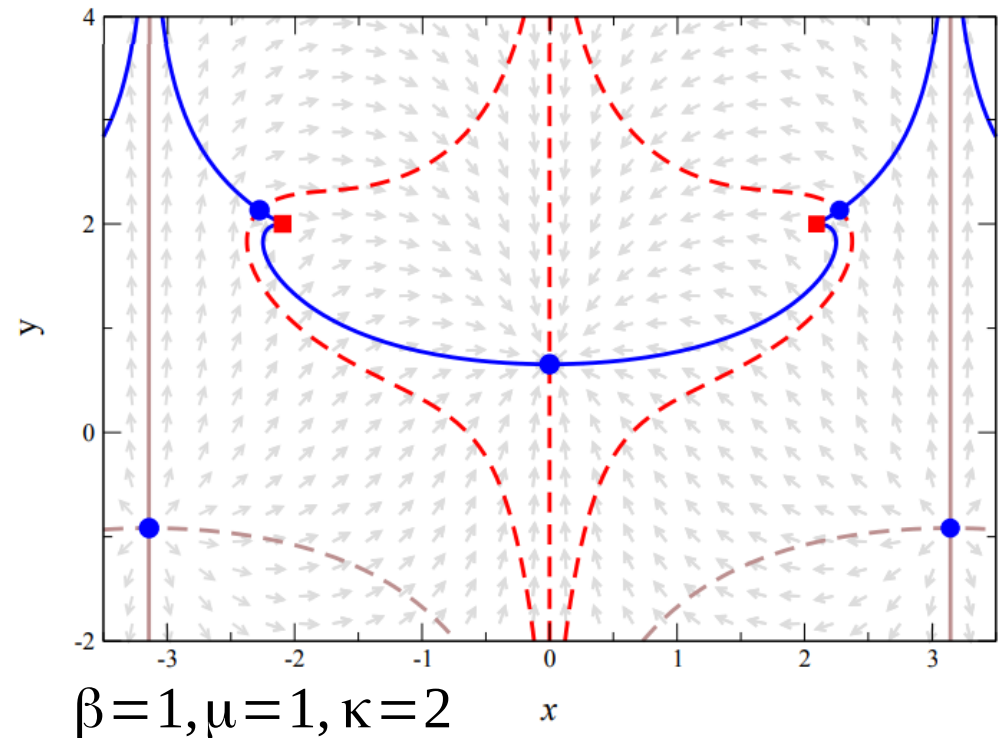
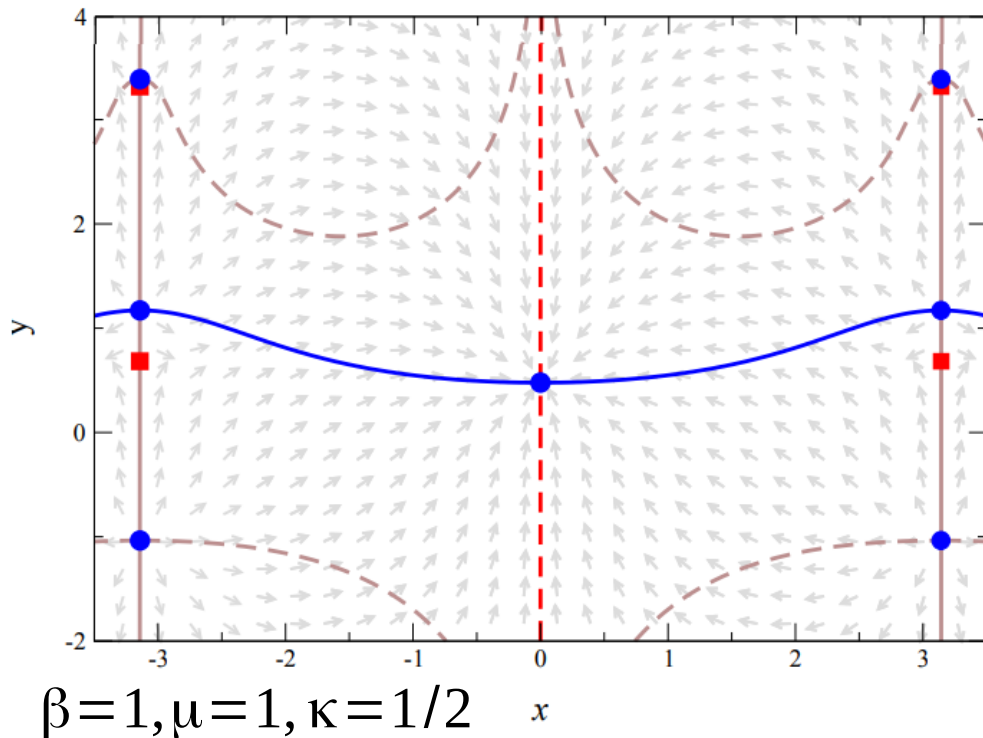
Need to search critical points and zeroes of the measure

Thimble structure changes qualitatively as parameters of the action change

Numerically it is costly to parametrize the thimble, calculate Jacobian

Example:

$$S = -\beta \cos(z) - \ln(1 + \kappa \cos(z - i\mu))$$



Sign optimized manifolds

Transform integral by shifting contour

$$\int_{-\infty}^{\infty} dx e^{S(x)} F(x) = \int_C dz e^{S(z)} F(z) = \int dt \left(\frac{dz}{dt} \right) e^{S(z(t))} F(z(t))$$

In some cases it is advantageous to not go to the thimble.

- hard to parametrize numerically
- multiple contributing thimbles

If we have a map of Real manifold \longrightarrow Complexified manifold such that

- Easy to parametrize
- Jacobian is cheap
- Residual sign problem is mild

Cost of simulations is better than both Real manifold and Thimbles

Parametrize using e.g. neural networks
Finite flow time
Ansatz

$$\frac{\partial z}{\partial t} = \pm \overline{\partial_z S(z)}$$

Thirring model on sign-optimized manifold

$$S = \sum_{x,v} \frac{N_F}{g^2} (1 - \cos(A_{xv})) + \sum_{x,y} \bar{\psi}_x D_{xy}(A, \mu) \psi_y$$

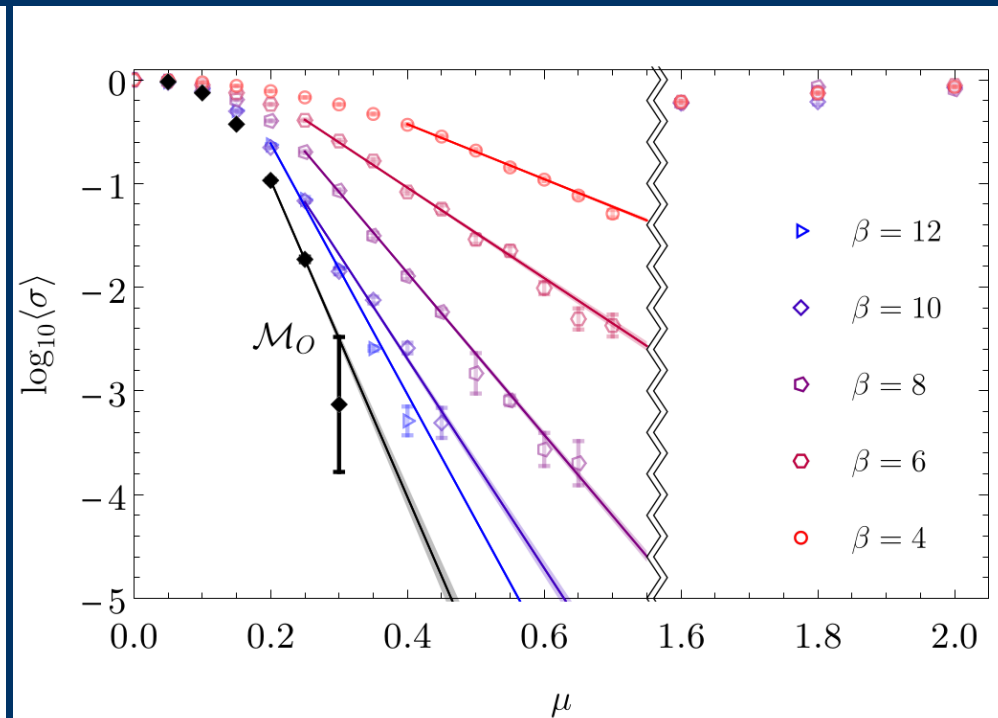
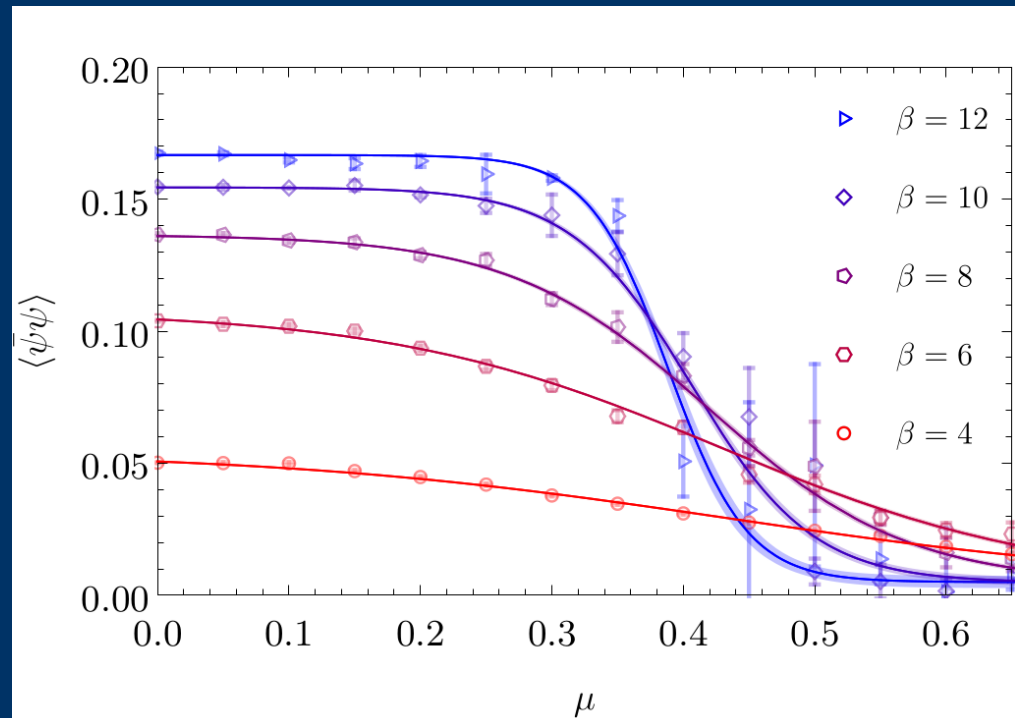
[Alexandru et. al. (2018)]

$D_{xy}(A, \mu) =$ staggered fermion matrix, $\det(D) \in \mathbb{C}$

Parametrize new manifold as:

$$\begin{aligned} \tilde{A}_0 &= A_0 + i(\lambda_0 + \lambda_1 \cos(A_0) + \lambda_2 \cos(2A_0)) \\ \tilde{A}_1 &= A_1 \quad \tilde{A}_2 = A_2 \end{aligned}$$

Search for the minimum of $\langle e^{i\varphi} \rangle_{PQ}$ numerically, fixing $\lambda_0, \lambda_1, \lambda_2$



Lefschetz thimbles

Need to find contributing thimbles
Or define sign-improved manifold.

How to cheaply parametrize the new manifolds?

How to cheaply simulate on the new manifolds – Jacobian determinant has to be cheap

Is the residual sign problem still exponential in the volume?

If one wants lattice sizes e.g. 16^4 to work,
simulation on 4^4 has to have $\langle e^{i\varphi} \rangle \approx 0.999$

Models studied so far:

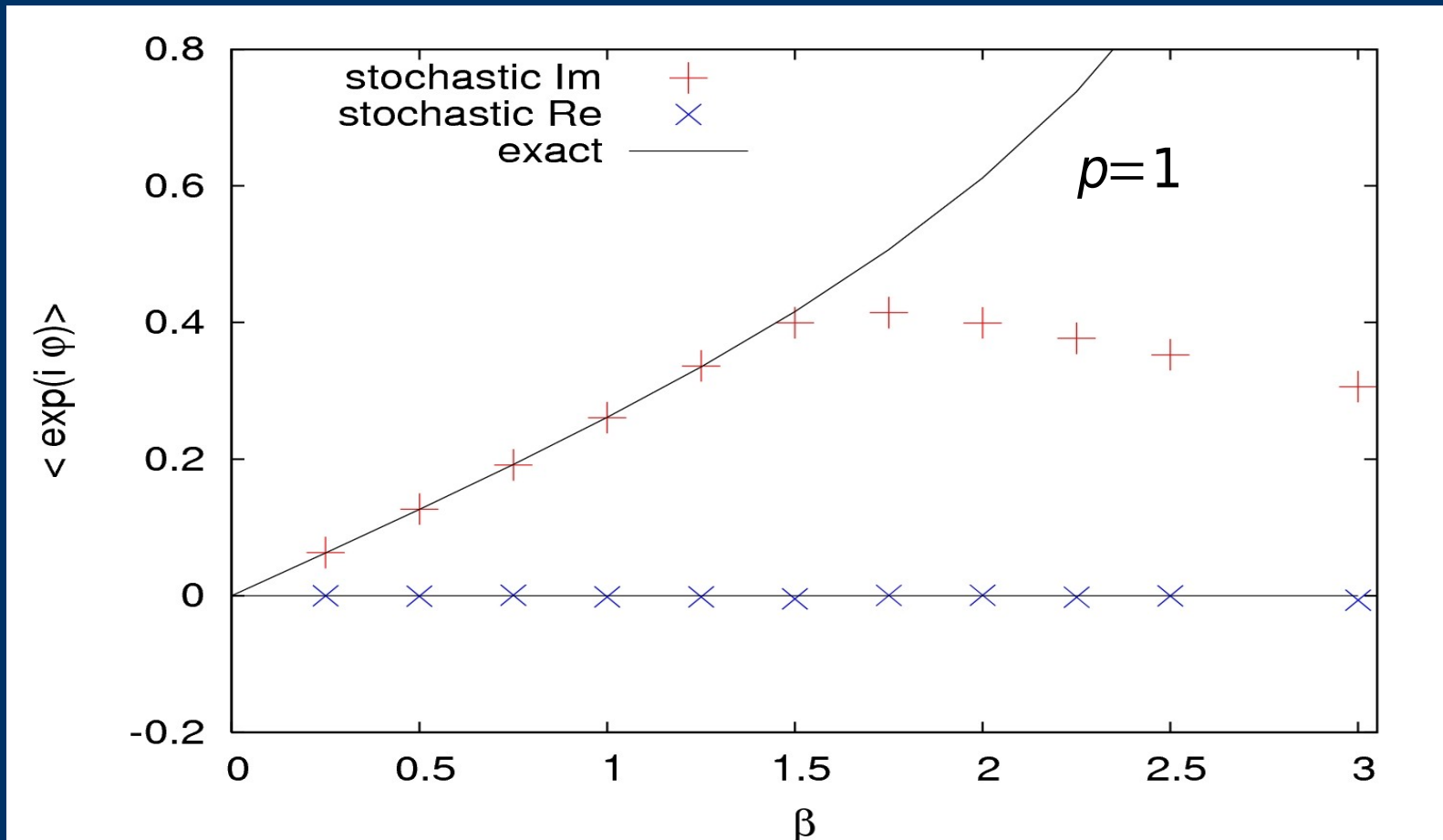
Toy models, lattice models: fermionic models (Thirring), Bose gas.
First attempts at tackling gauge symmetry

