

Gauge Invariance and Particles

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Vienna
Austria



NAWI Graz
Natural Sciences

FWF Österreichischer
Wissenschaftsfonds



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Elementary particles are not observables

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- Fröhlich-Morchio-Strocchi mechanism
 - Standard Model
 - Experimental signatures

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- Brout-Englert-Higgs Physics
- Fröhlich-Morchio-Strocchi mechanism
 - Standard Model
 - Experimental signatures
 - Beyond the Standard Model
 - Qualitative changes

Brout-Englert-Higgs Physics

-

The Standard Model

A toy model

A toy model: Higgs sector of the SM

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- Consider an $SU(2)$ with a fundamental scalar

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- Consider an SU(2) with a fundamental scalar
- Essentially the standard model Higgs

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- W s W_μ^a 

- Coupling g and some numbers f^{abc}

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- **Higgs** h_i 
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- Parameters selected for a BEH effect

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- Local SU(2) gauge symmetry

$$W_\mu^a \rightarrow W_\mu^a + (\delta_b^a \partial_\mu - g f_{bc}^a W_\mu^c) \phi^b$$

$$h_i \rightarrow h_i + g t_a^{ij} \phi^a h_j$$

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- Global SU(2) custodial (flavor) symmetry

- Acts as (right-)transformation on the scalar field only

$$W_\mu^a \rightarrow W_\mu^a \qquad h \rightarrow h \Omega$$

Textbook approach

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- Get masses and degeneracies at tree-level
- Perform perturbation theory

