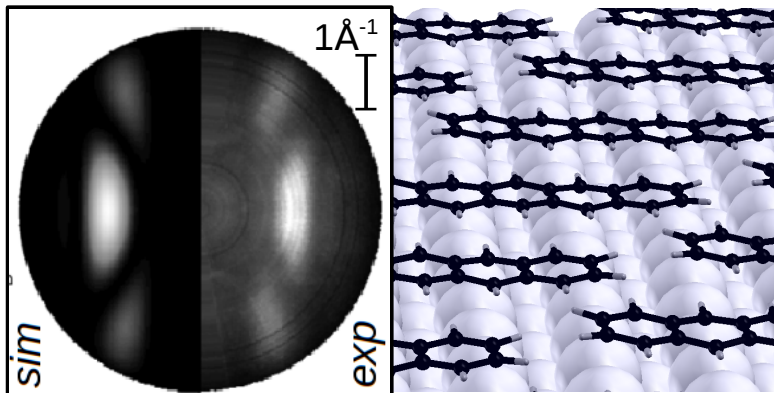


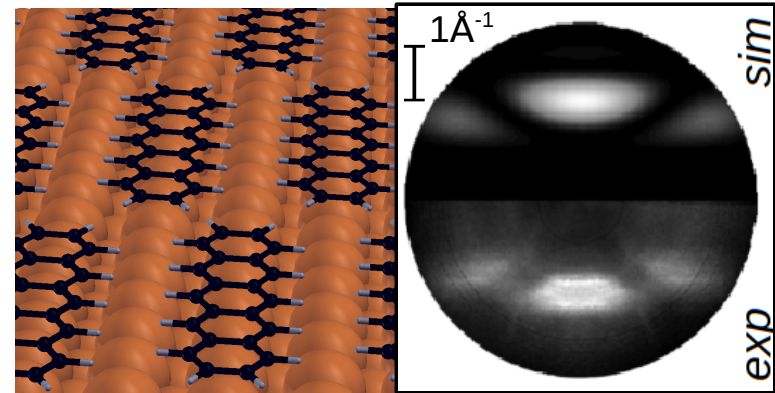


# Substrate Induced Intermolecular Dispersion: Pentacene/Cu(110)



Pentacene/Ag(110)

vs.



Pentacene/Cu(110)

# Kohn-Sham Equations

$$\left[ -\frac{1}{2} \nabla^2 + V_{\text{ext}}(\mathbf{r}) + V_H(\mathbf{r}) + V_{xc}(\mathbf{r}) \right] \psi_i(\mathbf{r}) = \varepsilon_i \psi_i(\mathbf{r})$$

$$-\frac{Z}{r}$$

$$\int \frac{n(\mathbf{r}')}{|\mathbf{r} - \mathbf{r}'|} d^3 r'$$

$$\frac{\delta E_{xc}[n(\mathbf{r})]}{\delta n(\mathbf{r})}$$

Self-consistency

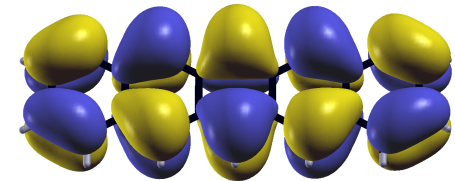
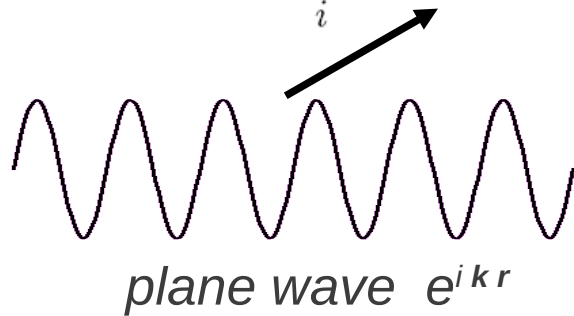
**Approximations: GGA, HSE**

$$n(\mathbf{r}) = \sum_i^{\text{occ}} |\psi_i(\mathbf{r})|^2$$

# Photoemission Intensity

## One Step Model

$$I(\theta, \phi; E_{\text{kin}}) \propto \sum_i \left| \langle \psi_f^*(\theta, \phi; E_{\text{kin}}) | \mathbf{A} \cdot \mathbf{p} | \psi_i \rangle \right|^2 \times \delta(E_i + \Phi + E_{\text{kin}} - \hbar\omega)$$

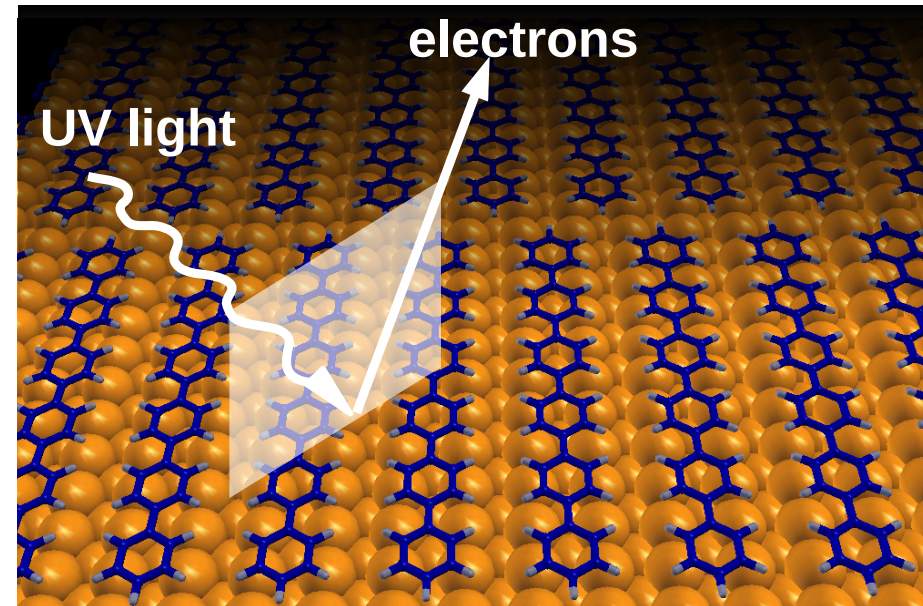
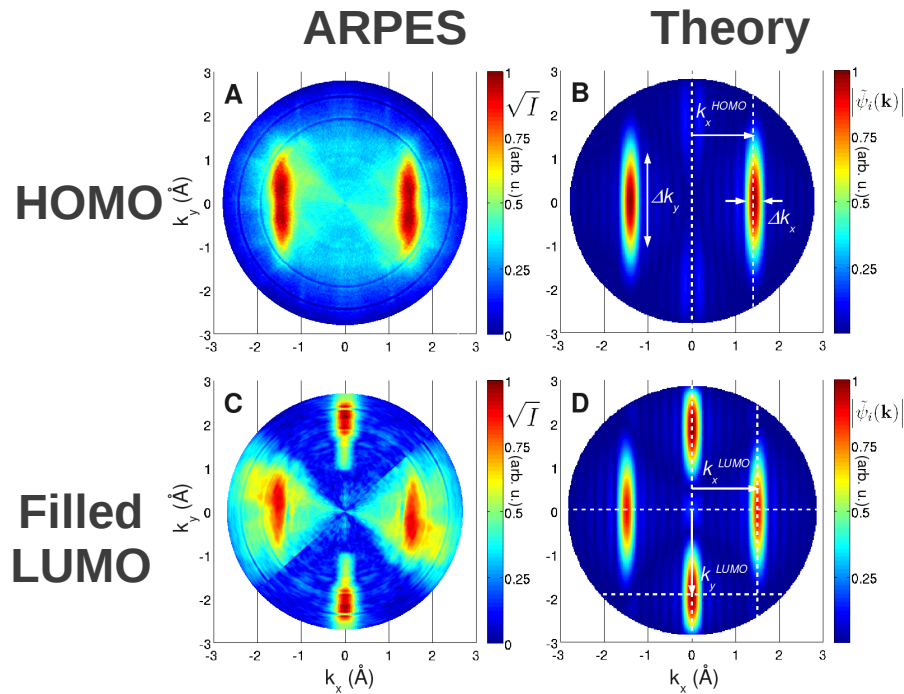


**Approximation:** final state = plane wave

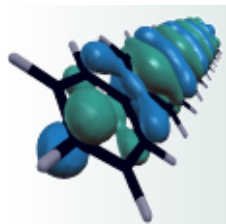
$$I(k_x, k_y, E_{\text{kin}}; \omega, \mathbf{A}) \approx \sum_n^{\text{occ}} \sum_{\mathbf{q}}^{\text{BZ}} |\mathbf{A} \cdot \mathbf{k}|^2 \left| \langle e^{i\mathbf{k}r} | \psi_{n\mathbf{q}} \rangle \right|^2 \times \delta(\varepsilon_{n\mathbf{q}} + \Phi + E_{\text{kin}} - \omega). \quad (2)$$

[Feibelman and Eastman, *Phys. Rev. B* **10**, 4932 (1974).]