U(1) One plaquette model with CLE

Using the action $S_p = i\beta \cos(\varphi) + i\rho\varphi$

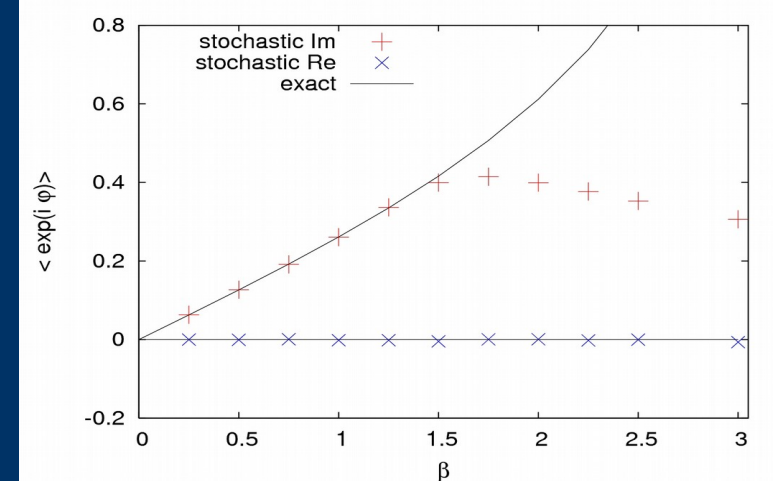
$$\text{Langevin equation: } \frac{d\varphi}{d\tau} = -i\beta \sin\varphi + i\rho + \eta(\tau)$$

Correct results obtained for $\langle \exp(i\varphi) \rangle$ in the region: $\beta \leq \rho$



Flowchart: normalized drift vectors on the complex plane

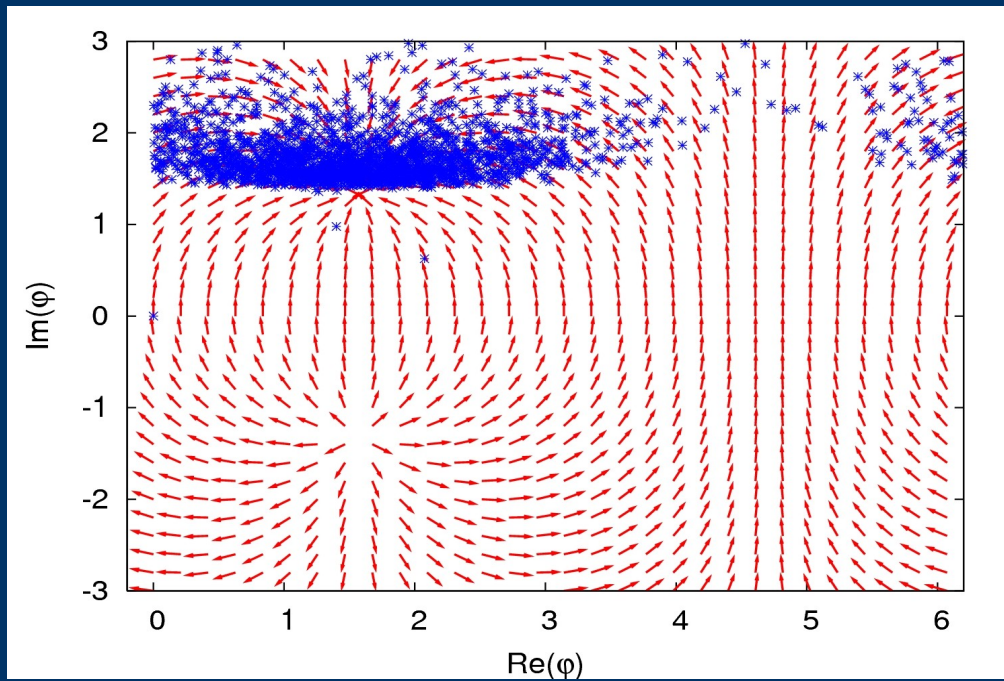
shows fixedpoint (zero drift term) structure on the complex φ plane



Attractive fixedpoint present

Fast decay
correct results

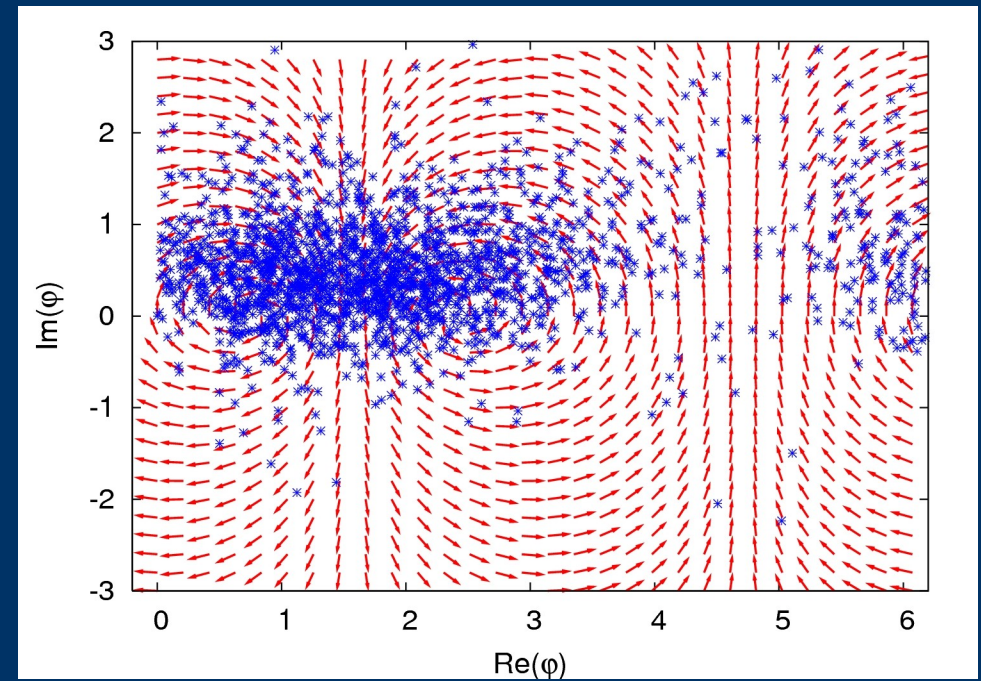
$$\beta=0.5, p=1$$



No attractive fixedpoint present
(only indifferent)

slow decay
incorrect results

$$\beta=1.5, p=1$$



Gauge theories and CLE

link variables: $SU(N)$ \longrightarrow $SL(N, \mathbb{C})$
compact non-compact
 $\det(U) = 1, \quad U^\dagger \neq U^{-1}$

Gauge degrees of freedom also complexify



Infinite volume of irrelevant, unphysical configurations

Process leaves the $SU(N)$ manifold exponentially fast
already at $\mu \ll 1$

Unitarity norm:

Distance from $SU(N)$

$$\sum_i \text{Tr}(U_i U_i^\dagger)$$

$$\sum_{ij} |(U U^\dagger - 1)_{ij}|^2$$

$$\text{Tr}(U U^\dagger) + \text{Tr}(U^{-1} (U^{-1})^\dagger) \geq 2N$$

Gauge cooling

[Seiler, Sexty, Stamatescu '13]

Minimize unitarity norm $\sum_i \text{Tr}(U_i U_i^\dagger - 1)$
 Distance from SU(N)

Gauge transformation along
 Steepest descent

Gauge cooling
 keeps 'skirts' from developing
 ensuring correct results

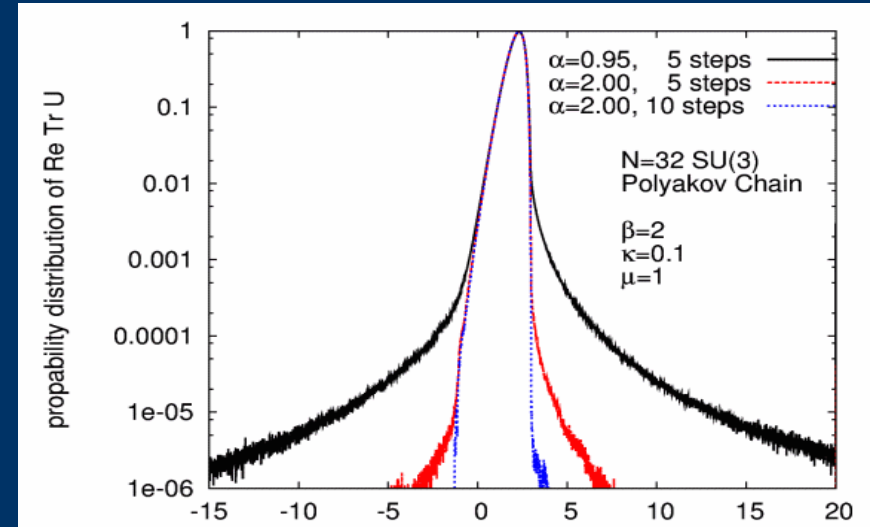
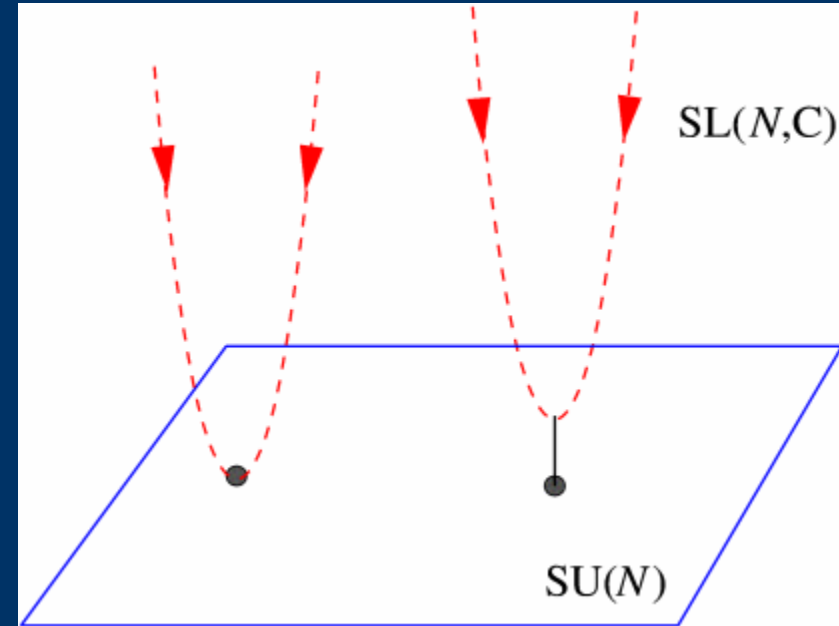
Empirical observation:
 Cooling is effective for

$$\beta > \beta_{\min}$$

$$a < a_{\max}$$

but remember, $\beta \rightarrow \infty$
 in cont. limit

$$a_{\max} \approx 0.1 - 0.2 \text{ fm}$$



Argument for correctness of CLE results

If there is fast decay $P(x, y) \rightarrow 0$ as $x, y \rightarrow \infty$

and a holomorphic action $S(x)$

then CLE converges to the correct result

[Aarts, Seiler, Stamatescu (2009)

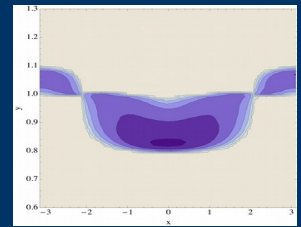
Aarts, James, Seiler, Stamatescu (2011)]

Loophole 1: Non-holomorphic action for nonzero density

$$S = S_W[U_\mu] + \ln \text{Det } M(\mu)$$

measure has zeros ($\text{Det } M = 0$)
complex logarithm has a branch cut
————▶ meromorphic drift

No problems if poles are not 'touched' by distribution
satisfied for: HDQCD, full QCD at high temperatures