Physical spectrum

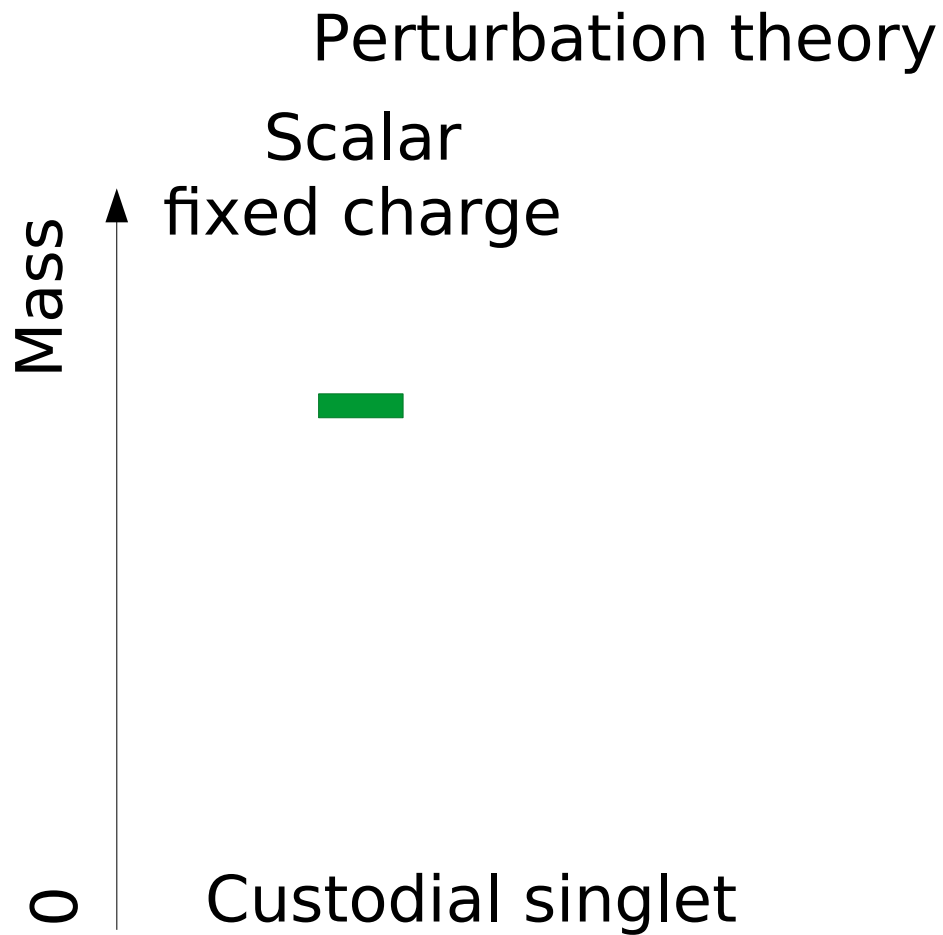
Perturbation theory

Mass

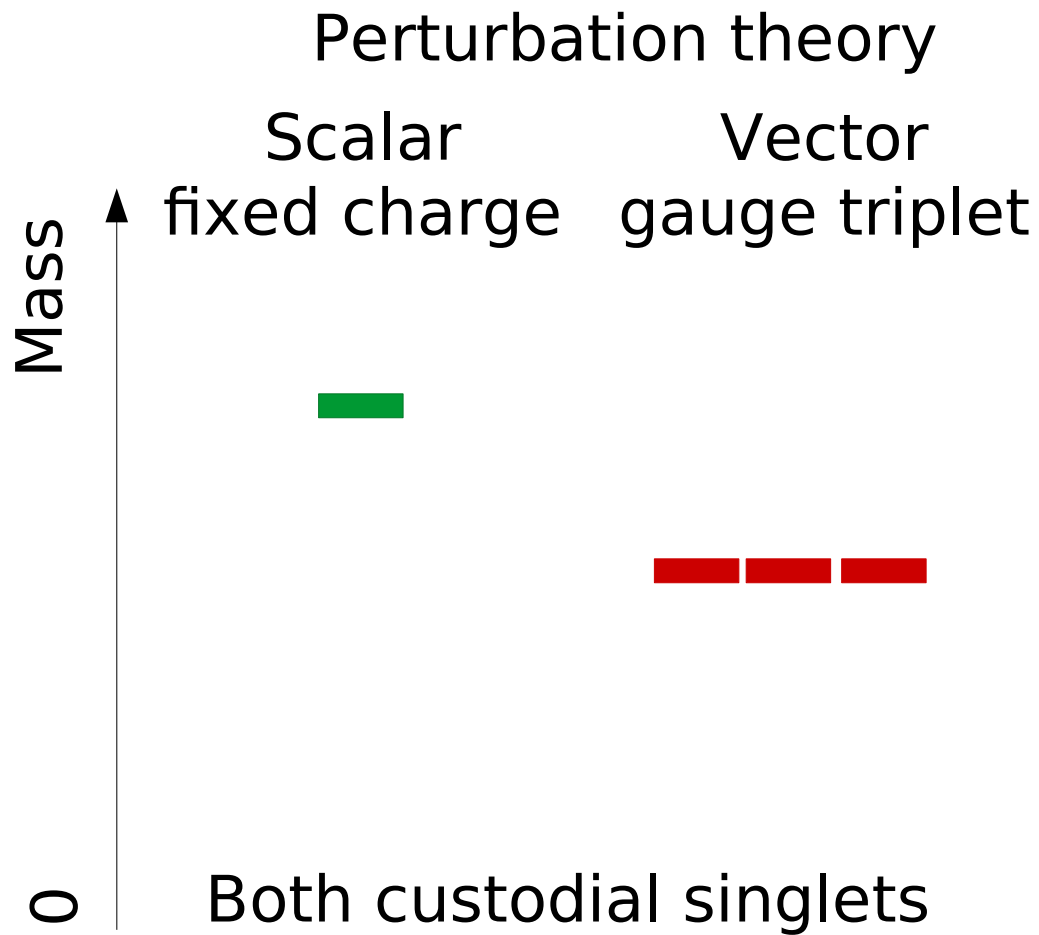


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The origin of the problem

[Fröhlich et al.'80,
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 - And this includes non-perturbative aspects...
 - ...even at weak coupling [Gribov'78, Singer'78, Fujikawa'82]

Physical states

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- Need physical, gauge-invariant particles

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- Need physical, gauge-invariant particles
 - **Cannot** be the elementary particles
 - Non-Abelian nature is relevant