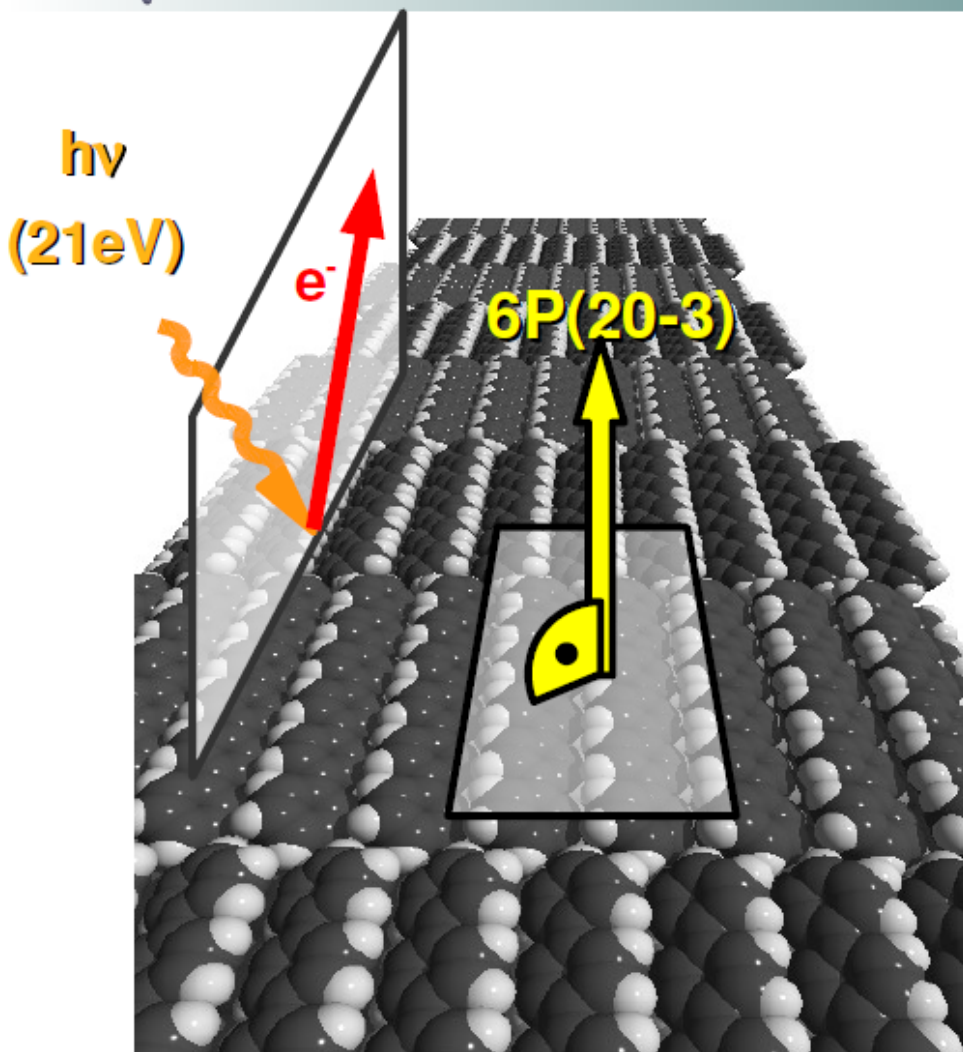
# Orbital Tomography



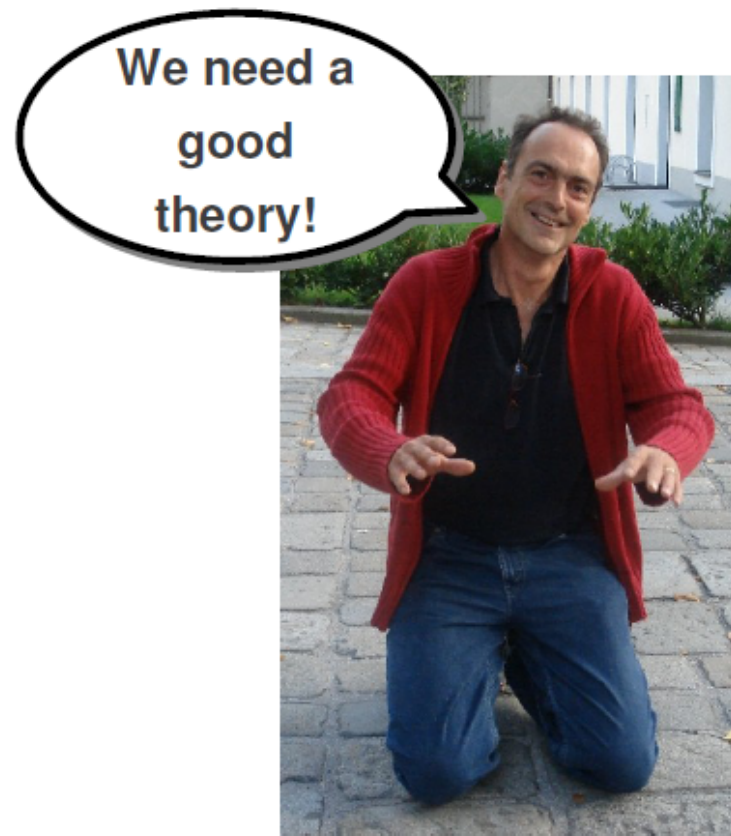
**Sexiphenyl / Cu(110)**  
Puschig et al.,  
*Science* **326**, 702 (2009).



# Uniaxially Aligned Molecules

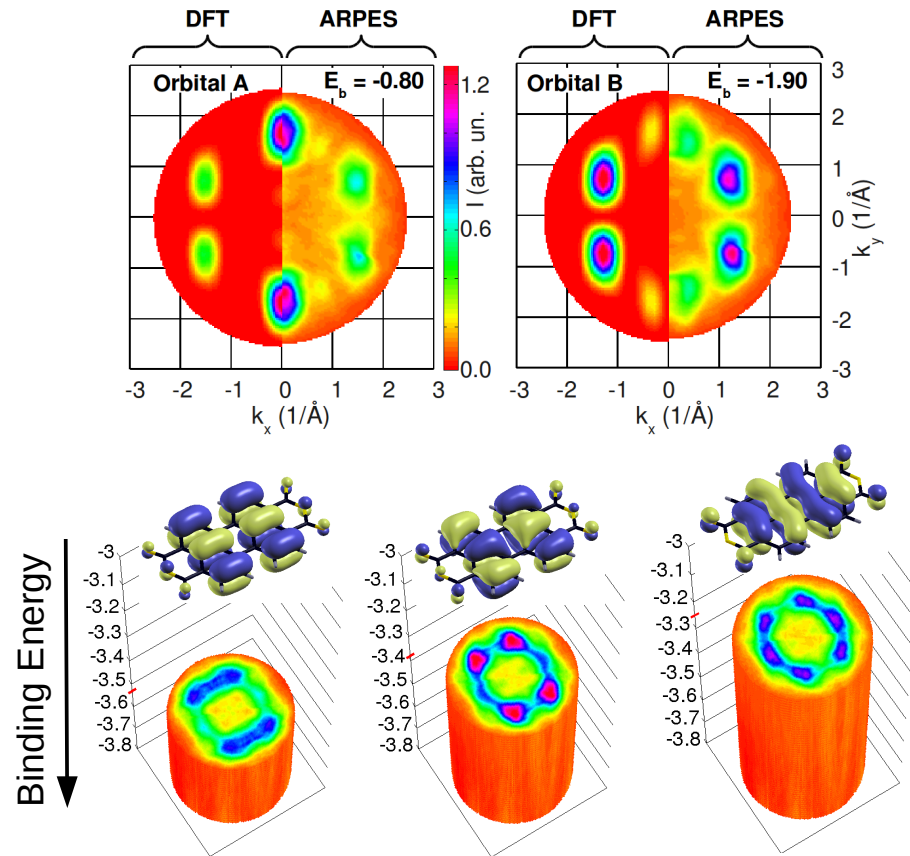
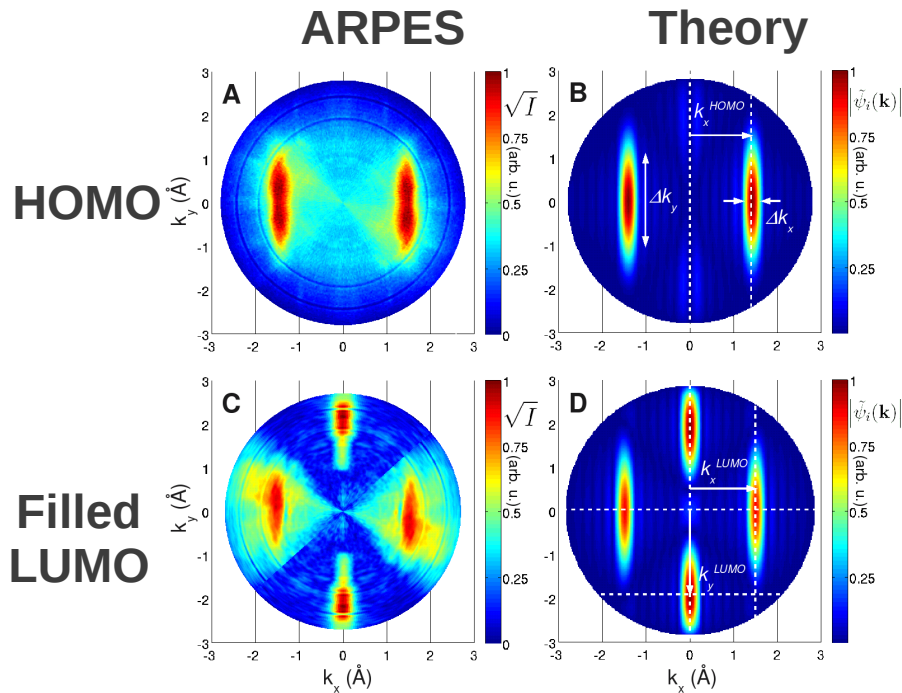


Uniaxially ordered para-sexiphenyl film



Mike Ramsey, Experimental Surface Scientist,  
University Graz  
desperately longing for a good theory

# Orbital Tomography

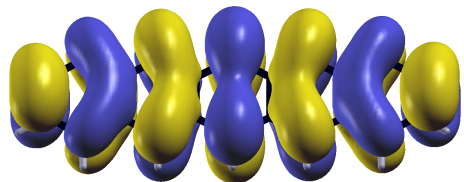


**Sexiphenyl / Cu(110)**  
 Puschnig et al.,  
*Science* **326**, 702 (2009).

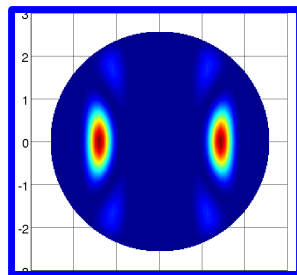
**PTCDA / Ag(110)**  
 Ziroff et al., *PRL* **104**, 233004 (2010)  
 Puschnig et al. *PRB* **84**, 235427 (2011)  
 Dauth et al., *PRL* **107**, 193002 (2011).  
 Lüftner et al., *PNAS* **111**, 605 (2014).