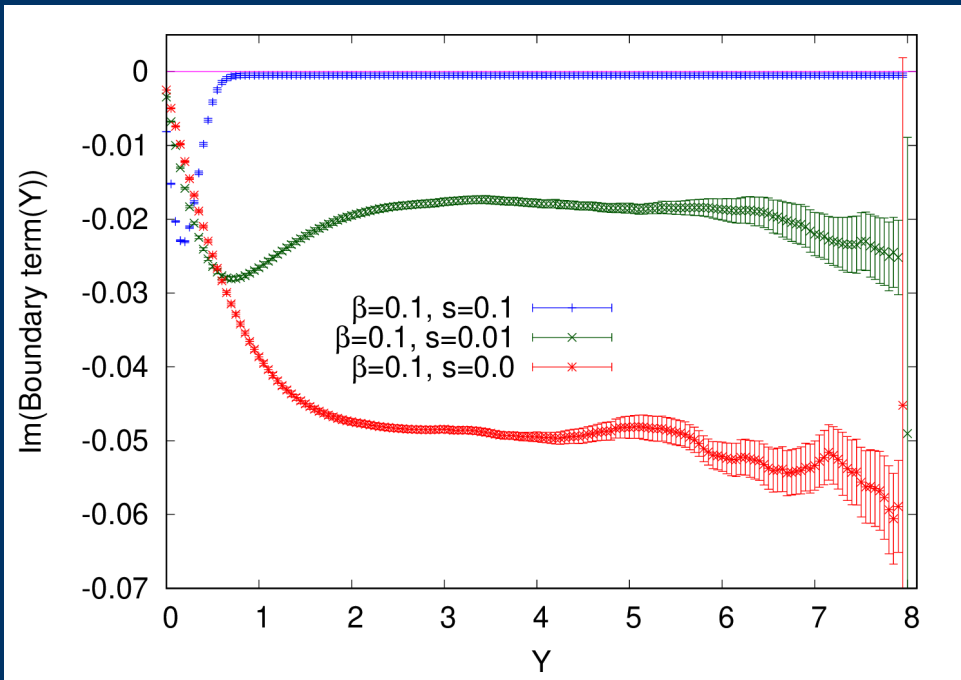


[Aarts, Seiler, Sexty, Stamatescu '17]

Loophole 2: decay not fast enough

boundary terms can be nonzero
explicit calculation of boundary terms possible

[Scherzer, Seiler, Sexty, Stamatescu (2018)+(2019)]



Unambiguous detection of boundary terms
given by plateau as 'cutoff' $Y \rightarrow \infty$

Observable cheap also for lattice systems

Measuring “corrected observable”
in case boundary term nonzero

$P(x, y, t)$: probability density on the complex plane at Langevin time t

$\rho(x) = \frac{1}{Z} e^{-S(x)}$: complex measure

CLE works, if

What we want

$$\int dx \rho(x) O(x)$$

=

What we get with CLE

$$\int dx dy P(x, y) O(x+iy)$$

Interpolating function:

$$F(t, \tau) = \int P(x, y, t-\tau) O(x+iy, \tau) dx dy$$

$$F(t, 0) = \langle O(x+iy) \rangle_{P(t)}$$

$$F(t, t) = \dots = \langle O(x) \rangle_{\rho(t)}$$

$$\partial_\tau F(t, \tau) = 0 \quad \Rightarrow \quad \text{CLE is correct}$$

Boundary terms as a volume integral

[Scherzer, Seiler, Sexty, Stamatescu (2018+2019)]

Calculating an observable defined on a compact boundary in many dimensions can be inconvenient

$$\partial_\tau F_O(Y, t, \tau=0) = B_O(Y, t, \tau=0) = \int_{-Y}^Y P(x, y, t) L_c O(x+iy) - \int_{-Y}^Y (L^T P) O(x+iy, 0)$$

Observable with a cutoff
easy to do in many dimensions

Vanishes as process equilibrates

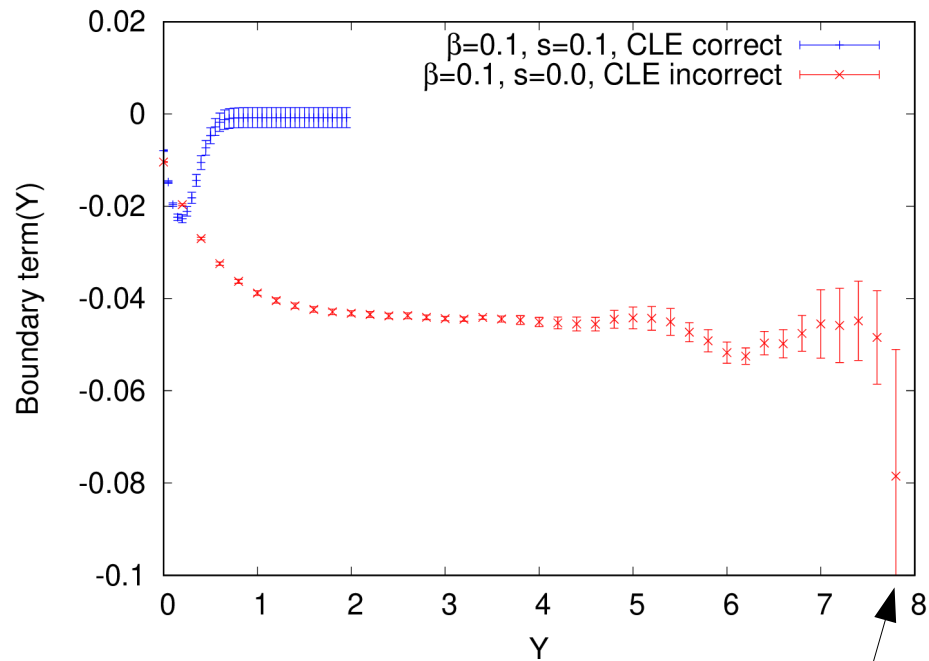
$$L_c O(x+iy) \quad \text{consistency conditions} \quad \approx \text{Schwinger-Dyson eqs.}$$

Order of limits crucial

$$\lim_{t \rightarrow \infty} \lim_{Y \rightarrow \infty} \int_{-Y}^Y P(x, y, t) L_c O(x+iy) \quad \text{can be undefined}$$

One plaquette model

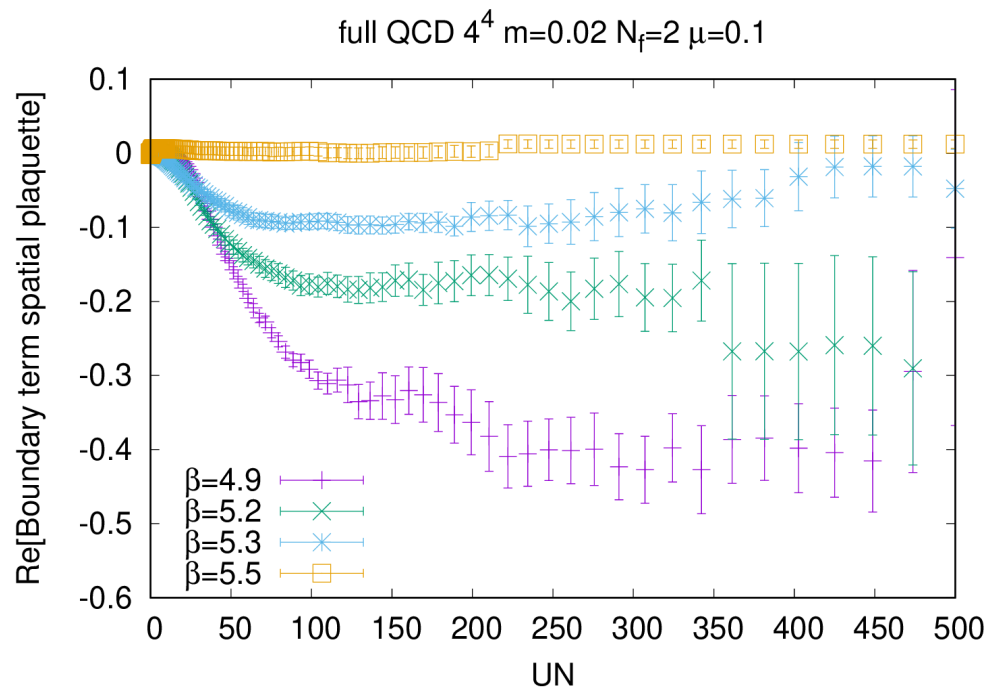
$$S(x) = i\beta \cos(x) + \frac{s}{2} x^2$$



last point with no cutoff
 large fluctuations
 consistent with zero?

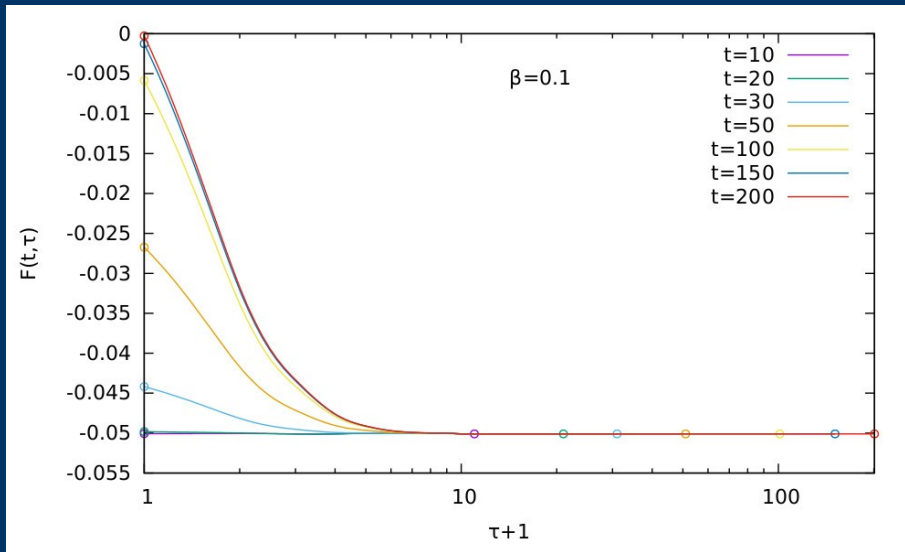
Full QCD

Boundary term for spatial plaquettes



Unambiguous detection of boundary terms
 Observable cheap also for lattice systems

Correcting CLE using boundary terms



Interpolation function

$$F(t, \tau) = \sum A_n \exp(-\omega_n \tau)$$

Ansatz

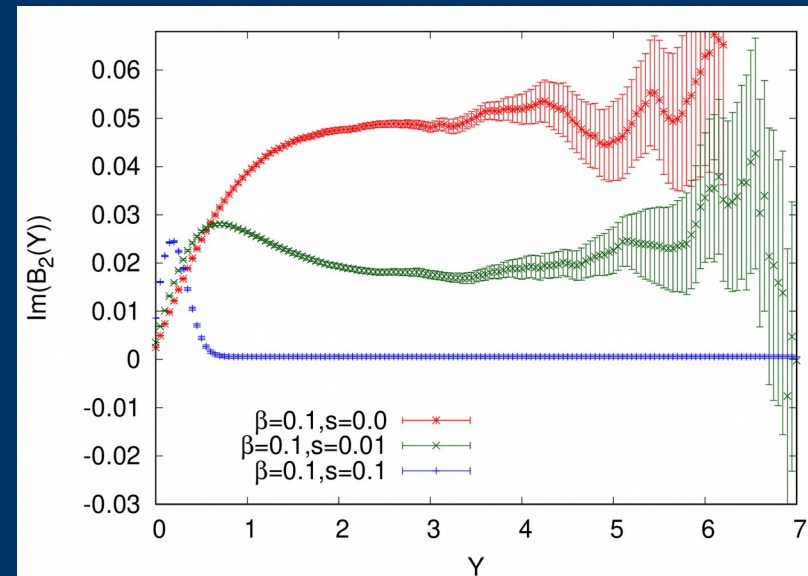
$$F(t, \tau) = A_0 + A_1 \exp(-\omega_1 \tau)$$

Higher order boundary terms

$$\frac{\partial^n F(t, \tau)}{\partial \tau^n} = B_n = \langle L_c^n O \rangle$$

Systematic error of CLE

$$F(t, 0) - F(t, t) = B_1^2 / B_2$$



Test in U(1) toy model

$$S(x) = i\beta \cos(x) + \frac{s}{2} x^2$$

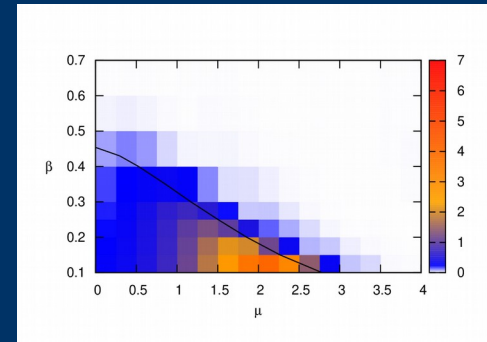
Measuring B_1, B_2 allows correction of results when CLE fails

β, s	B_1	B_2	B_1^2/B_2	CL error	CL	correct	corrected CL
0.1, 0	-0.04859(45)	0.0493(11)	0.04786(79)	0.04891(45)	-0.00115(45)	-0.05006	-0.04901(62)
0.1, 0.01	-0.01795(49)	0.01801(80)	0.01789(60)	0.01689(50)	-0.03318(50)	-0.05006	-0.05106(40)
0.1, 0.1	-0.00048(30)	0.00057(35)	0.00039(28)	0.00049(31)	-0.04957(31)	-0.05006	-0.04997(6)
0.5, 0	-0.2474(11)	0.237(11)	0.258(11)	0.25818(23)	0.00003(23)	-0.25815	-0.258(11)
0.5, 0.3	-0.05309(86)	0.0552(51)	0.0507(41)	0.04183(70)	-0.19658(70)	-0.23841	-0.2473(37)