Physical states

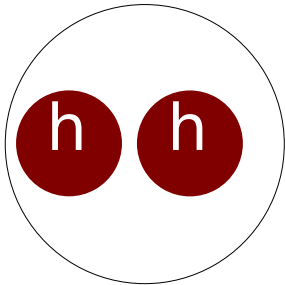
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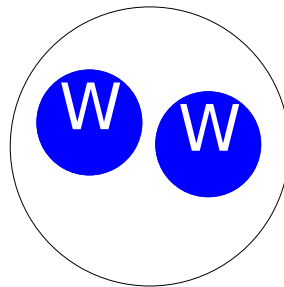
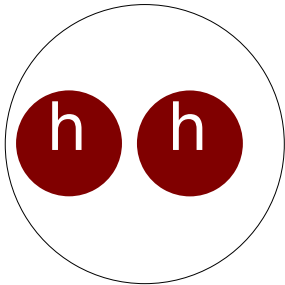
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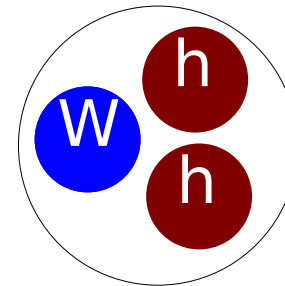
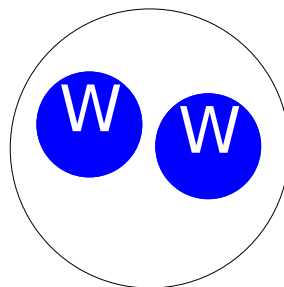
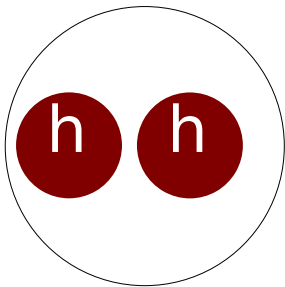
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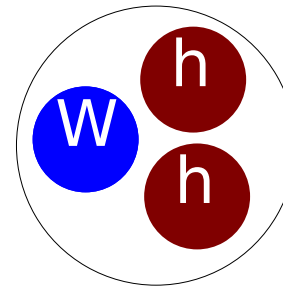
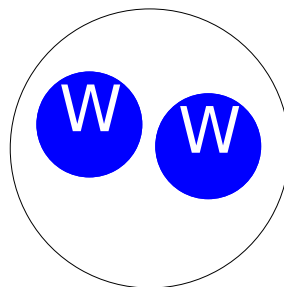
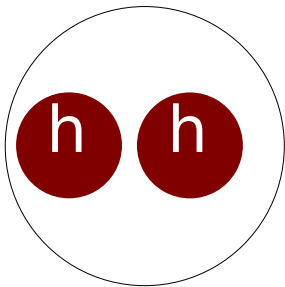
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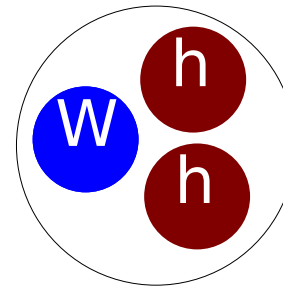
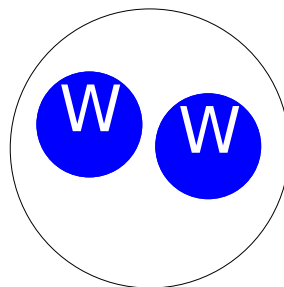
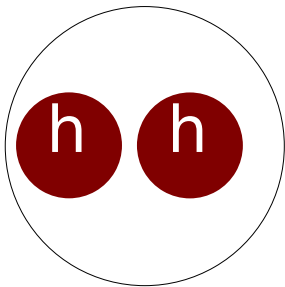


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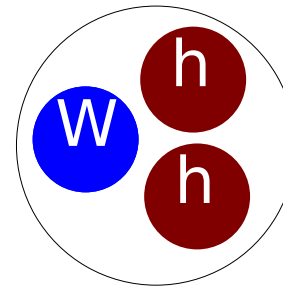
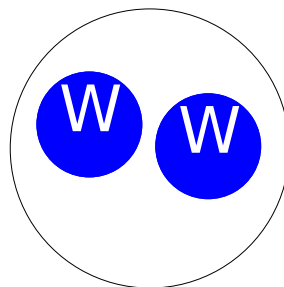
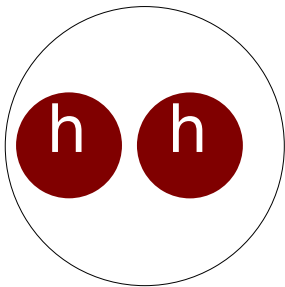


- Has nothing to do with weak coupling
 - Think QED (hydrogen atom!)