# Frontier Orbitals of Pentacene

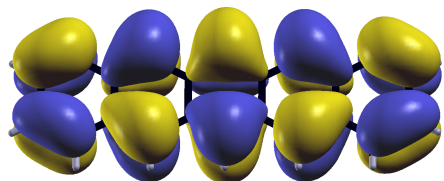
LUMO



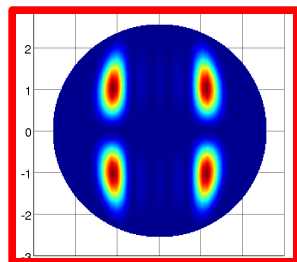
FT



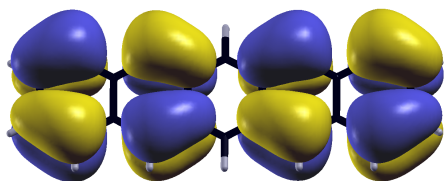
HOMO



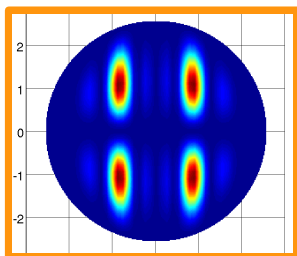
FT



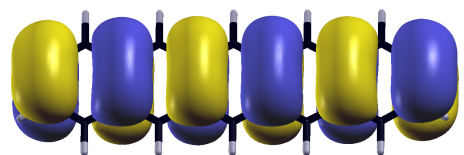
HOMO-1



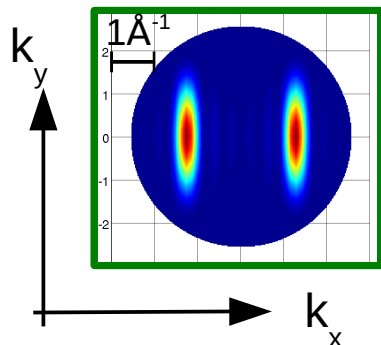
FT



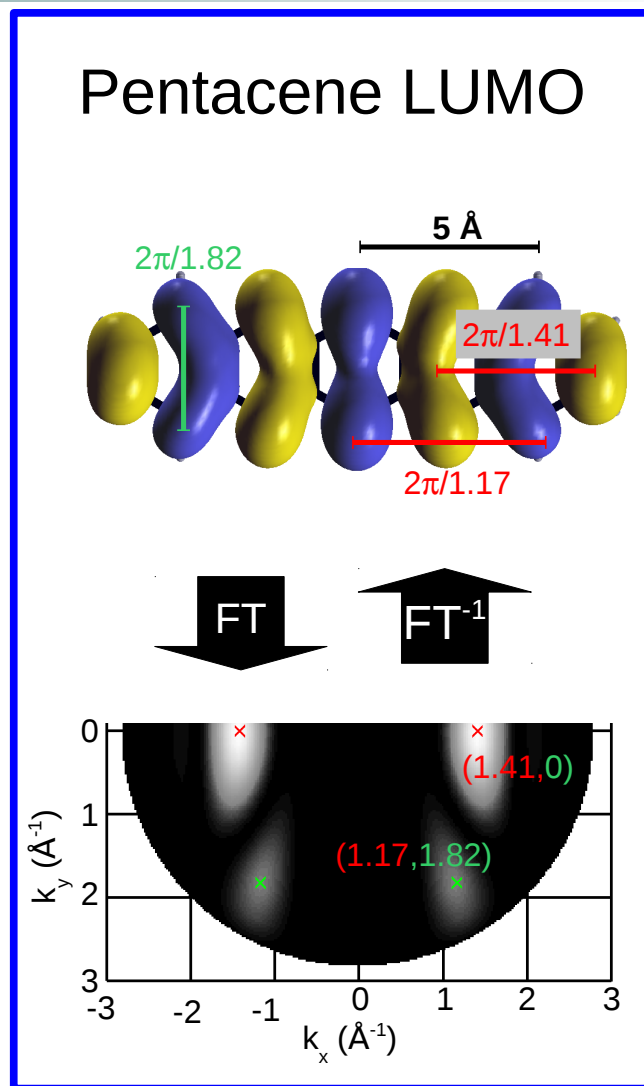
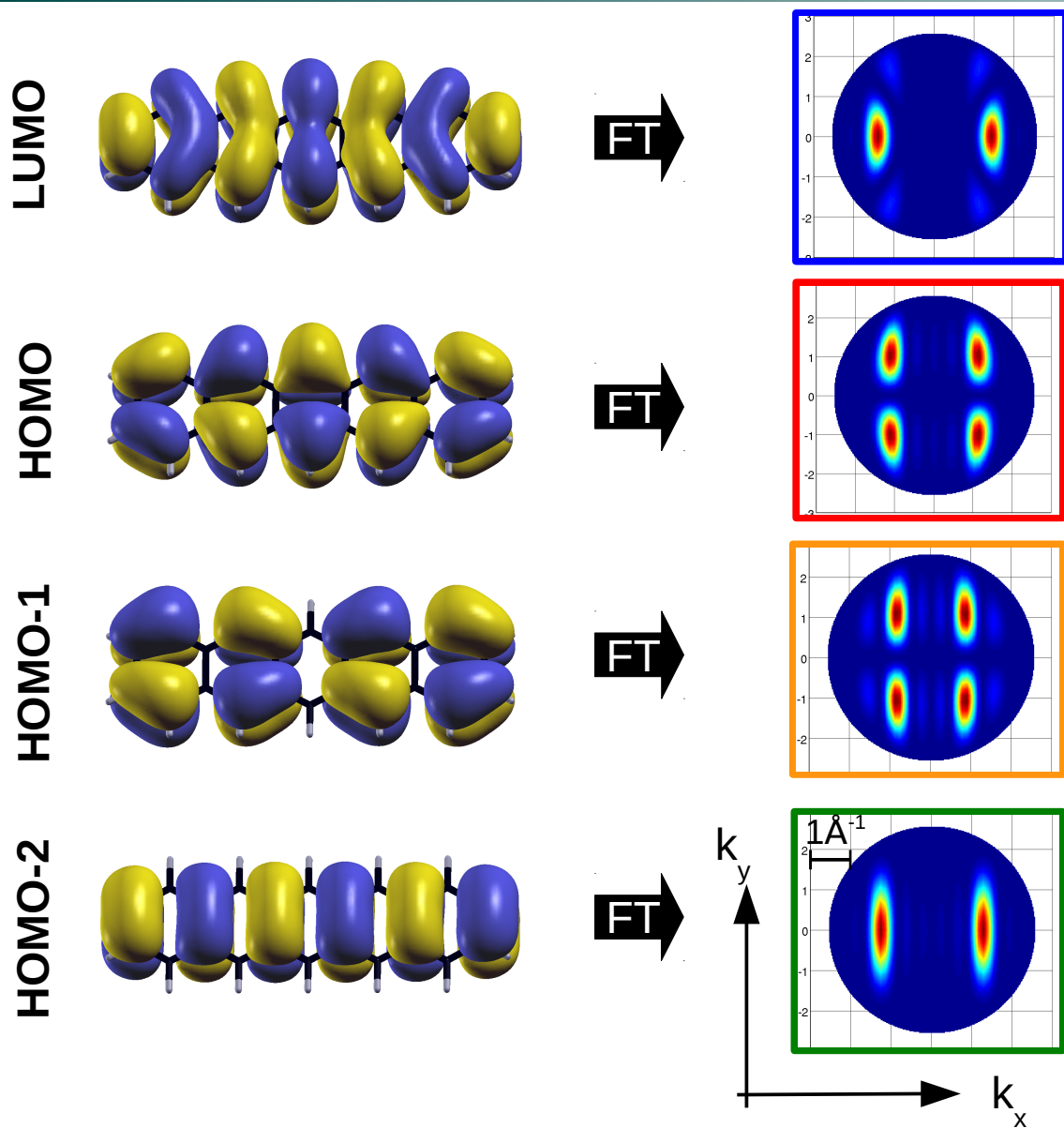
HOMO-2



FT



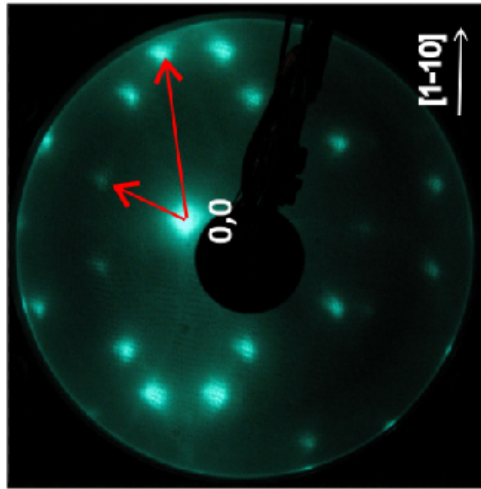
# Frontier Orbitals of Pentacene



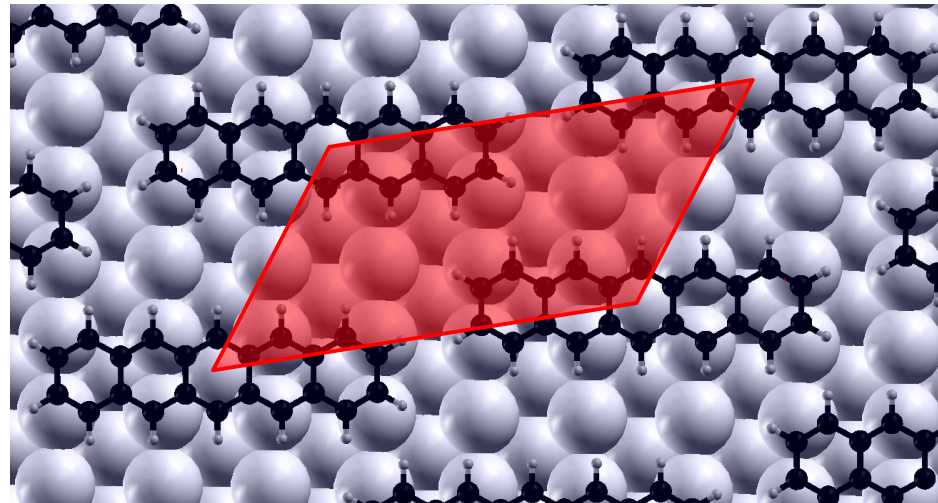


# Pentacene/Ag(110)

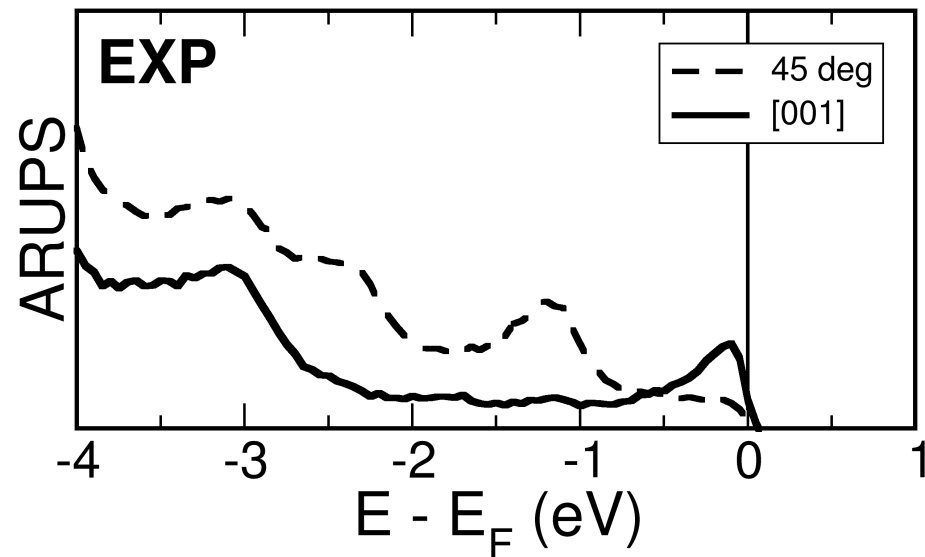
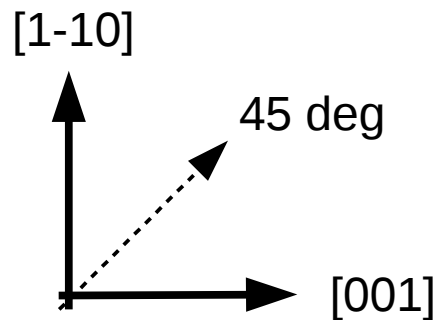
LEED



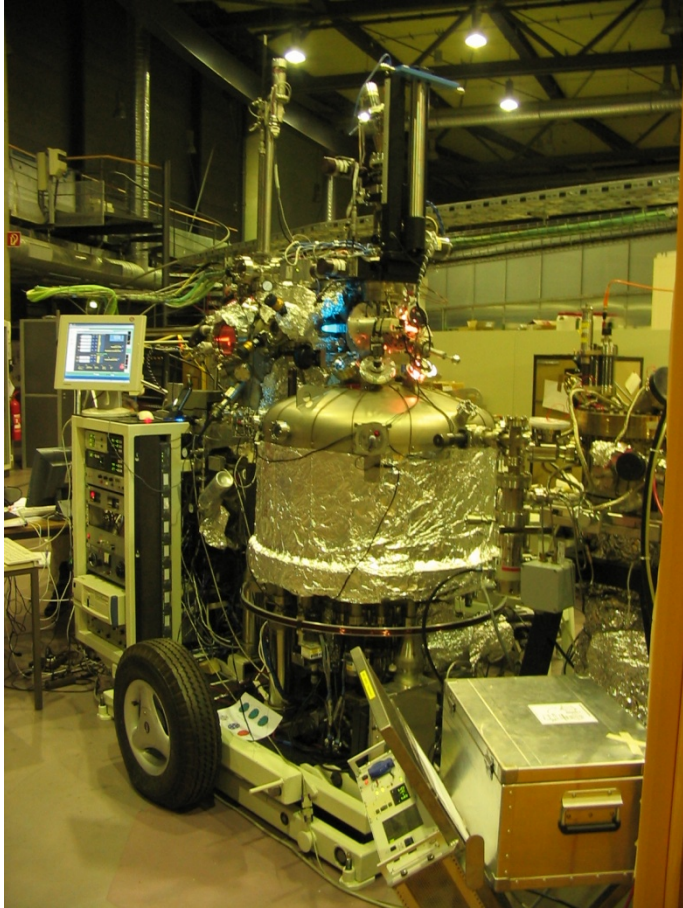
Commensurate Overlayer Structure



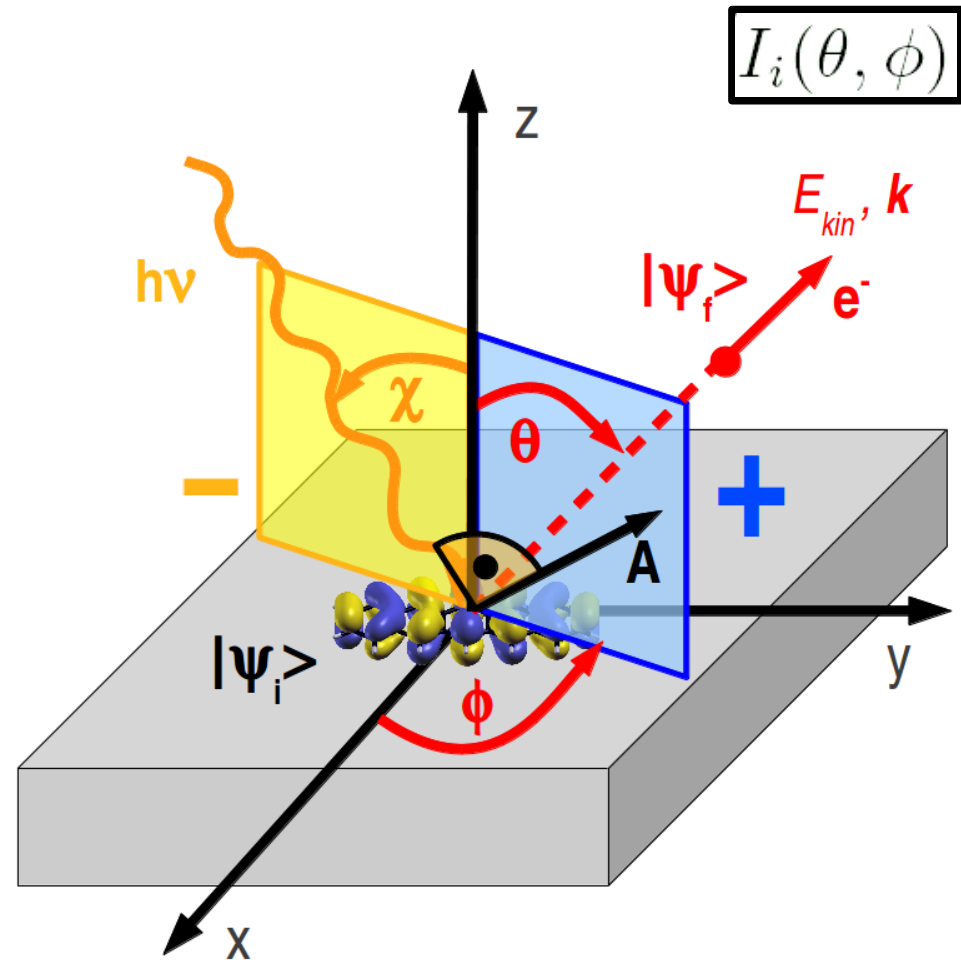
*Pentacene across the Ag-rows*



# Toroidal Electron Energy Analyzer



The Toroidal Electron Spectrometer for Angle-Resolved Photoelectron Spectroscopy with Synchrotron Radiation at BESSY II