Test in 3d XY model

B_2 is very noisy, hard to measure

Step in the right direction



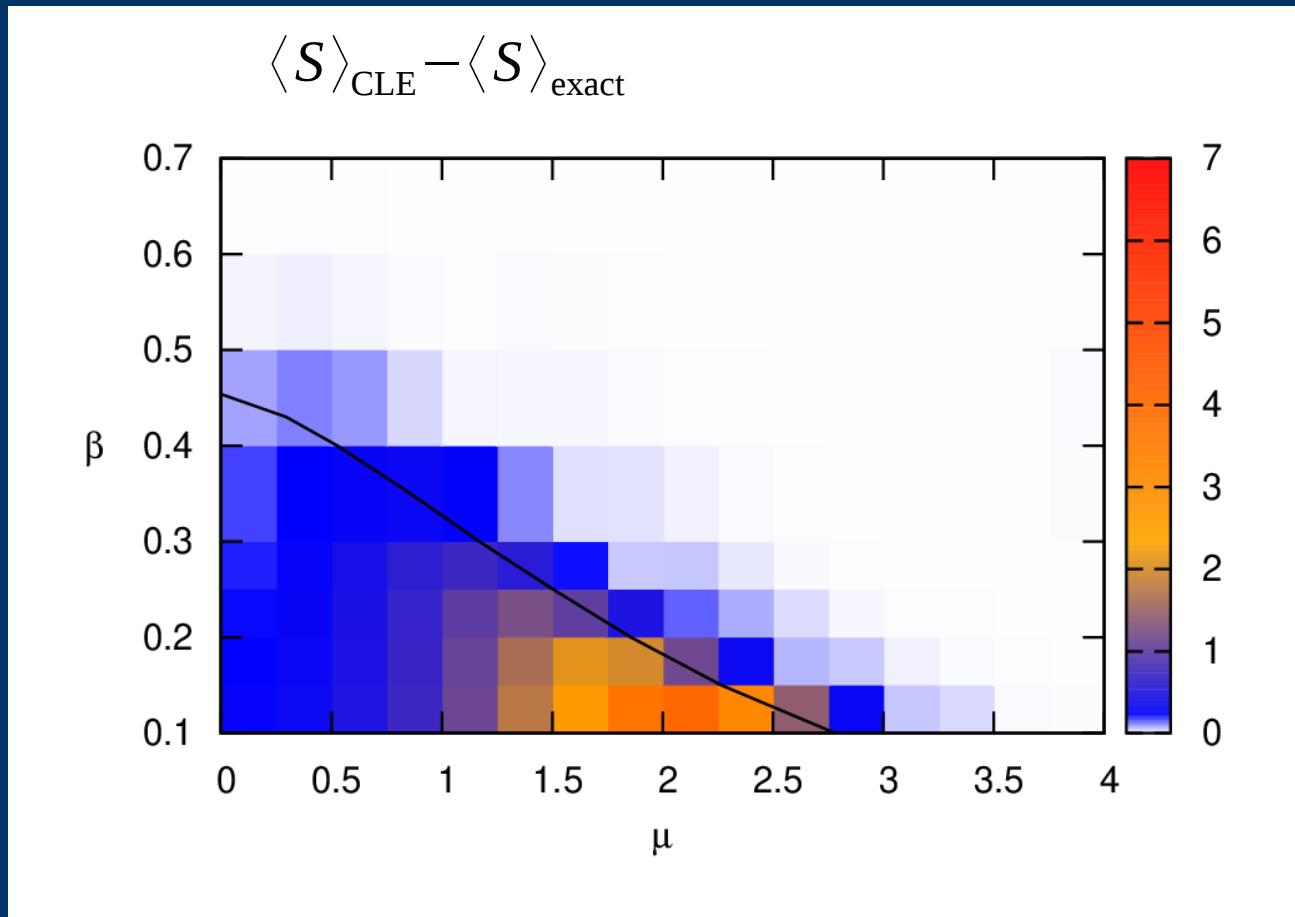
\mathcal{O}	β, μ^2	B_1	B_2	B_1^2/B_2	CL error	CL	worldline	corrected CL
S	0.2, 10^{-6}	0.02567(21)	-0.0730(47)	-0.00902(46)	-0.013029(65)	-0.075316(65)	-0.062288(17)	-0.06630(53)
	0.2, 0.1	0.03309(25)	-0.0903(79)	-0.01213(89)	-0.0169974(91)	-0.0792922(91)	-0.062295(18)	-0.06716(90)
	0.2, 0.2	0.03941(28)	-0.109(13)	-0.0142(17)	-0.0205408(80)	-0.0828399(80)	-0.062299(11)	-0.0686(17)
	0.7, 10^{-6}	$1.440(15)10^{-4}$	$-7.33(17)10^{-4}$	$-2.834(46)10^{-5}$	$-1.23(33)10^{-4}$	-1.482311(33)	-1.48219(35)	-1.482283(34)
	0.7, 0.1	0.004783(50)	-0.0082(23)	-0.00278(69)	-0.002791(31)	-1.526766(31)	-1.52398(35)	-1.52399(72)
	0.7, 0.2	0.006013(38)	-0.00873(96)	-0.00414(45)	-0.002488(29)	-1.568899(29)	-1.56641(20)	-1.56476(48)

XY model in d=3

$$S = -\beta \sum_x \sum_{\nu=1}^3 \cos(\phi_x - \phi_{x+\nu} - i\mu \delta_{\nu 0})$$

Can be solved exactly using dual variables (worldlines)

CLE fails in one of the phases



[plot from: Aarts and James (2010)]

Test in U(1) toy model

$$S(x) = i\beta \cos(x) + \frac{s}{2} x^2$$

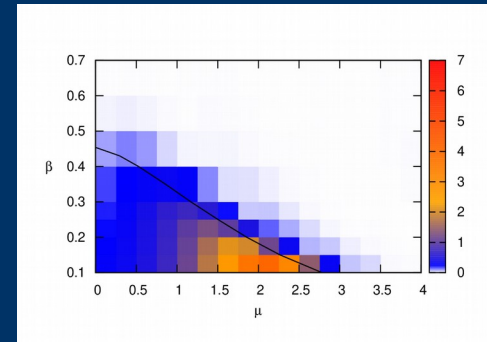
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0.1, 0.01	-0.01795(49)	0.01801(80)	0.01789(60)	0.01689(50)	-0.03318(50)	-0.05006	-0.05106(40)
0.1, 0.1	-0.00048(30)	0.00057(35)	0.00039(28)	0.00049(31)	-0.04957(31)	-0.05006	-0.04997(6)
0.5, 0	-0.2474(11)	0.237(11)	0.258(11)	0.25818(23)	0.00003(23)	-0.25815	-0.258(11)
0.5, 0.3	-0.05309(86)	0.0552(51)	0.0507(41)	0.04183(70)	-0.19658(70)	-0.23841	-0.2473(37)

Test in 3d XY model

B_2 is very noisy, hard to measure

Step in the right direction



\mathcal{O}	β, μ^2	B_1	B_2	B_1^2/B_2	CL error	CL	worldline	corrected CL
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	0.2, 0.2	0.03941(28)	-0.109(13)	-0.0142(17)	-0.0205408(80)	-0.0828399(80)	-0.062299(11)	-0.0686(17)
	0.7, 10^{-6}	$1.440(15)10^{-4}$	$-7.33(17)10^{-4}$	$-2.834(46)10^{-5}$	$-1.23(33)10^{-4}$	-1.482311(33)	-1.48219(35)	-1.482283(34)
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	0.7, 0.2	0.006013(38)	-0.00873(96)	-0.00414(45)	-0.002488(29)	-1.568899(29)	-1.56641(20)	-1.56476(48)

Bose Gas at zero temperature [Aarts '08]

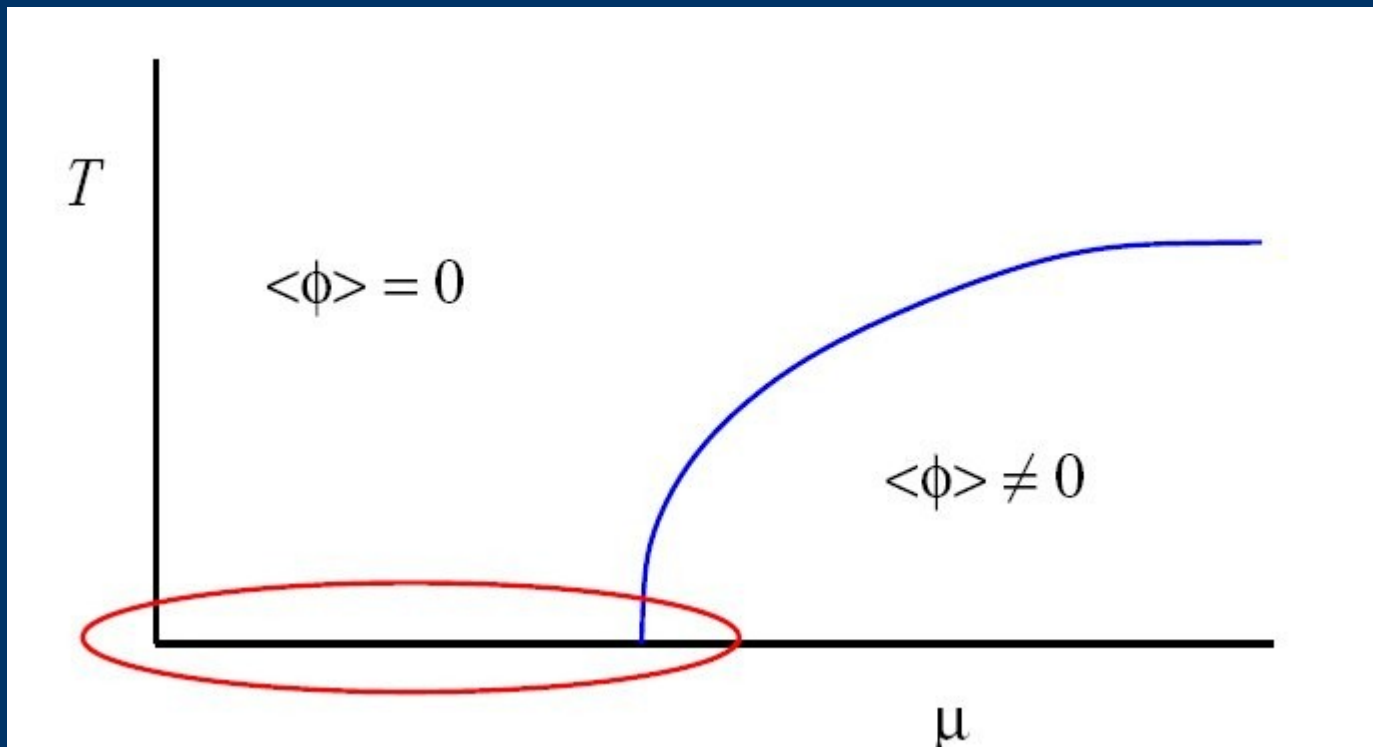
Silver Blaze problem: At zero temperature, nothing happens until first excited state (=1particle) contributes

No dependence on chemical potential for $\mu < m$

$$S = |\partial_\nu \phi|^2 + (m^2 - \mu^2) |\phi|^2 + \mu (\phi^* \partial_4 \phi - \partial_4 \phi^* \phi) + \lambda |\phi|^4$$

Complexification with Langevin method:

Complex scalar field \rightarrow Two real fields \rightarrow Two complex fields

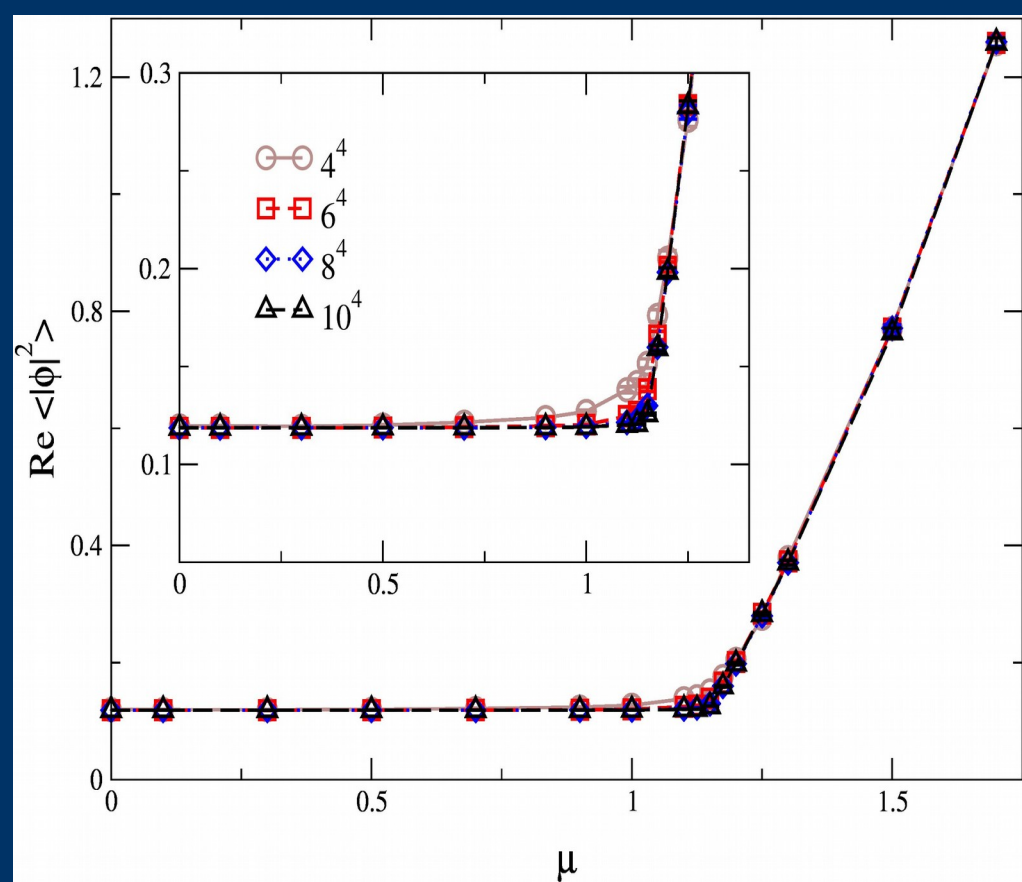
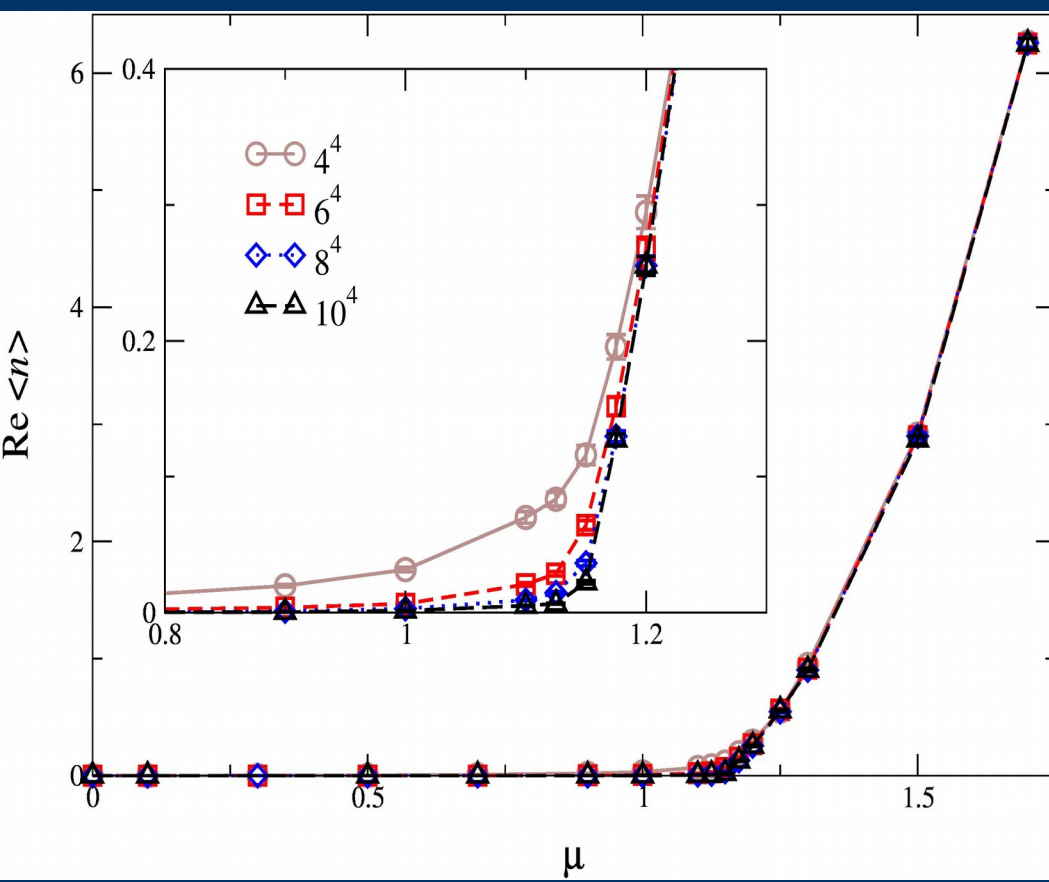