Physical states

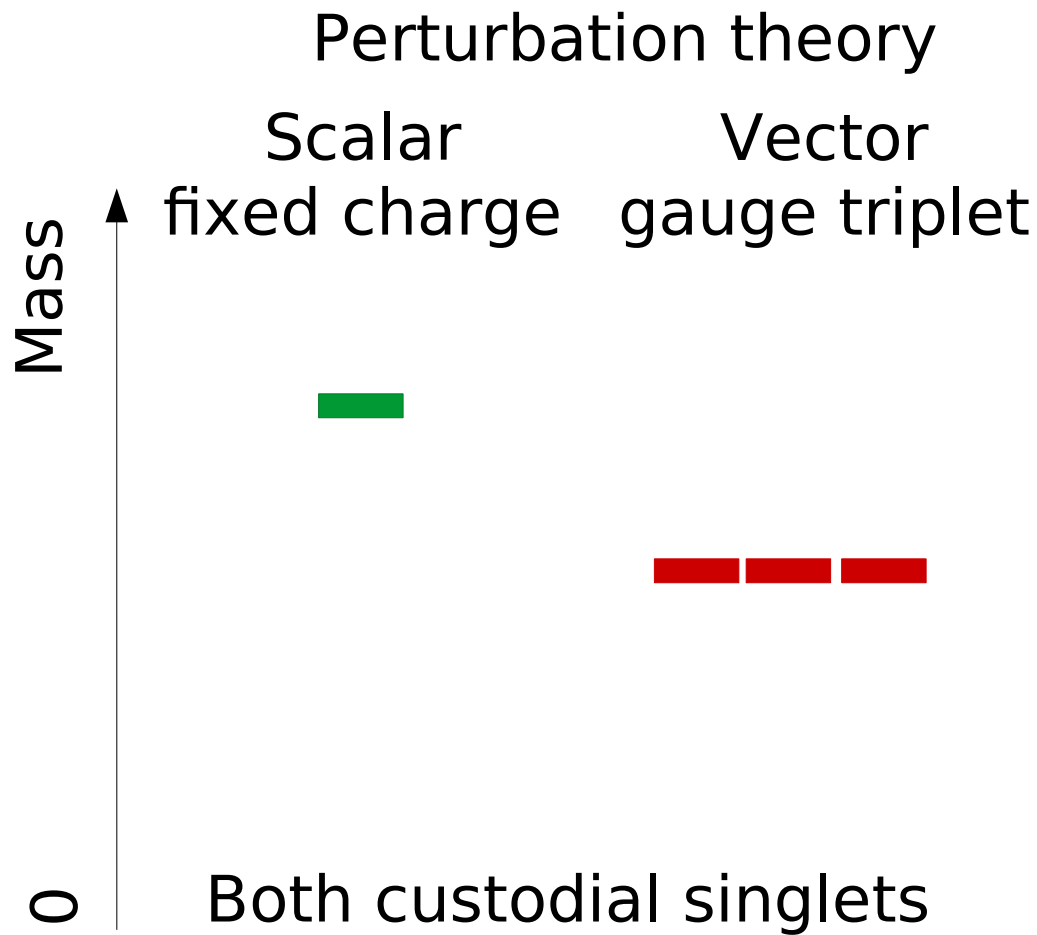
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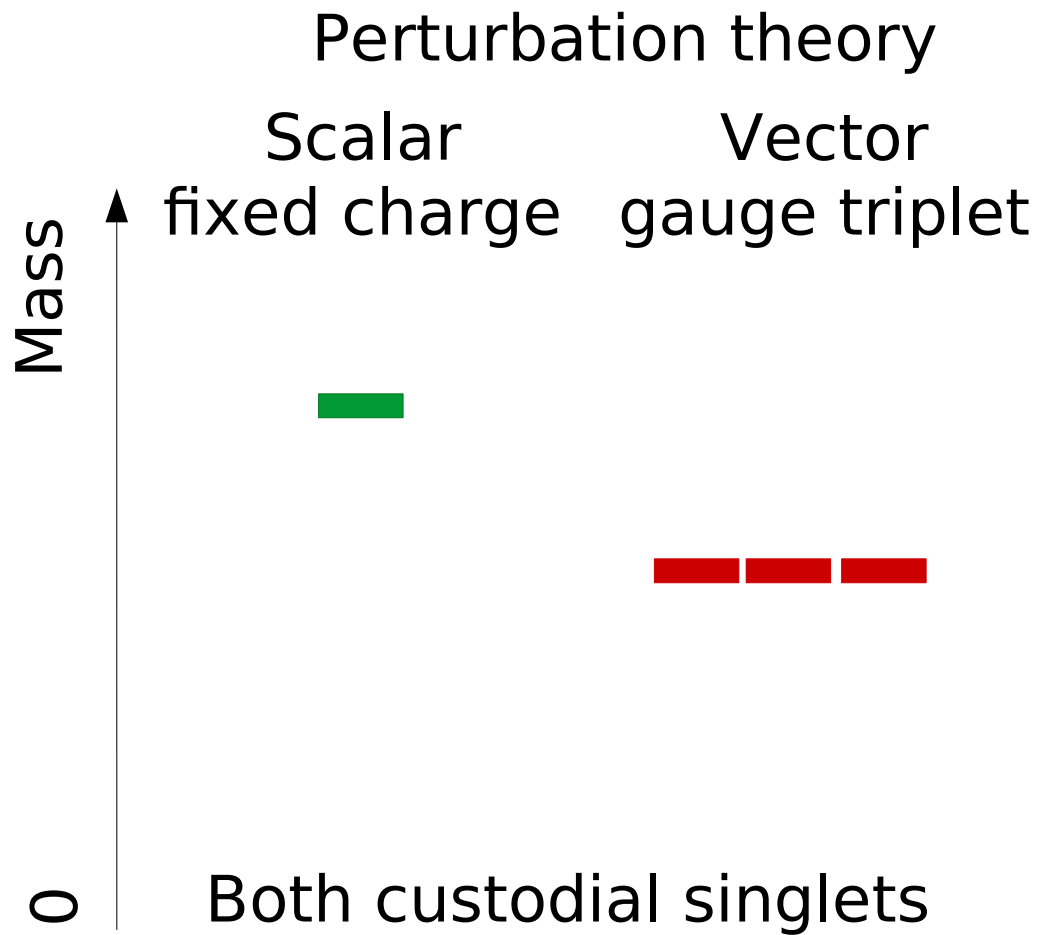
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 - Think QED (hydrogen atom!)
- Can this matter?