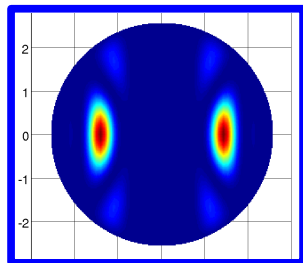


# Pentacene/Ag(110)

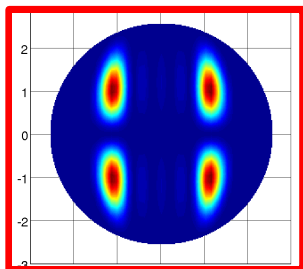
DFT

Isolated pentacene

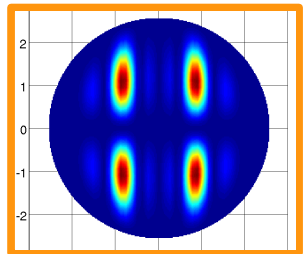
LUMO



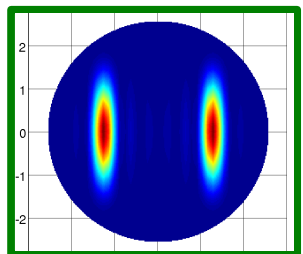
HOMO



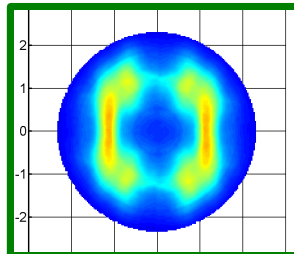
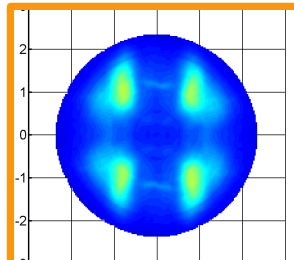
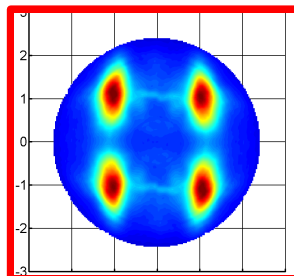
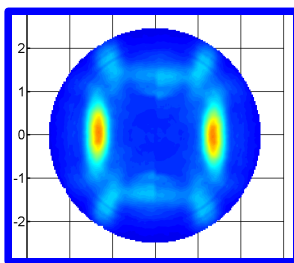
HOMO-1



HOMO-2



ARUPS



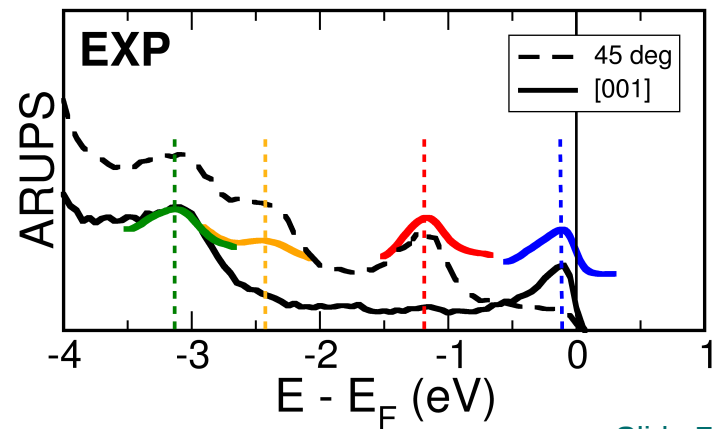
$1\text{\AA}^{-1}$

[1-10]

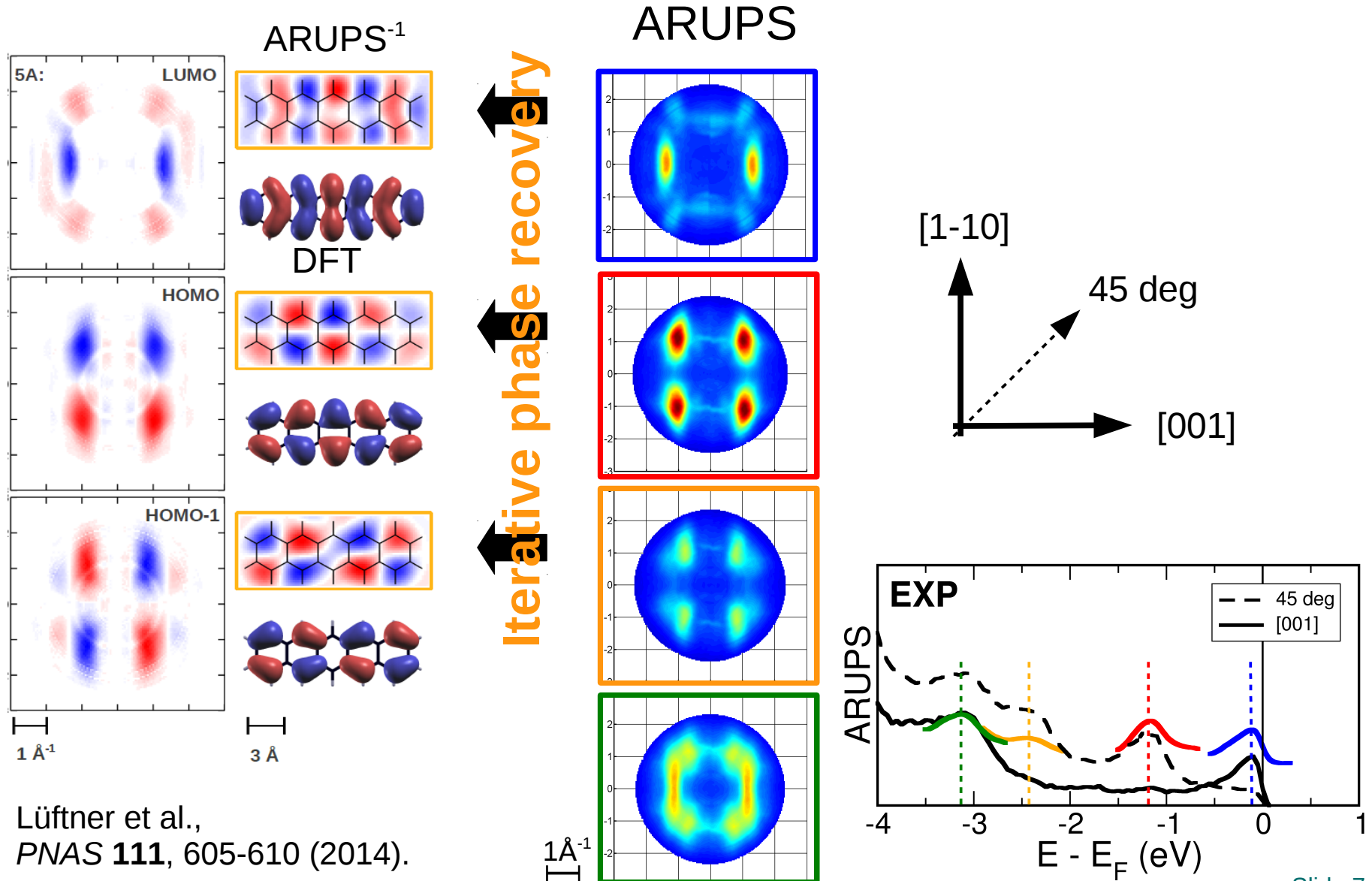


45 deg

[001]

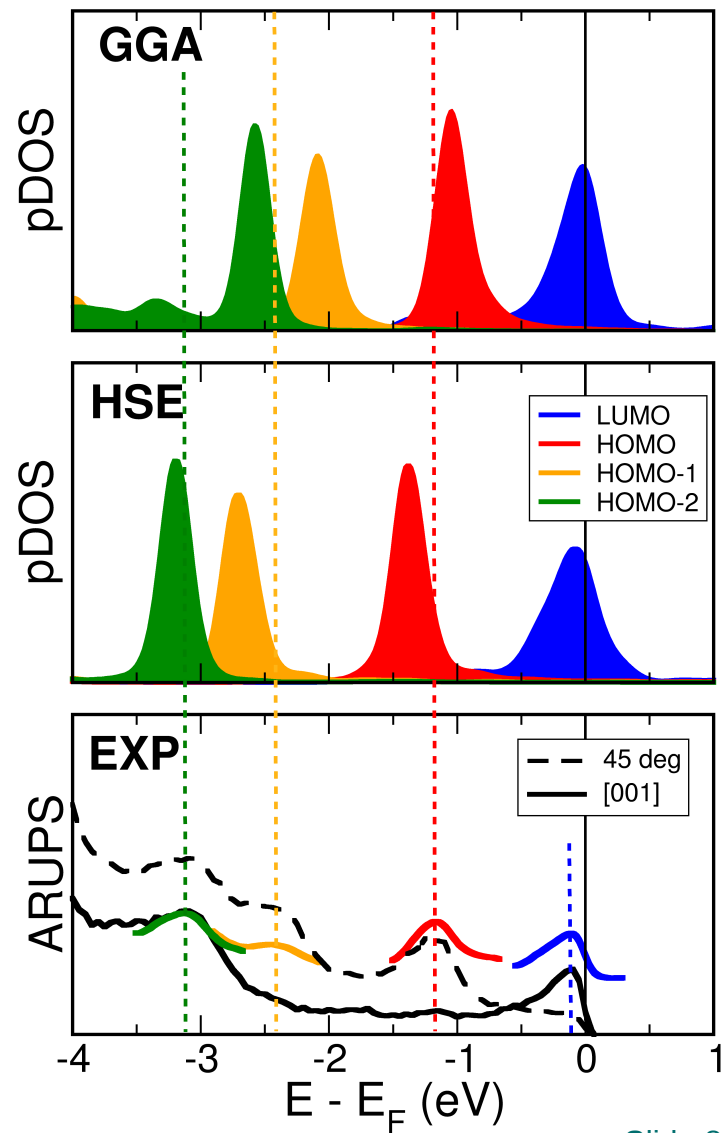
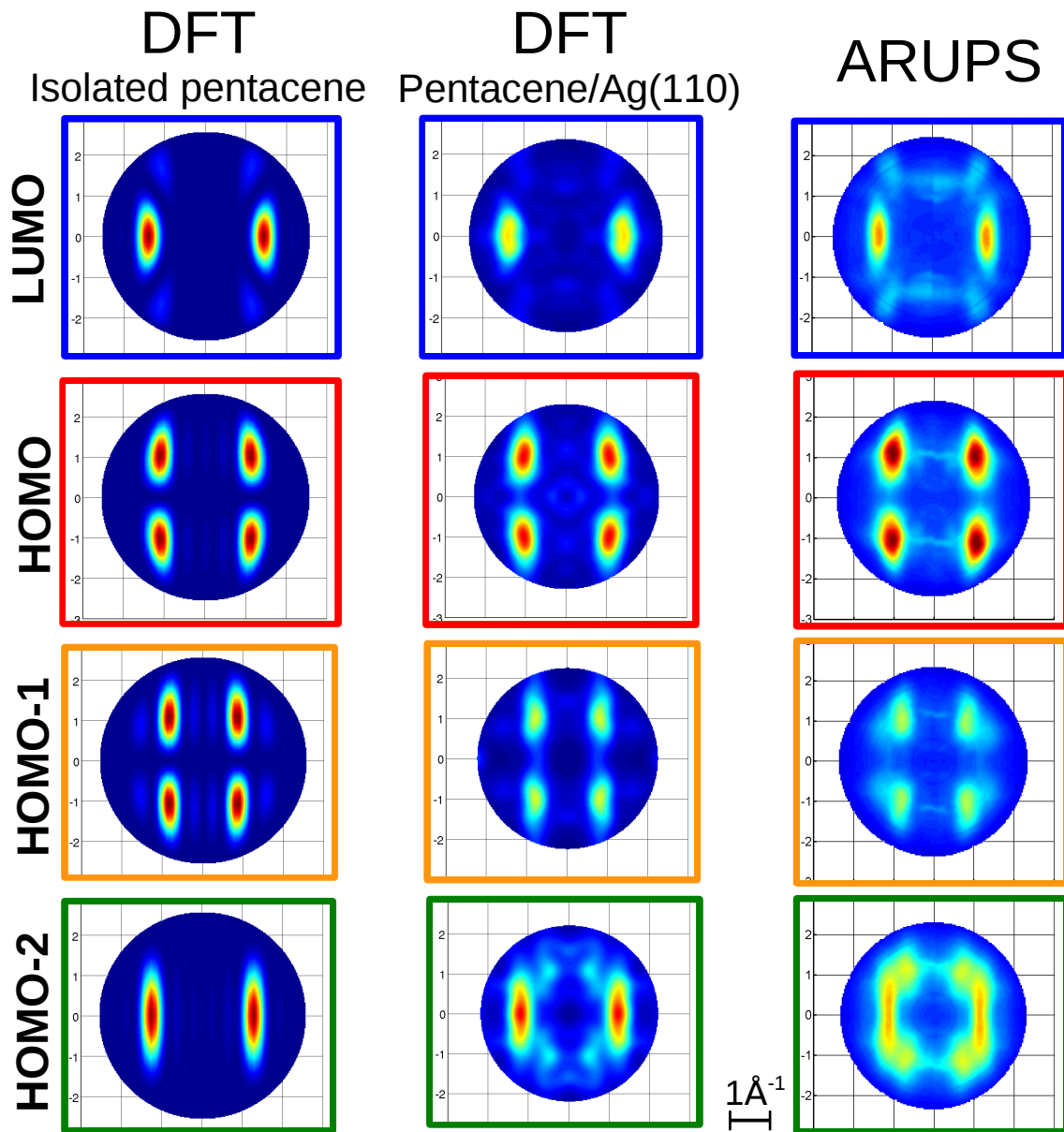