Silver Blaze:

Cancellations should destroy the apparent chemical potential dependence

$$\langle n \rangle = \frac{1}{\Omega} \frac{\partial \ln Z}{\partial \mu}$$

$$|\phi|^2 = \sum_{a=1,2} ((\phi_a^R)^2 - (\phi_a^I)^2 + 2i\phi_a^R \phi_a^I)$$



Sign problem

Complex action:

$$Z = \int D\phi e^{-S} = \int D\phi |e^{-S}| e^{i\varphi}$$

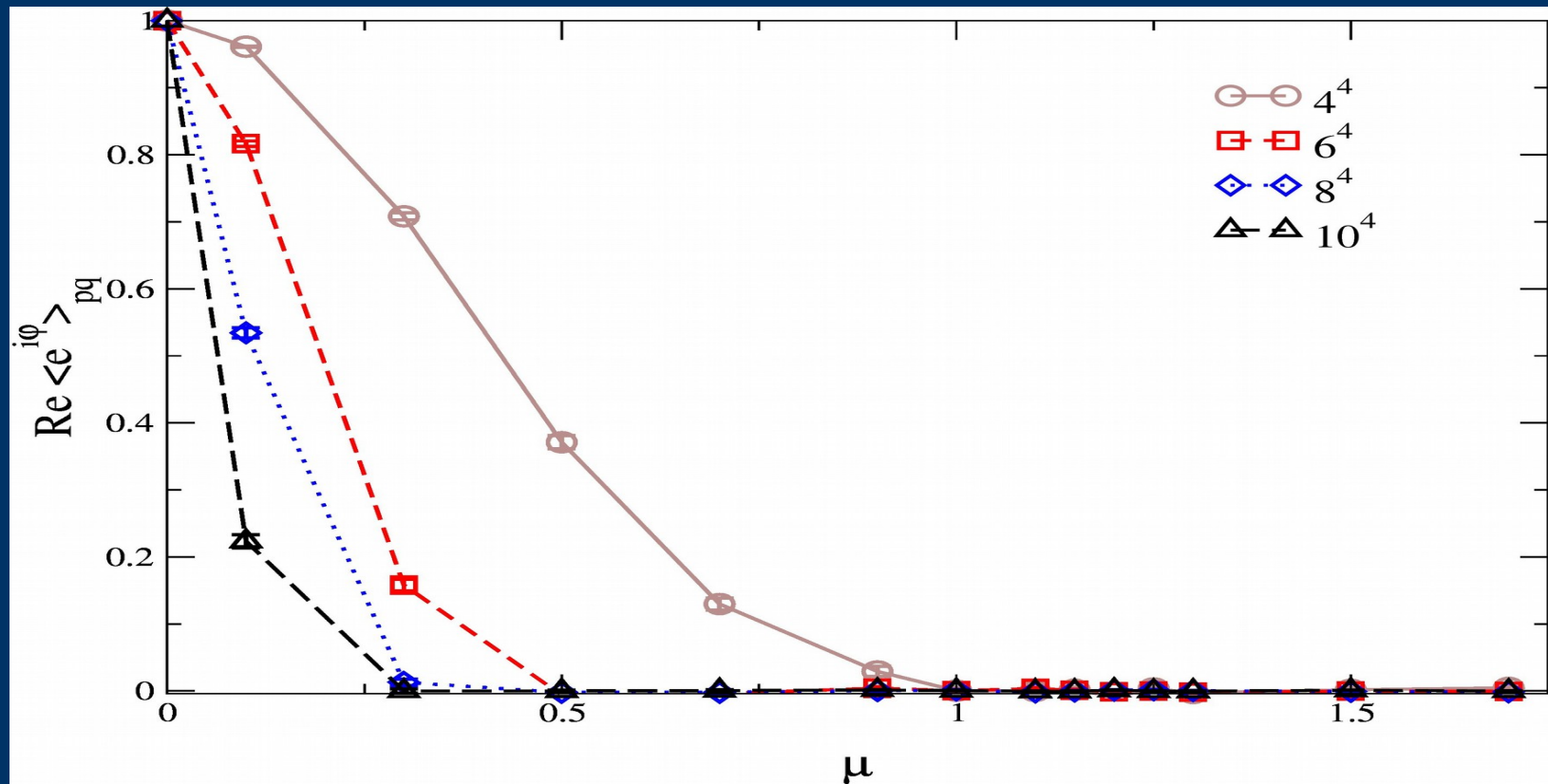
Phase quenched theory

$$Z = \int D\phi |e^{-S}|$$

Average phase factor

$$\langle e^{i\varphi} \rangle_{pq} = \frac{Z_{full}}{Z_{pq}} = e^{-\Omega \Delta f} \rightarrow 0$$

Sign problem is exponentially hard in thermodynamic limit

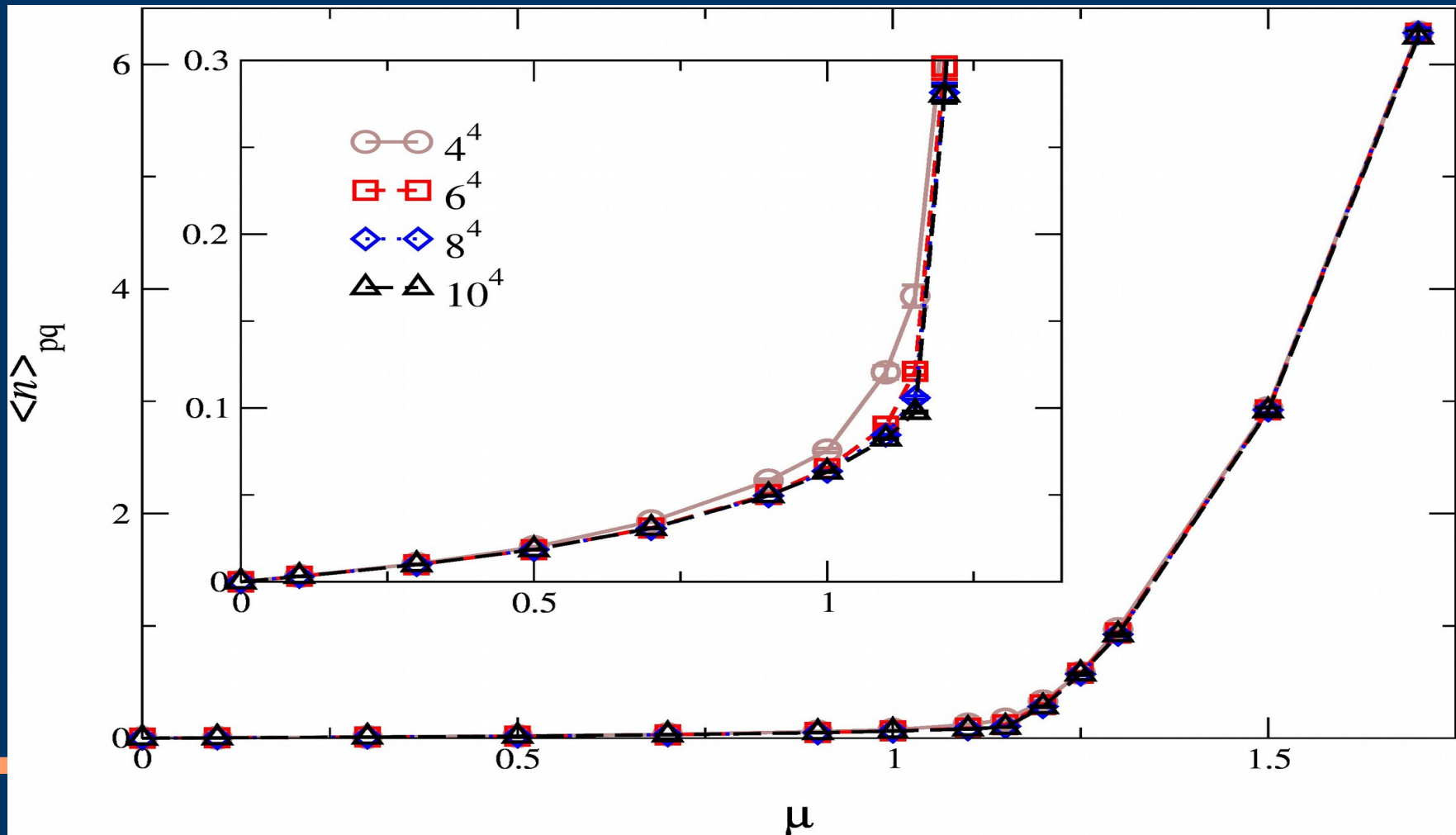


Phase quenched action

$$V(|\phi|) = \frac{1}{2}(m^2 - \mu^2)|\phi|^2 + \lambda|\phi|^4$$

Also shows dependence on chemical potential

Phase factor is crucial for cancellation



Heavy Quark QCD at nonzero chemical potential (HDQCD)

Hopping parameter expansion of the fermion determinant
 Spatial hoppings are dropped \longrightarrow unmovable quarks

$$\text{Det } M(\mu) = \prod_x \det(1 + C P_x)^2 \det(1 + C' P_x^{-1})^2$$

$$P_x = \prod_\tau U_0(x + \tau a_0) \quad C = [2\kappa \exp(\mu)]^{N_\tau} \quad C' = [2\kappa \exp(-\mu)]^{N_\tau}$$

$$S = S_W[U_\mu] + \ln \text{Det } M(\mu)$$

Studied with reweighting

[De Pietri, Feo, Seiler, Stamatescu '07]

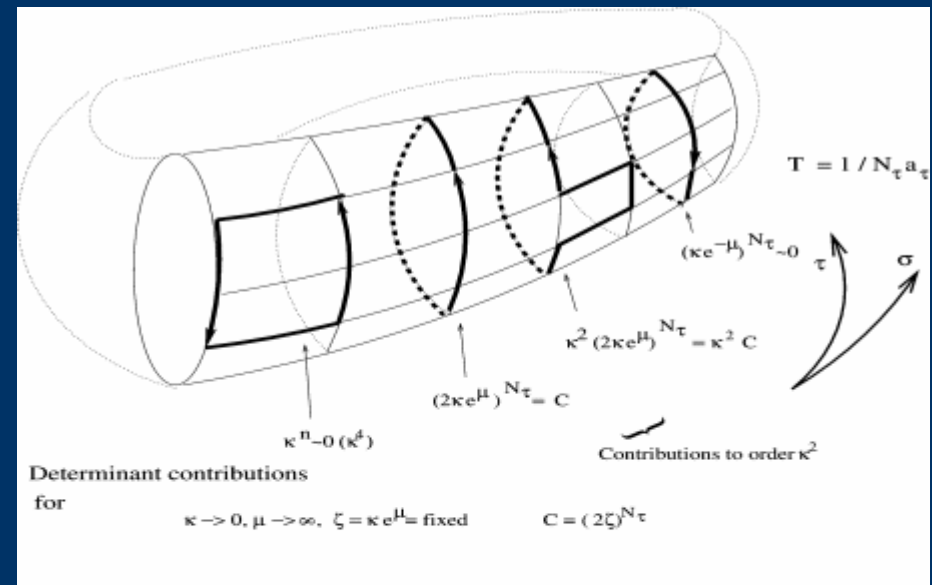
$$R = e^{\sum_x C \text{Tr } P_x + C' \text{Tr } P_x^{-1}}$$