Physical spectrum

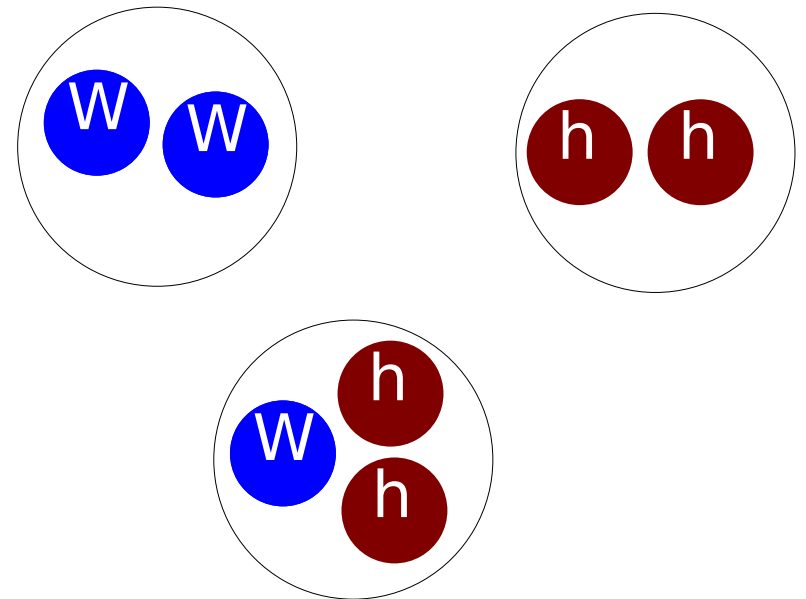


Remember: Experiment tells that somehow the left is correct!