# Pentacene/Ag(110)



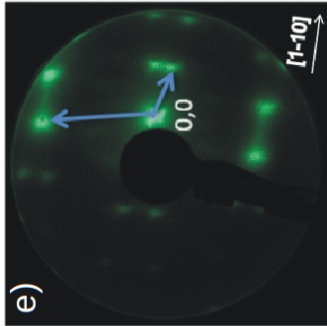
Lüftner et al.,  
*PNAS* **111**, 605-610 (2014).

# Pentacene/Ag(110)

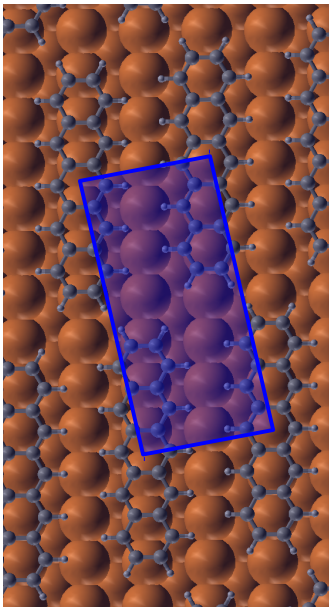


# Pentacene/Cu(110)

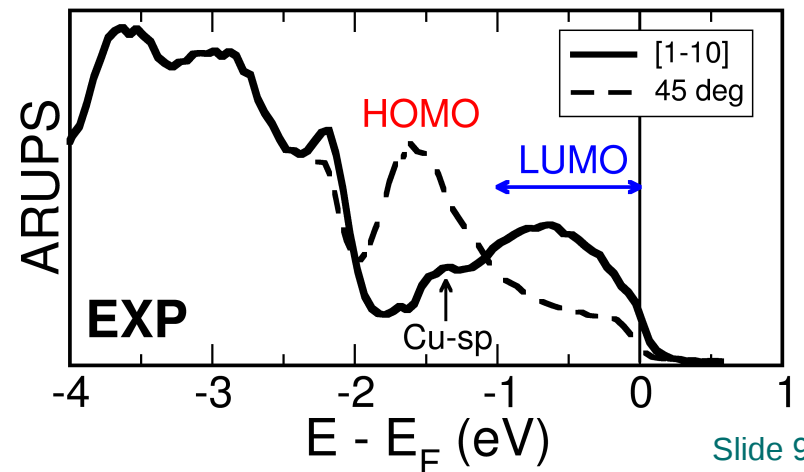
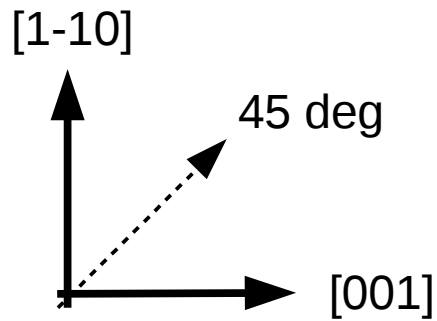
LEED



Non-Commensurate

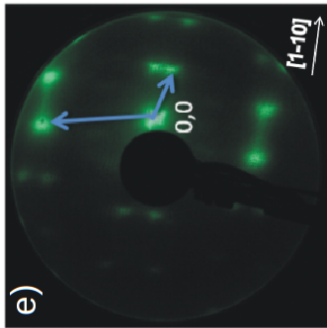


Pentacene *along*  
the Cu-rows

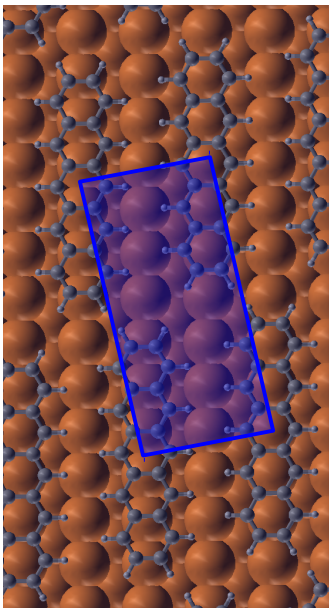


# Pentacene/Cu(110)

LEED

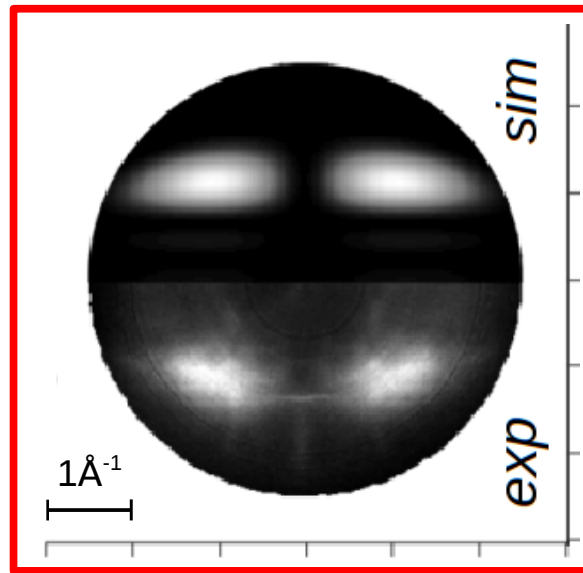


Non-Commensurate

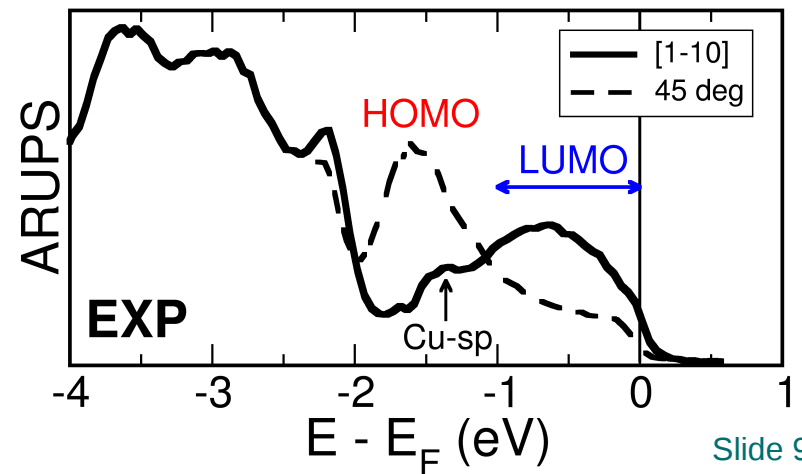
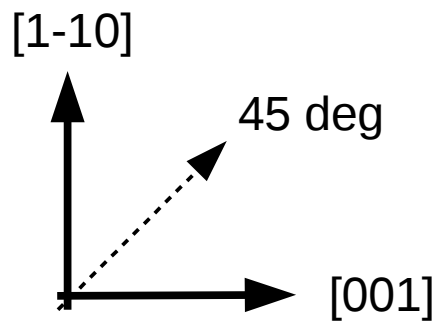
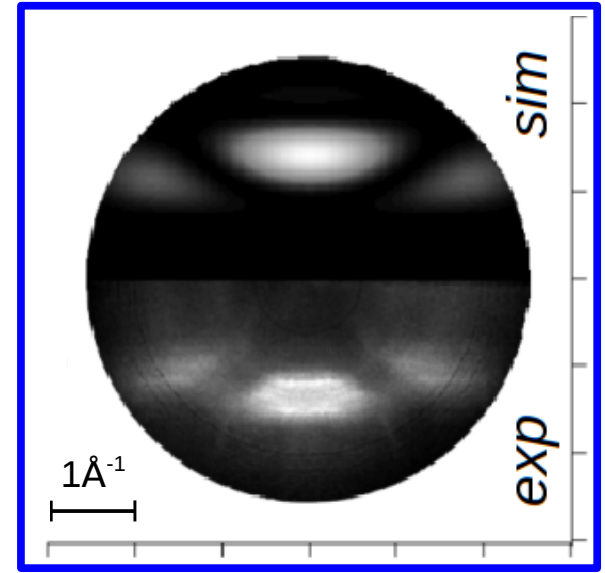