CLE study using gaugecooling

[Seiler, Sexty, Stamatescu (2012)]

Phase diagram mapped out

[Aarts, Attanasio, Jaeger, Sexty (2016)]

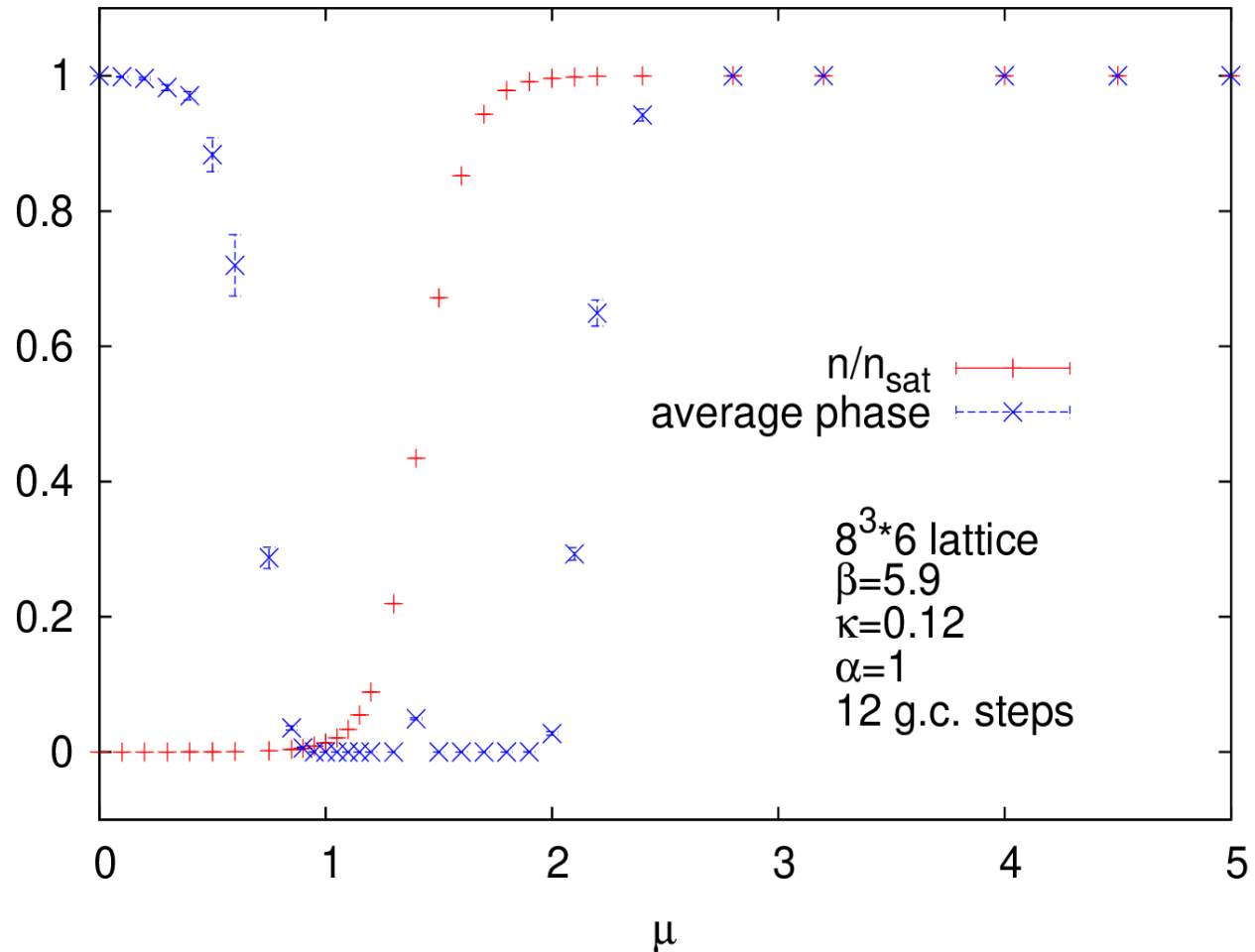


Fermion density:

$$n = \frac{1}{N_\tau} \frac{\partial \ln Z}{\partial \mu}$$

average phase:

$$\langle \exp(2i\phi) \rangle = \left\langle \frac{\det M(\mu)}{\det M(-\mu)} \right\rangle$$



$$\det(1 + CP)^2 = 1 + C^3 + C \text{Tr} P + C^2 \text{Tr} P^{-1}$$

Sign problem is absent at
small or large μ

Reweighting is impossible at $1 \leq \mu \leq 2$

CLE works all the way to saturation

Mapping the phase diagram of HDQCD

[Aarts, Attanasio, Jäger, Sexty (2016)]

Hopping parameter expansion of the fermion determinant
Spatial fermionic hoppings are dropped
Full gauge action

$$\text{Det } M(\mu) = \prod_x \det(1 + C P_x)^2 \det(1 + C' P_x^{-1})^2$$

Strategy to map $T-\mu$ plane

fixed $\beta=5.8 \rightarrow a \approx 0.15 \text{ fm}$ Unitarity norm is mostly under control

$$\kappa = 0.04$$

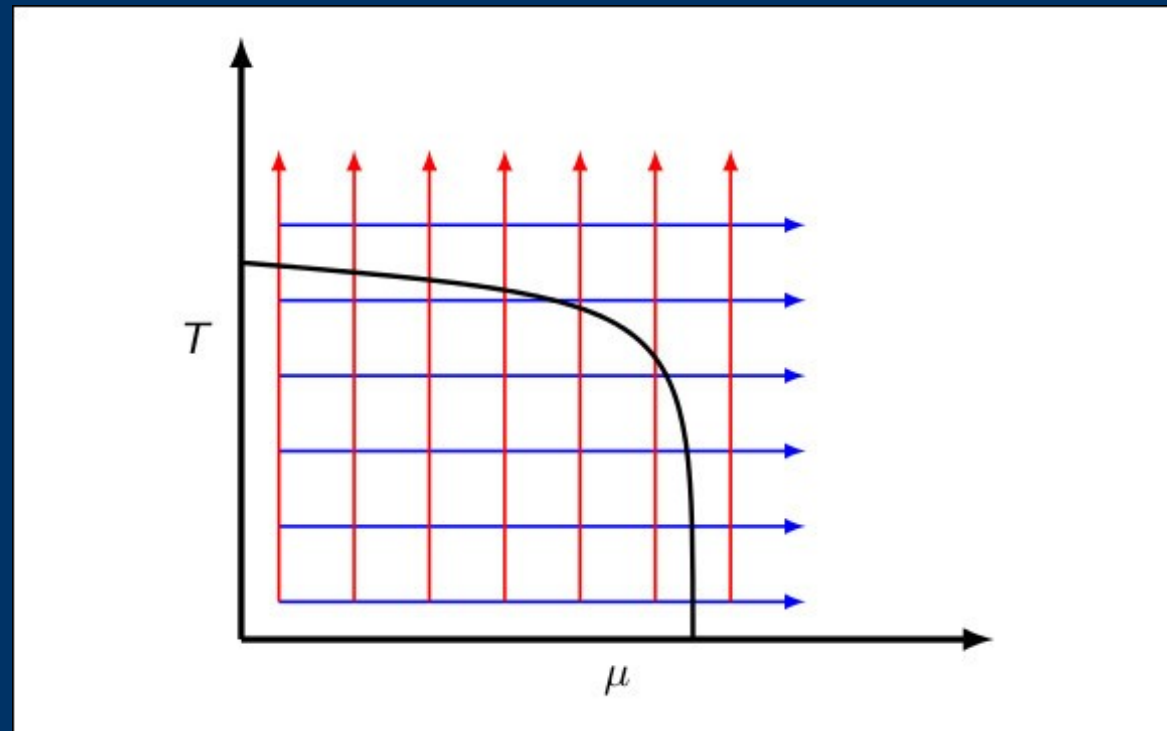
onset transition at $\mu = -\ln(2\kappa)$

$N_t * (6^3, 8^3, 10^3)$ lattice

$$N_t = 2..28$$

Temperature scanning

$$T = 48 - 671 \text{ MeV}$$

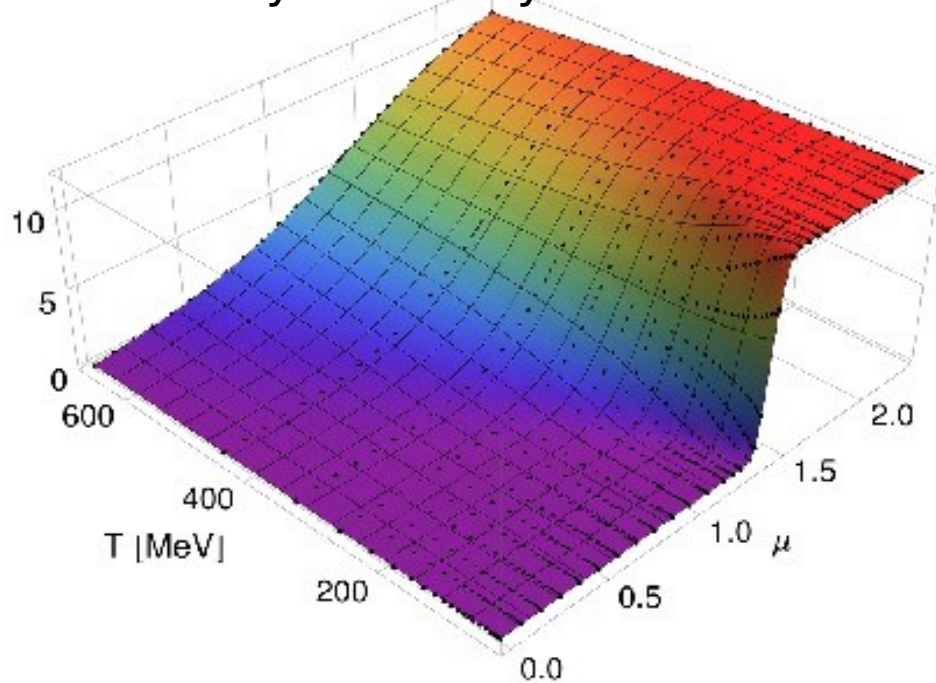


Exploring the phase diagram of HeavyDenseQCD

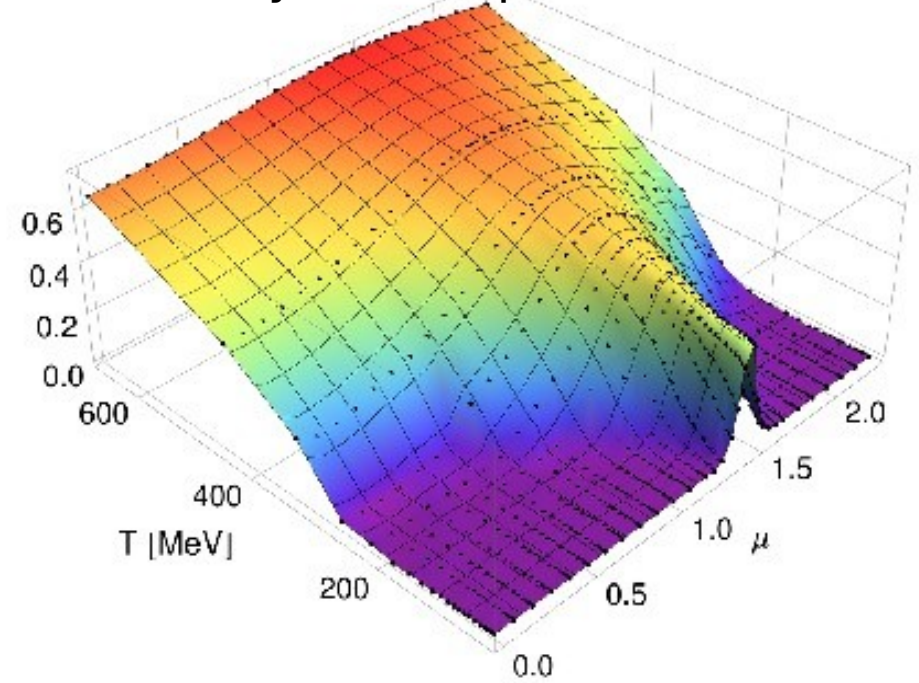
[Aarts, Attanasio, Jäger, Sexty (2016)]