Physical spectrum

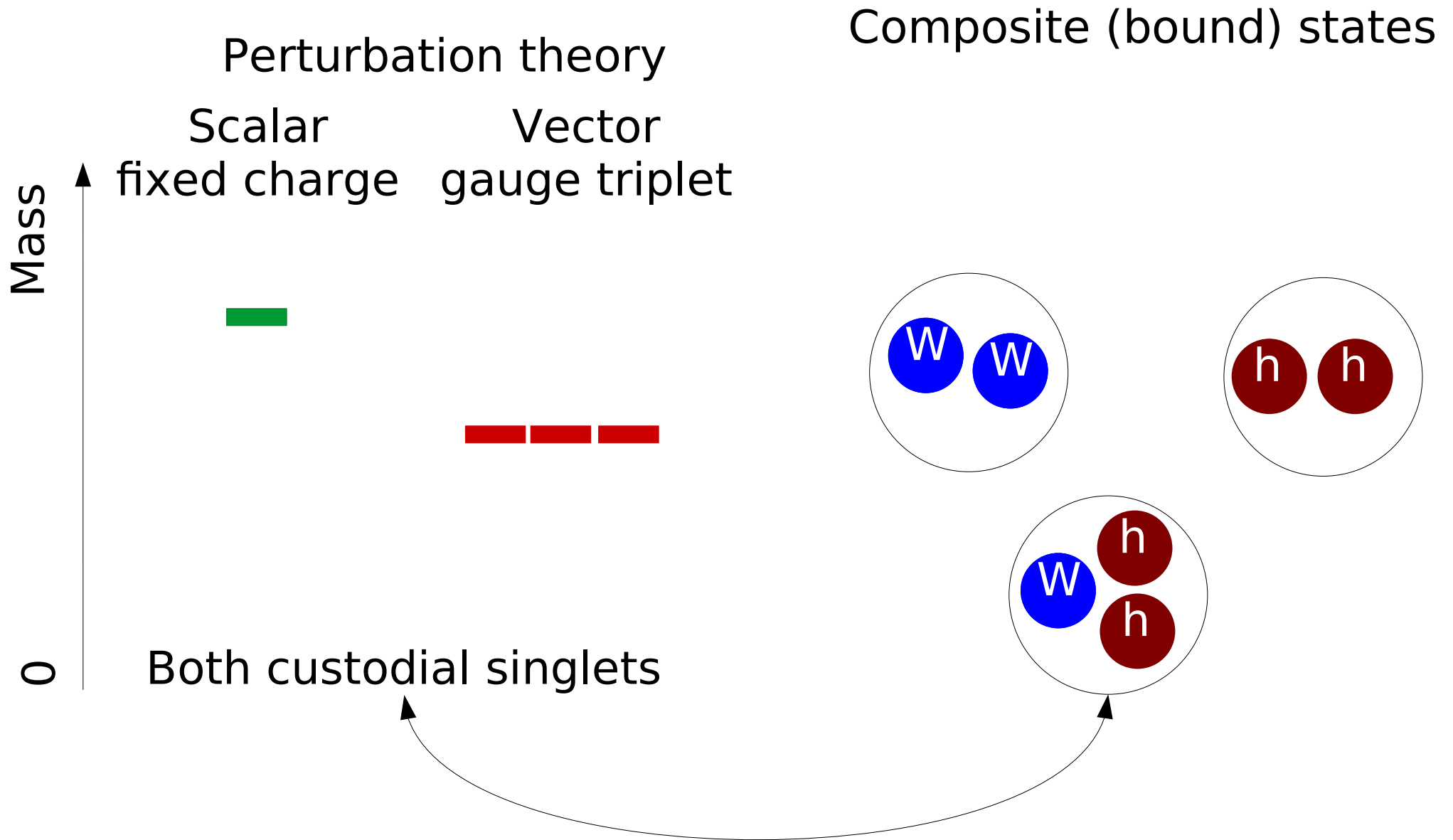


Composite (bound) states



Experiment tells that somehow the left is correct
Theory say the right is correct