Pentacene *along*  
the Cu-rows

HOMO @  $E_B = 1.5$  eV

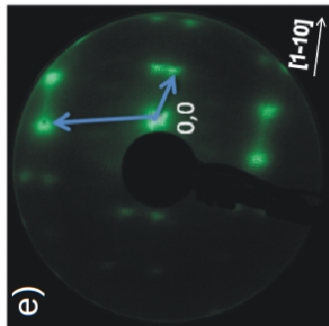


LUMO @  $E_B = 0.8$  eV

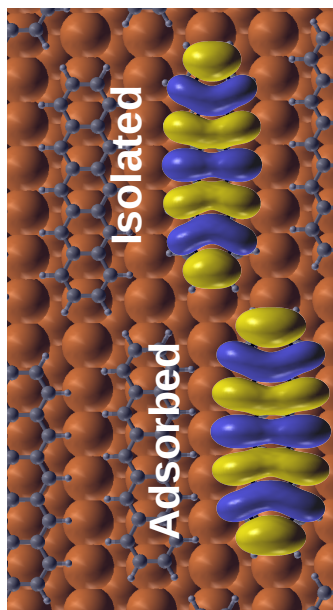


# Modification of LUMO

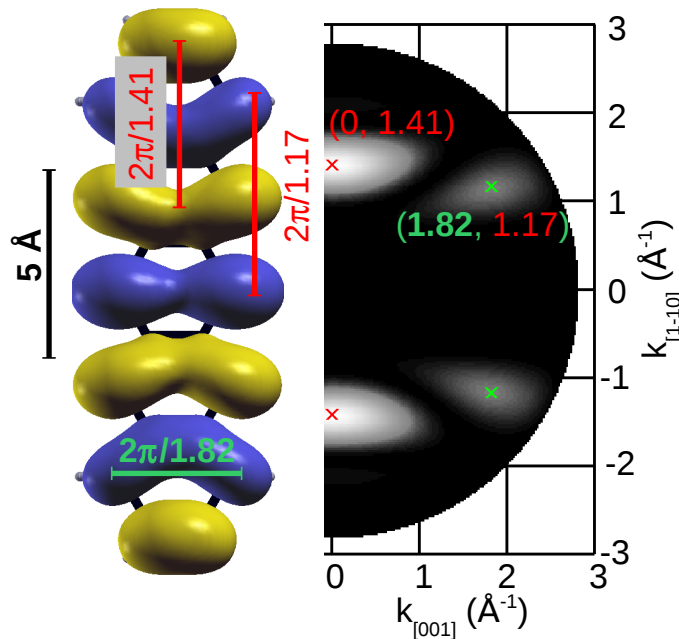
LEED



Non-Commensurate

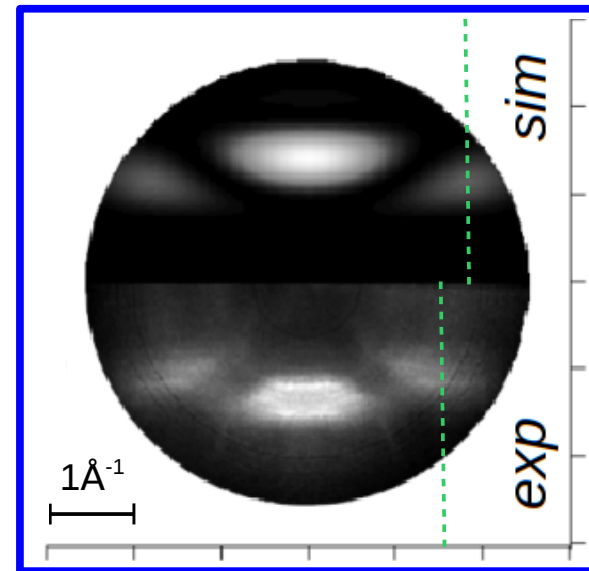


Pentacene *along* the Cu-rows



*Isolated Pentacene*

LUMO @  $E_B = 0.8$  eV



*Pentacene / Cu(110)*

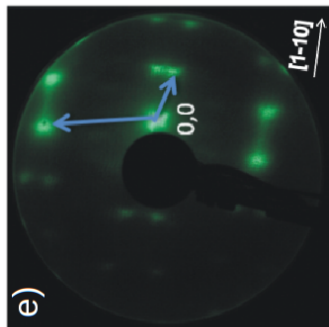


*„Upon adsorption on Cu(110), the LUMO orbital of pentacene expands by ~20% across the long molecular axis.“*

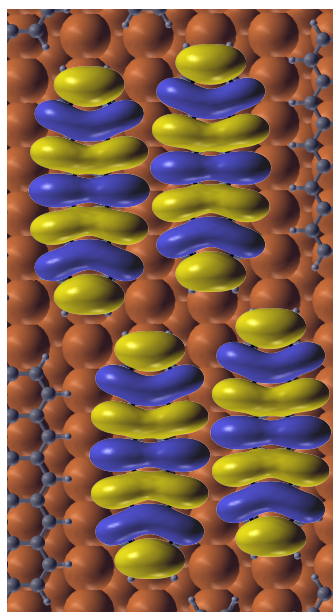


# Dispersion of LUMO

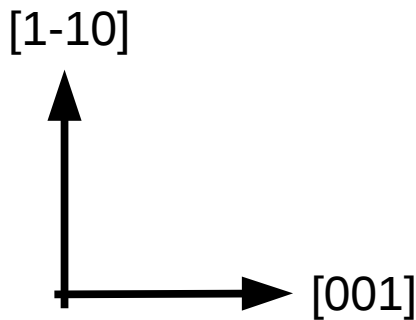
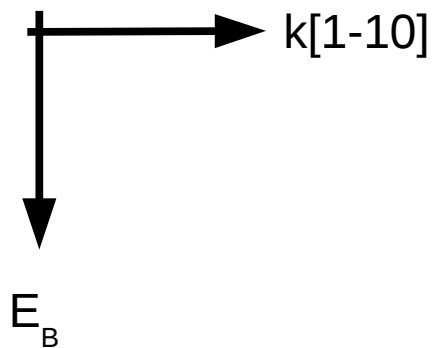
LEED



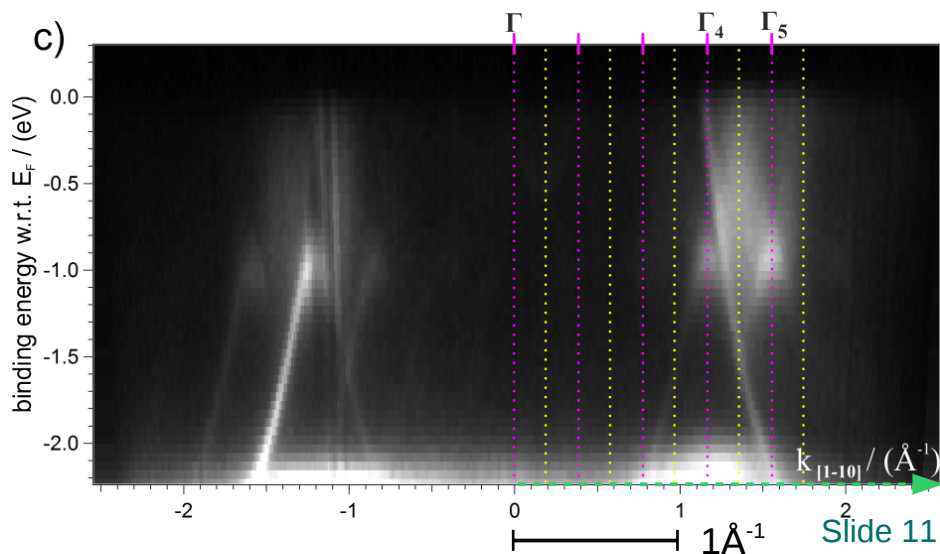
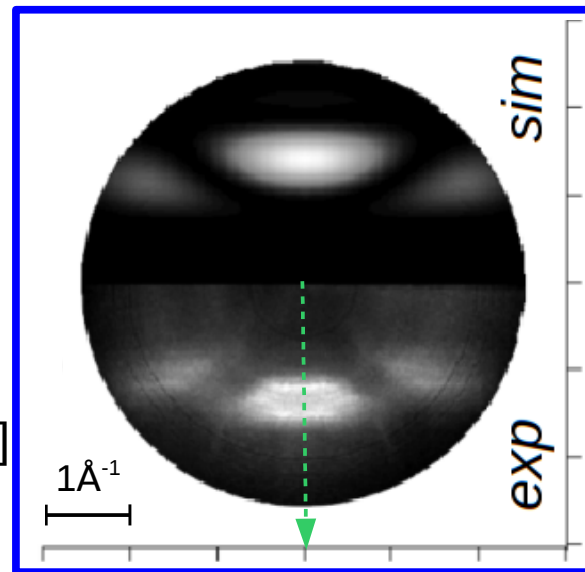
Non-Commensurate



Pentacene *along*  
the Cu-rows



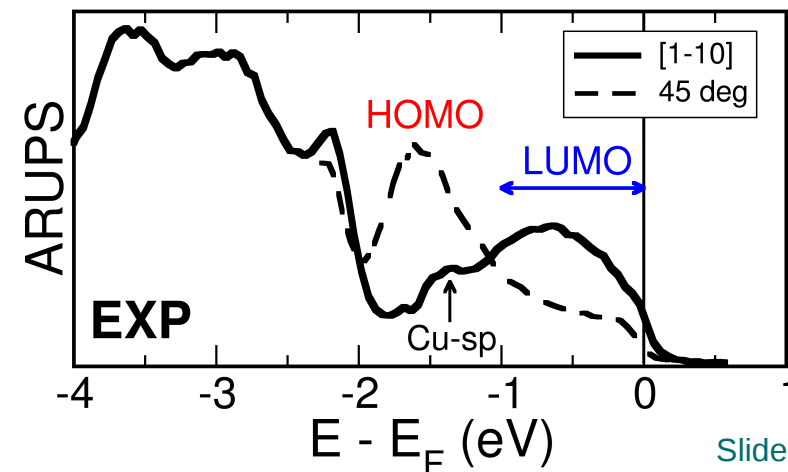
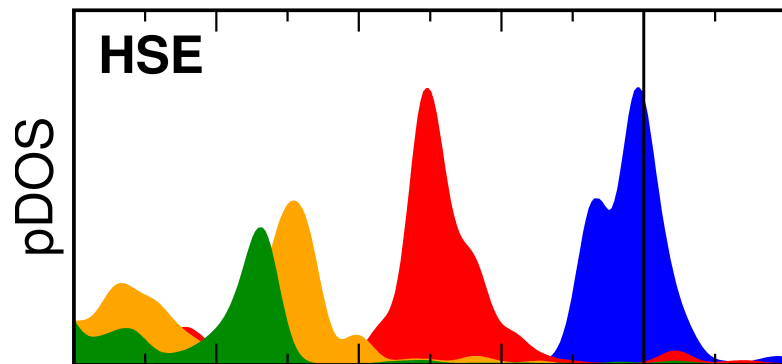
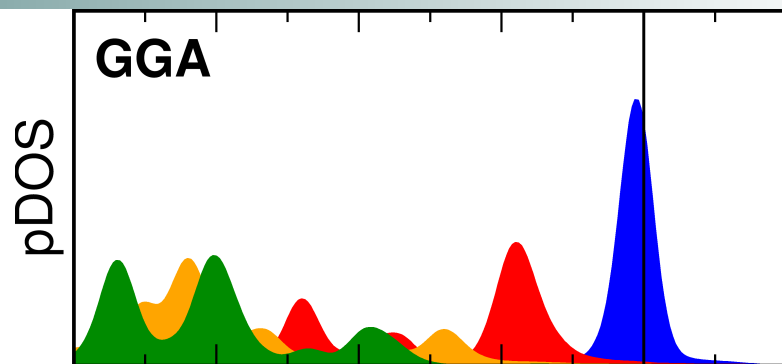
LUMO @  $E_B = 0.8$  eV



# DFT-Result: Pentacene/Cu(110)

DFT-results for Pentacene/Cu(110) are problematic

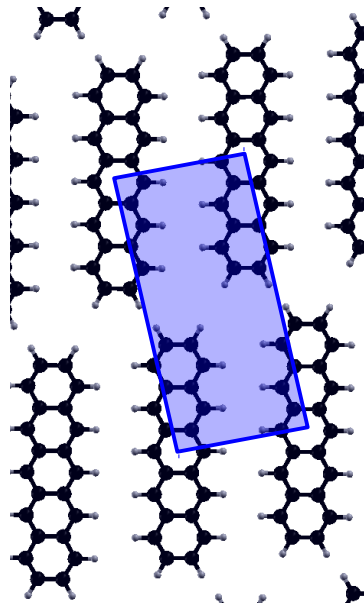
- A commensurate structure had to be chosen
- GGA yields HOMO too close to  $E_F$ , LUMO at  $E_F$  (\*)
- HSE yields some improvement regarding HOMO, but LUMO is still too close to  $E_F$