Spatial fermionic hoppings are dropped – unmovable quarks
Full gauge action

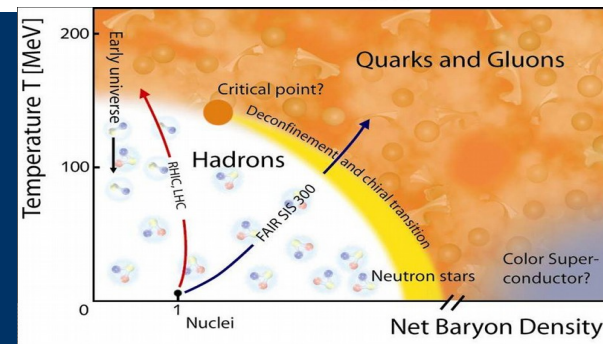
baryon density



Polyakov loop



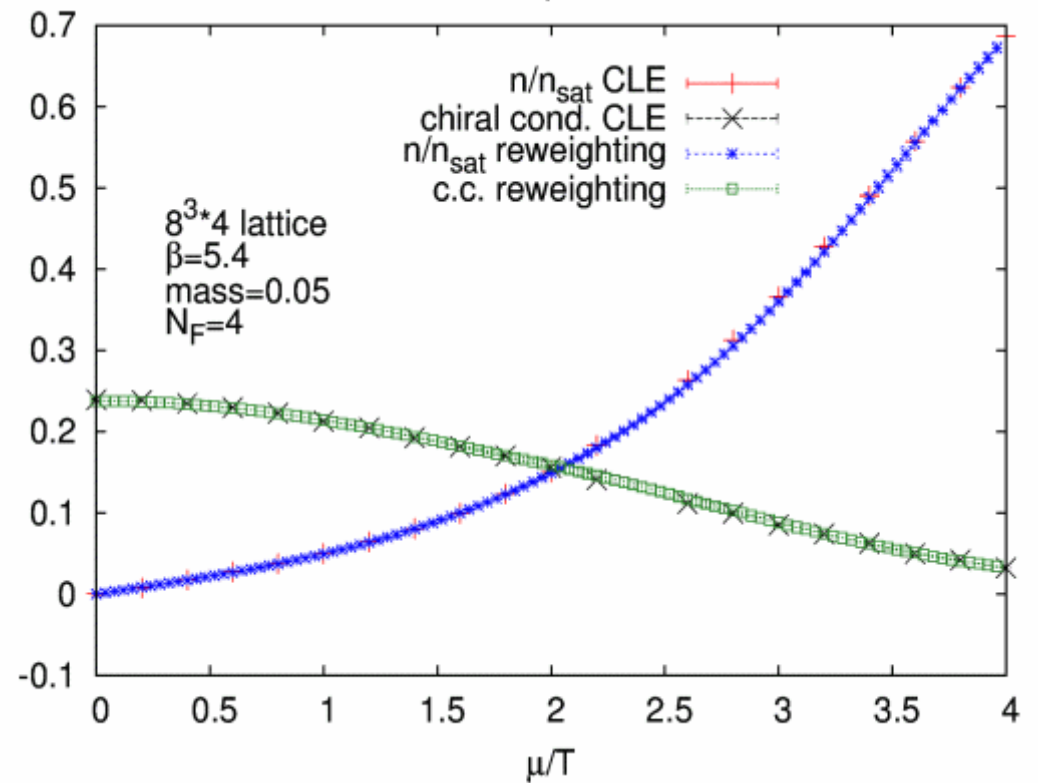
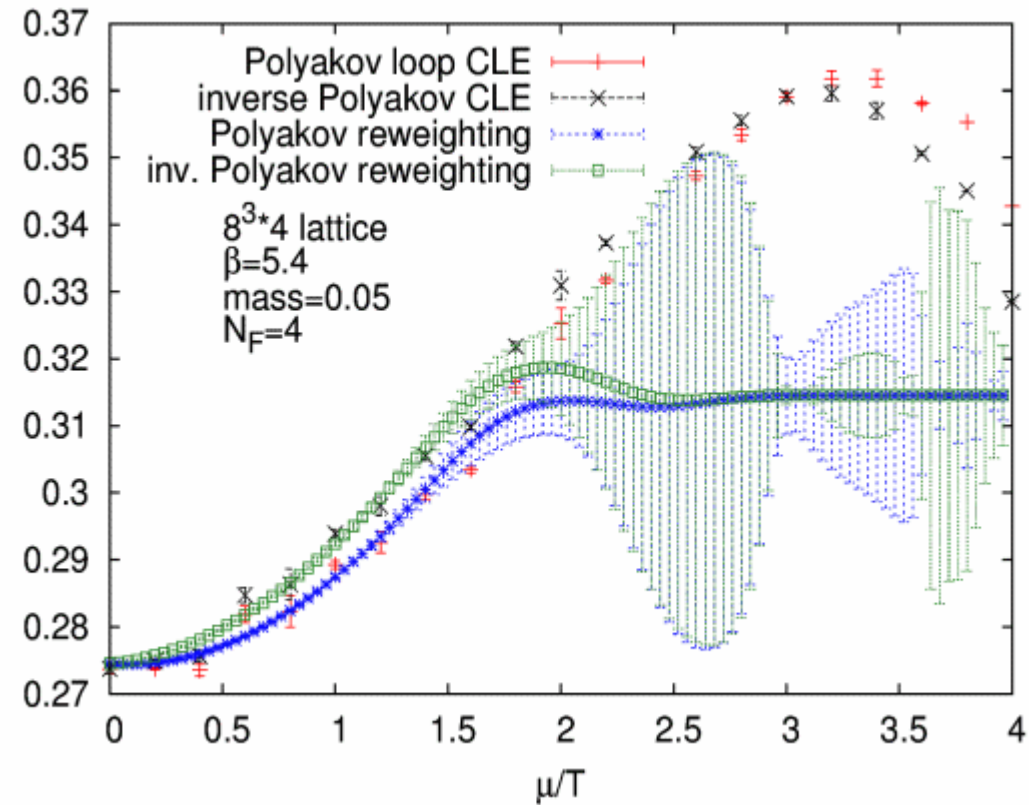
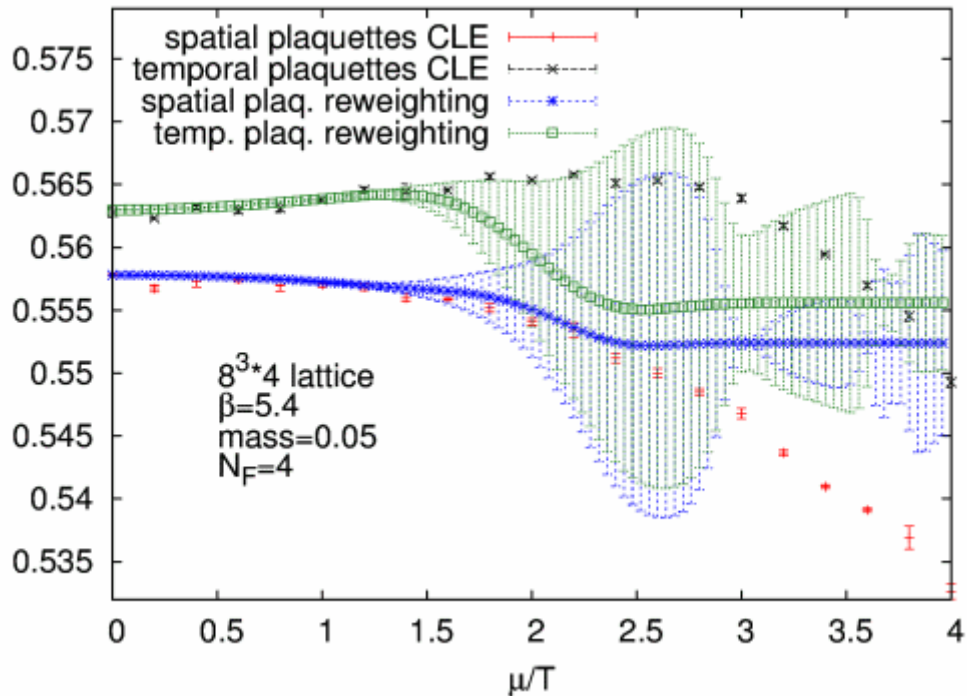
Similar to but
much simpler than full QCD



Comparison with reweighting for full QCD

[Fodor, Katz, Sexty, Török (2015)]

Reweighting from ensemble at
 $R = \text{Det } M(\mu=0)$



Pressure of the QCD Plasma at non-zero density

$$\frac{p}{T^4} = \frac{\ln Z}{V T^3} \longrightarrow \begin{array}{l} \text{Derivatives of the pressure are directly measurable} \\ \longrightarrow \text{Integrate from } T=0 \end{array}$$

[Engels et. al. (1990)]

Other strategies:

Measure the Stress-momentum tensor using gradient flow

[Suzuki, Makino (2013-)]

Shifted boundary conditions

[Giusti, Pepe, Meyer (2011-)]

Non-equilibrium quench

[Caselle, Nada, Panero (2018)]

First integrate along the temperature axis, then explore $\mu > 0$

Taylor expansion [Bielefeld-Swansea (2002-)]

Simulating at imaginary μ to calculate susceptibilities

[de Forcrand, Philipsen (2002-)]

Pressure of the QCD Plasma at non-zero density

$$\Delta \left(\frac{p}{T^4} \right) = \frac{p}{T^4} (\mu = \mu_q) - \frac{p}{T^4} (\mu = 0)$$

If we want to stay at $\mu = 0$

$$\Delta \left(\frac{p}{T^4} \right) = \sum_{n>0, \text{even}} c_n(T) \left(\frac{\mu}{T} \right)^n$$

$$c_2 = \frac{1}{2} \frac{N_T}{N_s^3} \frac{\partial^2 \ln Z}{\partial \mu^2}$$

$$c_4 = \frac{1}{24} \frac{1}{N_s^3 N_T} \frac{\partial^4 \ln Z}{\partial \mu^4}$$

Measuring the coefficients of the Taylor expansion

$$\frac{\partial^2 \ln Z}{\partial \mu^2} = N_F^2 \langle T_1^2 \rangle + N_F \langle T_2 \rangle$$

$$\begin{aligned} \frac{\partial^4 \ln Z}{\partial \mu^4} = & -3 \left(\langle T_2 \rangle + \langle T_1^2 \rangle \right)^2 + 3 \langle T_2^2 \rangle + \langle T_4 \rangle \\ & + \langle T_1^4 \rangle + 4 \langle T_3 T_1 \rangle + 6 \langle T_1^2 T_2 \rangle \end{aligned}$$

$$T_1 / N_F = \text{Tr} (M^{-1} \partial_\mu M)$$

$$T_{i+1} = \partial_\mu T_i$$

$$T_2 / N_F = \text{Tr} (M^{-1} \partial_\mu^2 M) - \text{Tr} \left((M^{-1} \partial_\mu M)^2 \right)$$

$$\begin{aligned} T_3 / N_F = & \text{Tr} (M^{-1} \partial_\mu^3 M) - 3 \text{Tr} (M^{-1} \partial_\mu M M^{-1} \partial_\mu^2 M) \\ & + 2 \text{Tr} \left((M^{-1} \partial_\mu M)^3 \right) \end{aligned}$$

$$\begin{aligned} T_4 / N_F = & \text{Tr} (M^{-1} \partial_\mu^4 M) - 4 \text{Tr} (M^{-1} \partial_\mu M M^{-1} \partial_\mu^3 M) \\ & - 3 \text{Tr} (M^{-1} \partial_\mu^2 M M^{-1} \partial_\mu^2 M) - 6 \text{Tr} \left((M^{-1} \partial_\mu M)^4 \right) \\ & + 12 \text{Tr} \left((M^{-1} \partial_\mu M)^2 M^{-1} \partial_\mu^2 M \right) \end{aligned}$$

Pressure of the QCD Plasma using CLE

[Sexty (2019)]

If we can simulate at $\mu > 0$

$$\Delta \left(\frac{p}{T^4} \right) = \frac{p}{T^4} (\mu = \mu_q) - \frac{p}{T^4} (\mu = 0) = \frac{1}{V T^3} (\ln Z(\mu) - \ln Z(0))$$

$$\ln Z(\mu) - \ln Z(0) = \int_0^\mu d\mu \frac{\partial \ln Z(\mu)}{\partial \mu} = \int_0^\mu d\mu n(\mu)$$

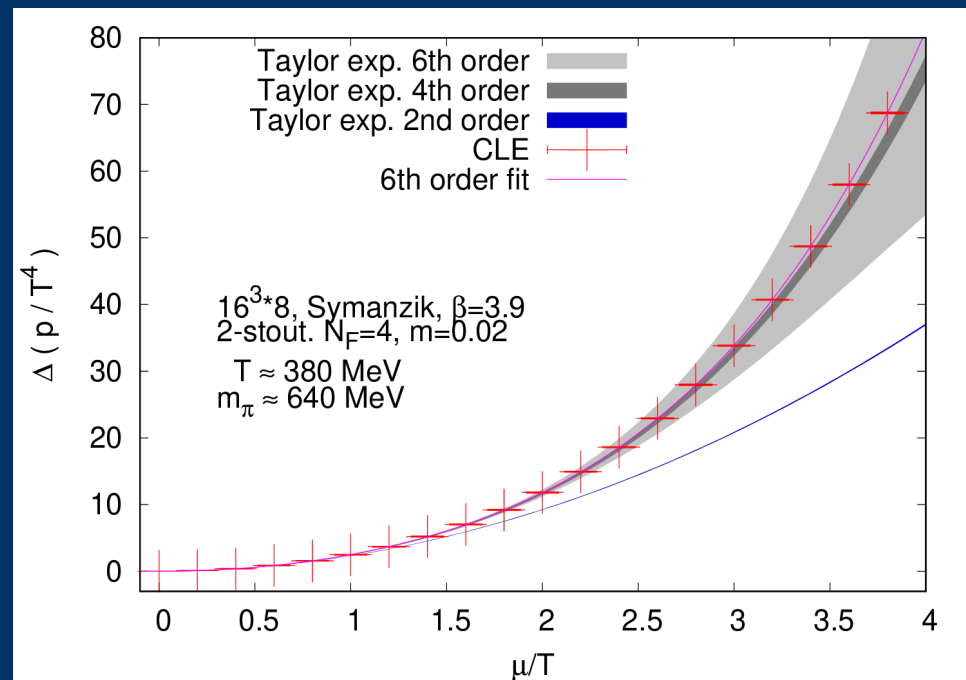
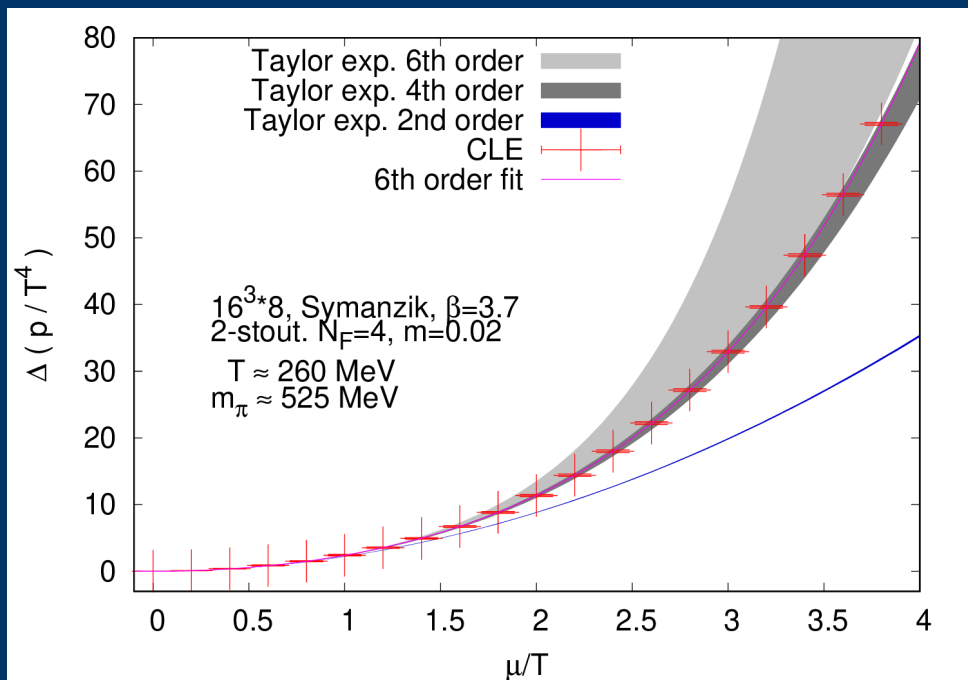
$$n(\mu) = \langle \text{Tr} (M^{-1}(\mu) \partial_\mu M(\mu)) \rangle$$