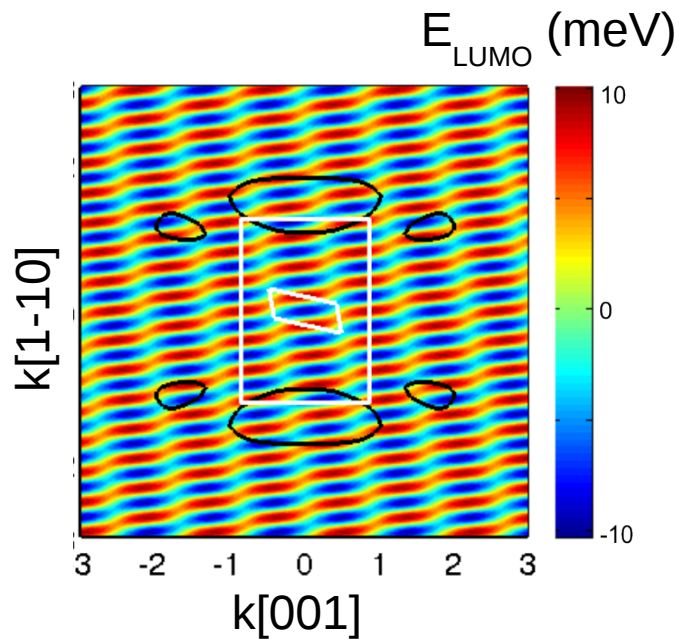
(\*) A similar DFT-result of Müller et al. in [*J. Phys. Chem. C* **116**, 23465 (2012)] led to a wrong assignment of experimentally observed peaks

# Dispersion of LUMO

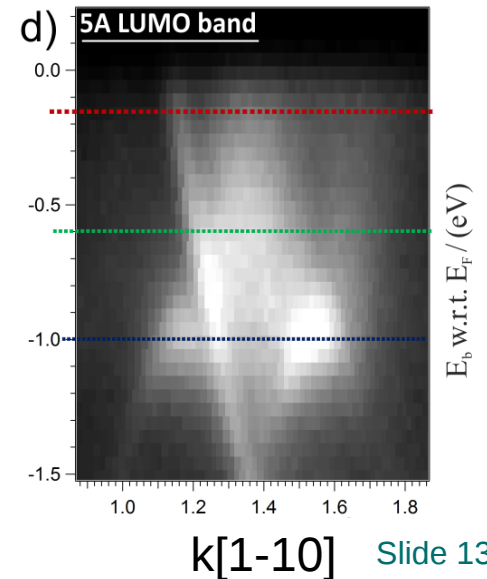
Free-standing layer



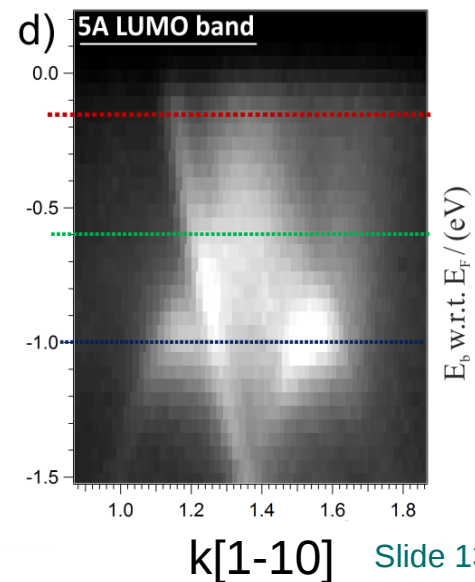
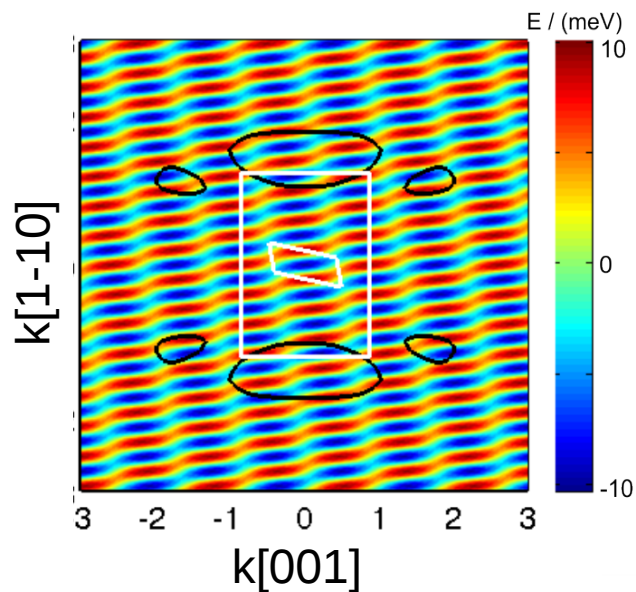
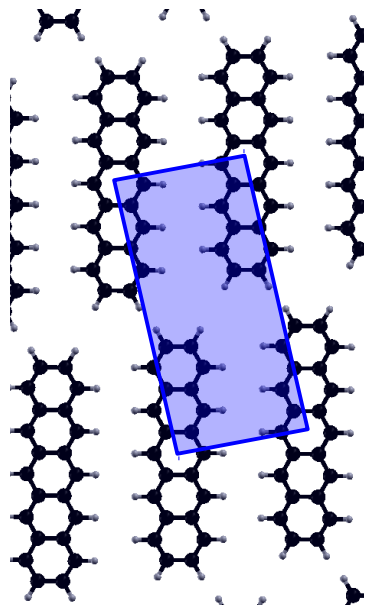
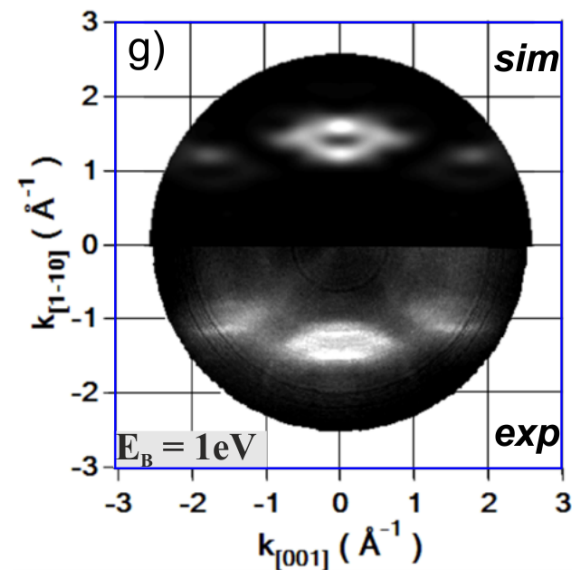
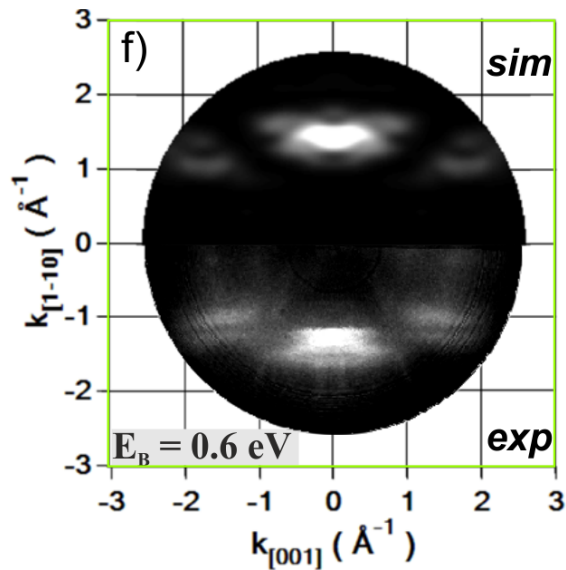
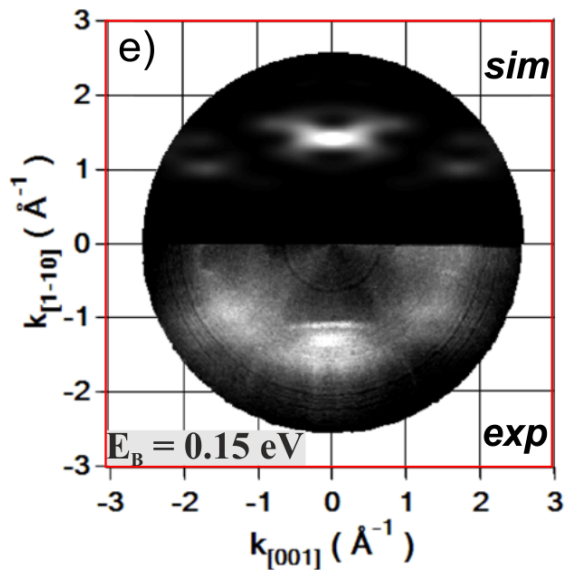
Color-coded 2D -dispersion



ARUPS band map

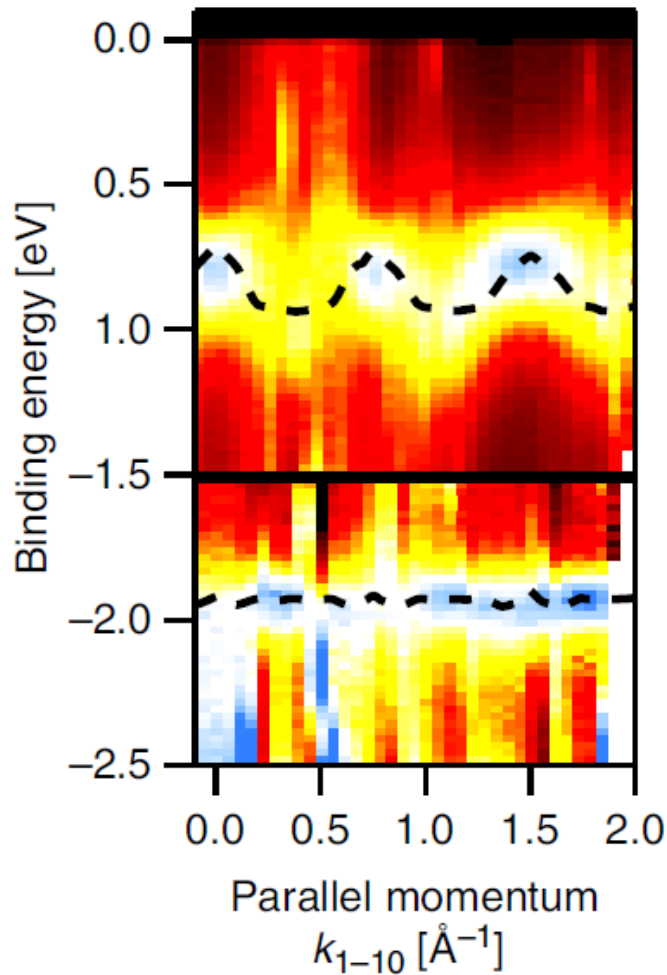


# Dispersion of LUMO



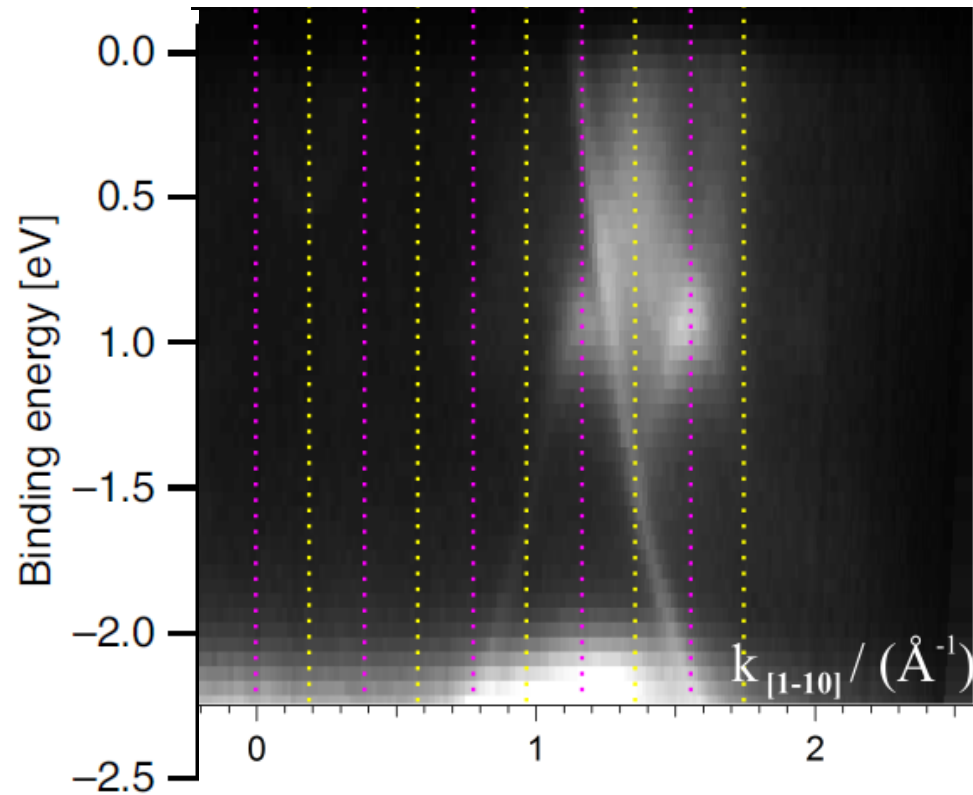
# Substrate-Enhanced Dispersion

PTCDA/Ag(110)



Wießner et al.,  
*Nature Communications* **4**, 1514 (2013).

Pentacene/Cu(110)



Thomas Ules et al.,  
*submitted*

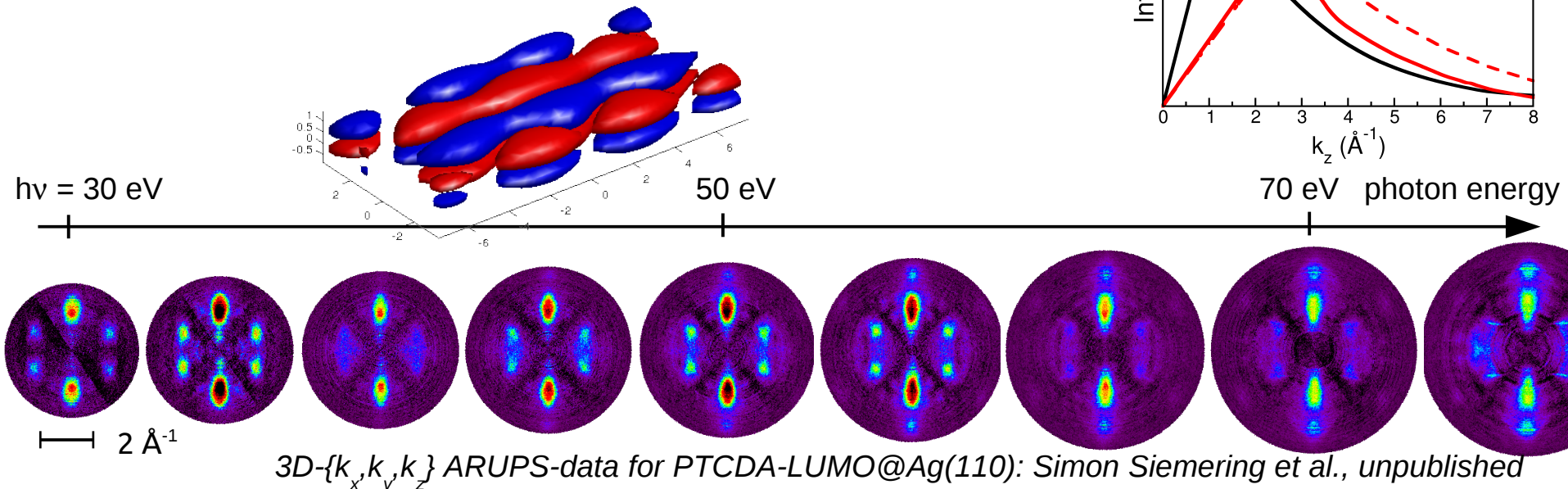
# Conclusion and Outlook

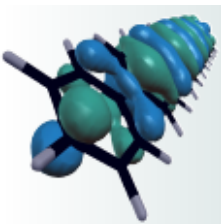
## Conclusion

- Orbital tomography yields molecular orientation and unambiguous assignment of states
- Pentacene/Ag(110): weak interaction, orbitals remain gas-phase like
- Pentacene/Cu(110): modification of orbital size and strong substrate-induced dispersion

## Future Perspectives of Orbital Tomography

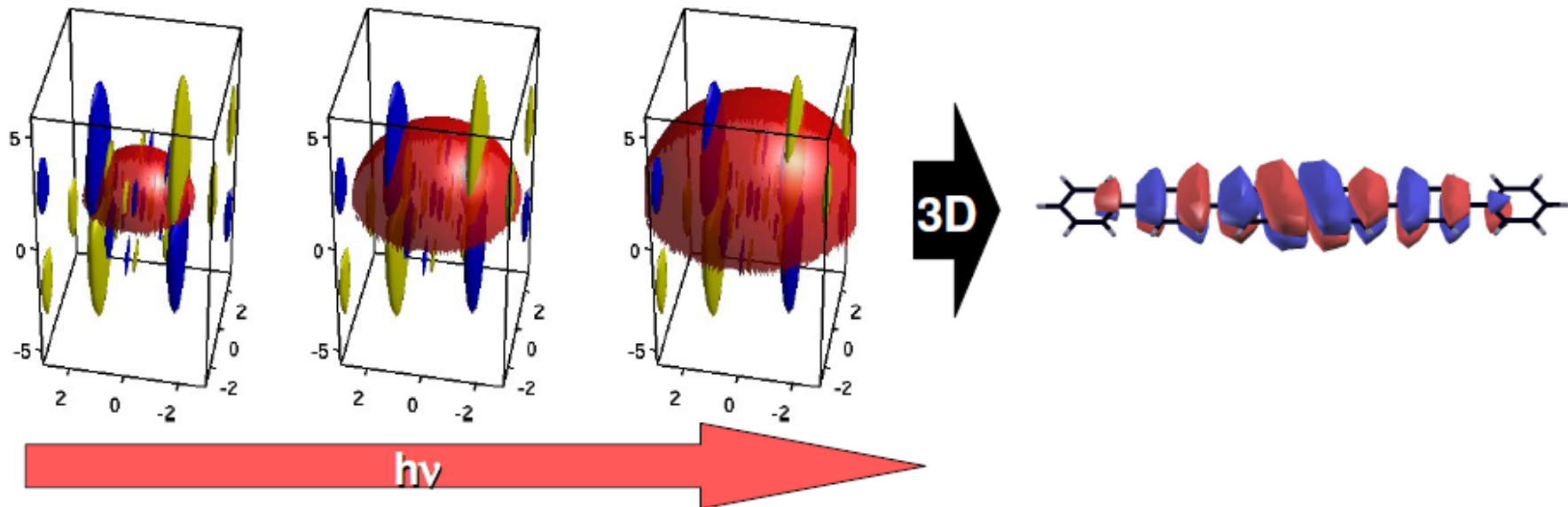
- Complex systems, e.g. PTCDA/CuPc mixed monolayer: Stadtmüller et al., *Nature Comm.* **5**, 3685 (2014)
- Benchmark for theory with unprecedented richness of information
- Orbital modification / hybridization of molecular orbitals upon adsorption
- Three-dimensional mapping of molecular orbitals





# Conclusions and Outlook

- Simple theory for PE intensity
- Azimuthal scans provide fingerprints of molecular orbitals
- Works also for rather strongly bound monolayers on metals
- **Yes, we can!** - reconstruct molecular orbitals from PE ;-)



# Collaborations and Funding

## Theoretical Physics – University Graz, Austria

**Daniel Lüftner**

Claudia Draxl: formerly at Chair of Atomistic Modelling, MU Leoben, now at HU Berlin

## Experimental Surface Science Group – University Graz, Austria

**Thomas Ules**, Eva-Maria Reinisch, Stephen Berkebile, Alexander Fleming  
Georg Koller, Mike Ramsey

## Experimentelle Physik VII – Universität Würzburg, Germany

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Achim Schöll, Friedrich Reinert

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Sergey Soubatch, Benjamin Stadtmüller, Martin Willenbockel, Simon Siemering,  
Christian Kumpf, Stefan Tautz

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„Understanding photoemission of organic thin films“





# Photoemission Intensity

## One Step Model

$$I(\theta, \phi; E_{\text{kin}}) \propto \sum_i \left| \langle \psi_f^*(\theta, \phi; E_{\text{kin}}) | \mathbf{A} \cdot \mathbf{p} | \psi_i \rangle \right|^2 \times \delta(E_i + \Phi + E_{\text{kin}} - \hbar\omega)$$



- Independent-Particle Picture
- Sudden Approximation

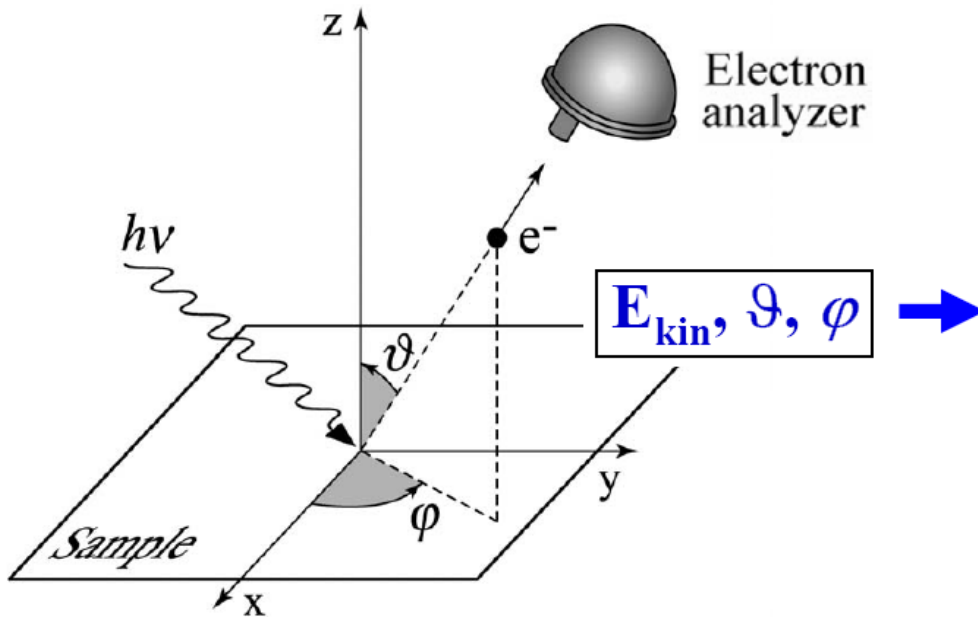
$$I(\mathbf{k}, \omega) = I_0(\mathbf{k}, \nu, A) f(\omega) A(\mathbf{k}, \omega)$$

“Matrix-Element-Effects”  
(depends on energy and  
polarization of photon, and on the  
electron momentum)

Spectral Function  
(energy renormalization  
and life time due  
to many-body effects)

[Hüfner, “Photoelectron Spectroscopy,” (Springer, 1995). Damascelli, Phys. Scr., **T109**, 61-74 (2004).

# Photoemission Spectroscopy



$$\mathbf{K} = \mathbf{p} / \hbar = \sqrt{2mE_{kin}} / \hbar$$

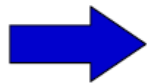
$$K_x = \frac{1}{\hbar} \sqrt{2mE_{kin}} \sin \vartheta \cos \varphi$$

$$K_y = \frac{1}{\hbar} \sqrt{2mE_{kin}} \sin \vartheta \sin \varphi$$

$$K_z = \frac{1}{\hbar} \sqrt{2mE_{kin}} \cos \vartheta$$

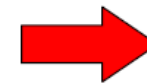
Vacuum

$$\begin{matrix} E_{kin} \\ \mathbf{K} \end{matrix}$$



Conservation laws

$$\begin{matrix} E_f - E_i = h\nu \\ \mathbf{k}_f - \mathbf{k}_i = \cancel{\mathbf{k}_{h\nu}} \end{matrix}$$



Solid

$$\begin{matrix} E_B \\ \mathbf{k} \end{matrix}$$