Using CLE it's enough to measure the density - much cheaper

Pressure with improved action

[Sexty (2019)]

In deconfined phase
 Symanzik gauge action
 stout smeared staggered fermions



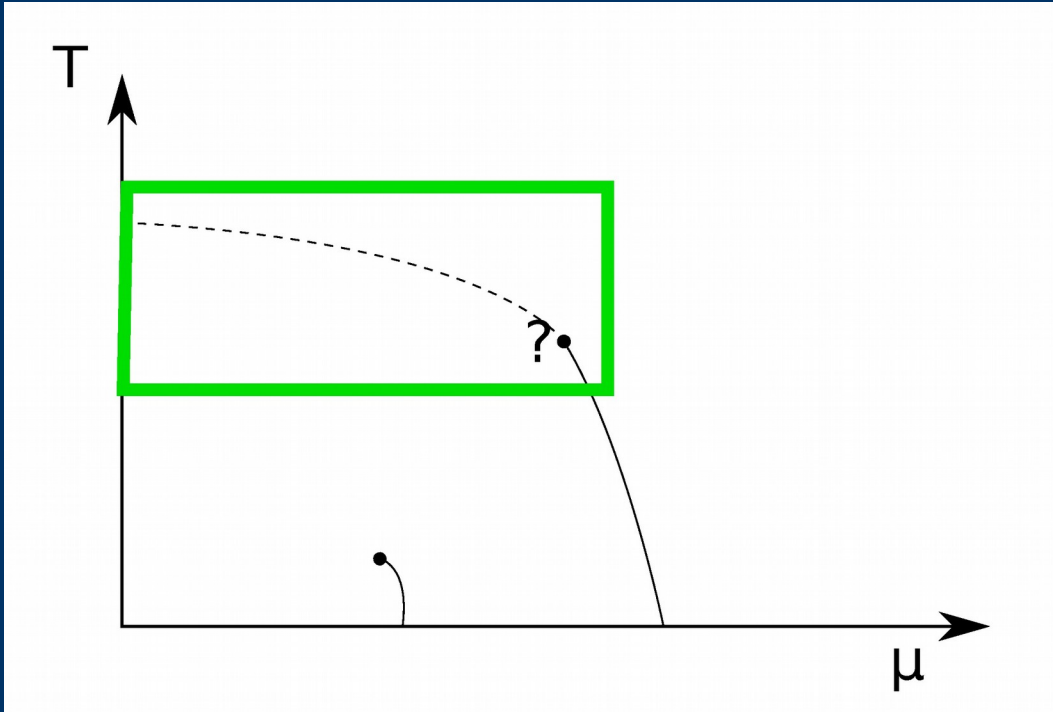
β	c_2 Taylor exp.	c_4 Taylor exp.	c_6 Taylor exp.	c_2 CLE	c_4 CLE	c_6 CLE
3.7	2.206 ± 0.009	0.156 ± 0.016	0.016 ± 0.013	2.33 ± 0.1	0.13 ± 0.02	0.002 ± 0.001
3.9	2.312 ± 0.007	0.150 ± 0.007	0.001 ± 0.005	2.36 ± 0.04	0.14 ± 0.01	0.002 ± 0.001

Good agreement at small μ
 CLE calculation is much cheaper

further interesting quantities: Energy density, quark number susceptibility, ...

Mapping out the phase transition line

[Scherzer, Sexty, Stamatescu (2020)]



Follow the phase transition line
starting from $\mu=0$

Using Wilson fermions

Fixed lattice spacing and spatial vol.
 N_t scan

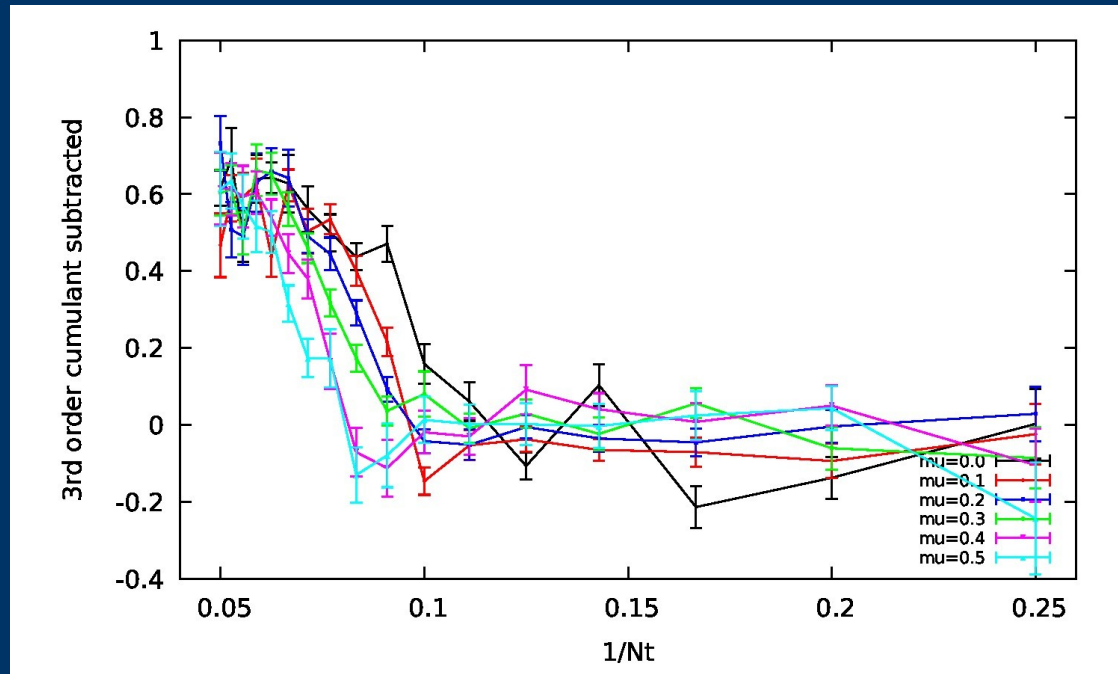
Detection of the phase transition line

Binder cumulant

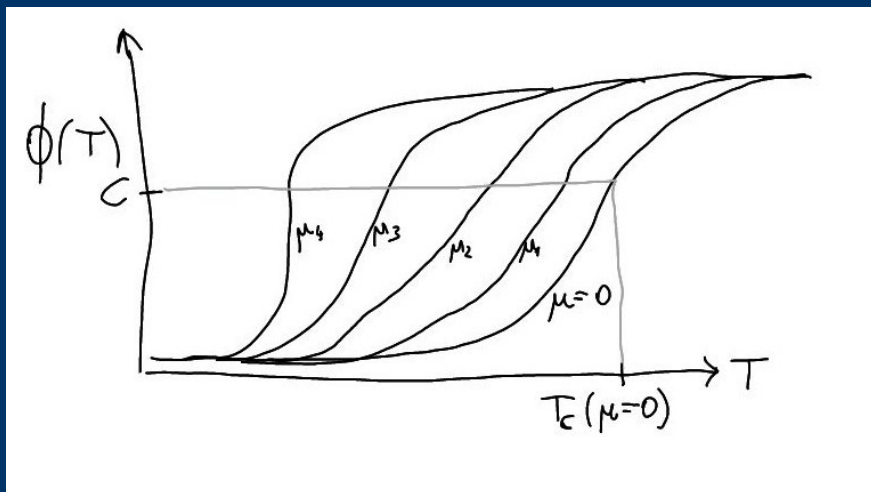
$$B_3 = \frac{\langle O^3 \rangle}{\langle O^2 \rangle^{3/2}}$$

$$O = P - \langle P \rangle \quad \text{with} \quad P = \sqrt{P_{bare} P_{bare}^{-1}}$$

no renormalization
zero crossing defines transition



Shift method



Define $T_c(\mu)$ as $\phi(T_c(\mu), \mu) = C$

e.g. B_3 , chiral condensate,
baryon number susceptibility

Works well for small μ
Critical point at μ_4 ?

Lattice spacing: $a=0.065$ fm

Pion mass: $m_\pi=1.3$ GeV

Volumes: $8^3, 12^3, 16^3$

Finite size effects large

Consistent results

Can follow the line to quite high μ/T

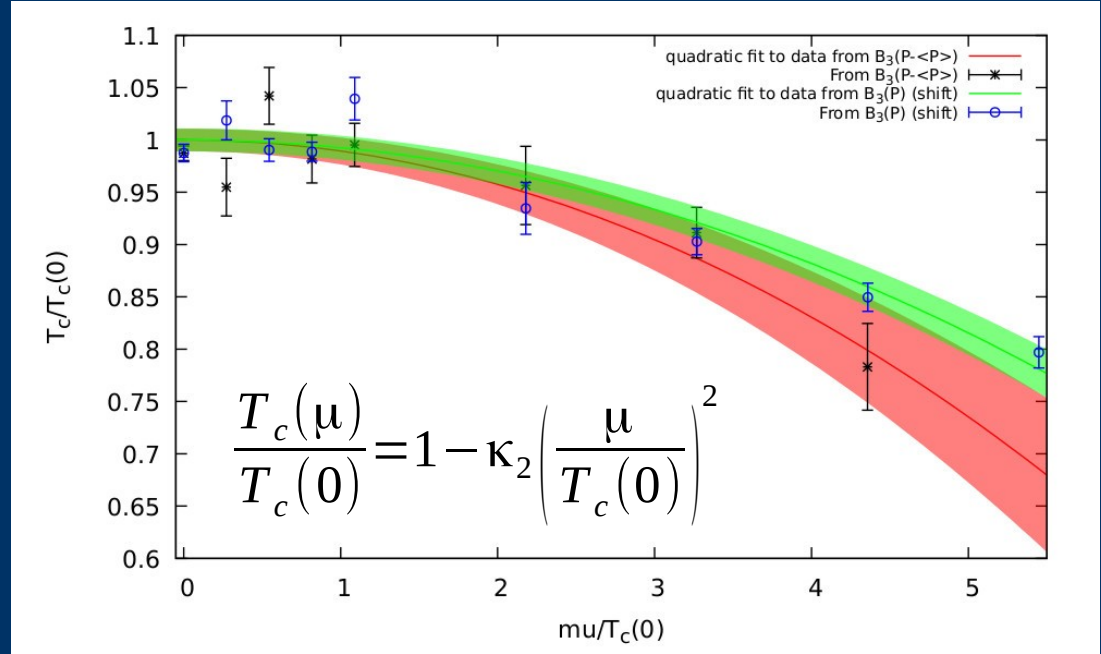
Open questions

Possible for lighter quarks?

Finite size scaling?

Where is the upper right corner of Columbia plot?

Critical point nearby?

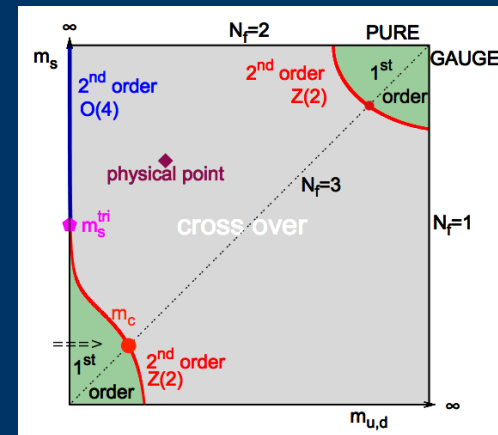


$$\kappa_2 \approx 0.0012$$

In literature

For physical pion mass

$$\kappa_2 = 0.015$$



Complex Langevin

Theoretically

Good understanding of the failure modes (boundary terms, poles)
Monitoring prescriptions allow for independent detection of failure
unitarity norm, eigenspectrum, histograms, boundary terms
Is a cutoff allowed? (Dynamical stabilization)
How to cure problems? – No general answer, hit and miss

In practice

Many lattice models solved, crosschecked with alternative methods
(Bose gas, SU(3) Spin model, HDQCD, kappa exp., cond. mat. systems...)
Some remain unsolved (xy model, Thirring,...)

Full QCD

High temperatures seem to be unproblematic
checks with reweighting, Taylor expansion
Status of low T and near T_c is unclear – more work needed

Results for full QCD above transition (at large pion masses)
phase diag, EoS and improved actions

Summary

Nonzero density on the lattice is hampered by the sign problem

Extrapolation methods (reweighting, Taylor exp, imaginary μ)

Can reach to μ_B up to $\mu_B < 3T$ ($\mu_q < T$)

Lattice results for EoS, charge fluctuations

No sign of critical point in this region

Using analyticity:

Lefschetz thimbles, sign-improved manifolds

Encouraging results for simple theories

Complex Langevin:

Monitoring of process is required (boundary terms, histograms...)

Solid results for high Temperature QCD (at large pion masses)

Low temperatures still present a problem