Physical spectrum



Experiment tells that somehow the left is correct
Theory say the right is correct
There must exist a relation that both are correct

Physical particles

[Fröhlich et al.'80,'81,
Maas & Törek'16,'18,
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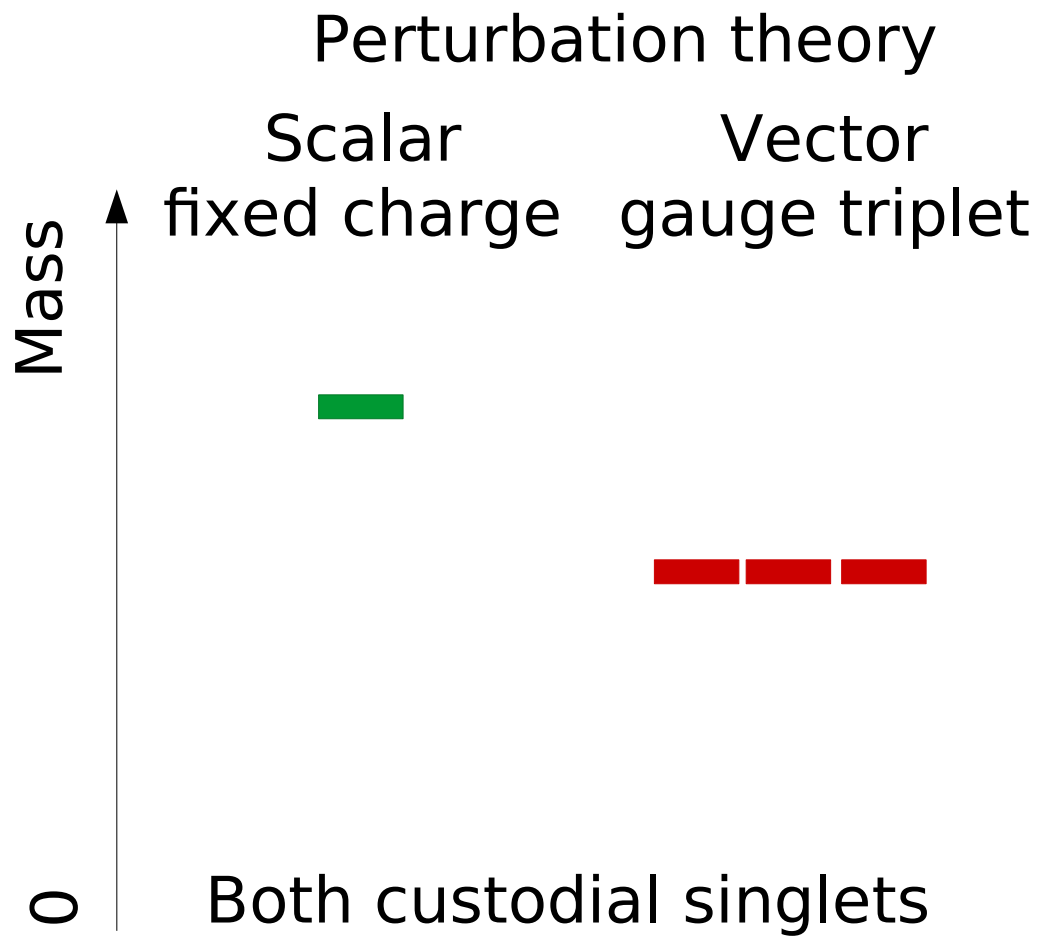
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 - Standard lattice spectroscopy problem
 - Standard methods
 - Smearing, variational analysis, systematic error analysis etc.
 - Very large statistics ($>10^5$ configurations)

Physical spectrum

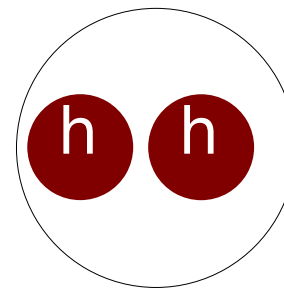
[Maas'12, Maas & Mufti'14]



Gauge-invariant

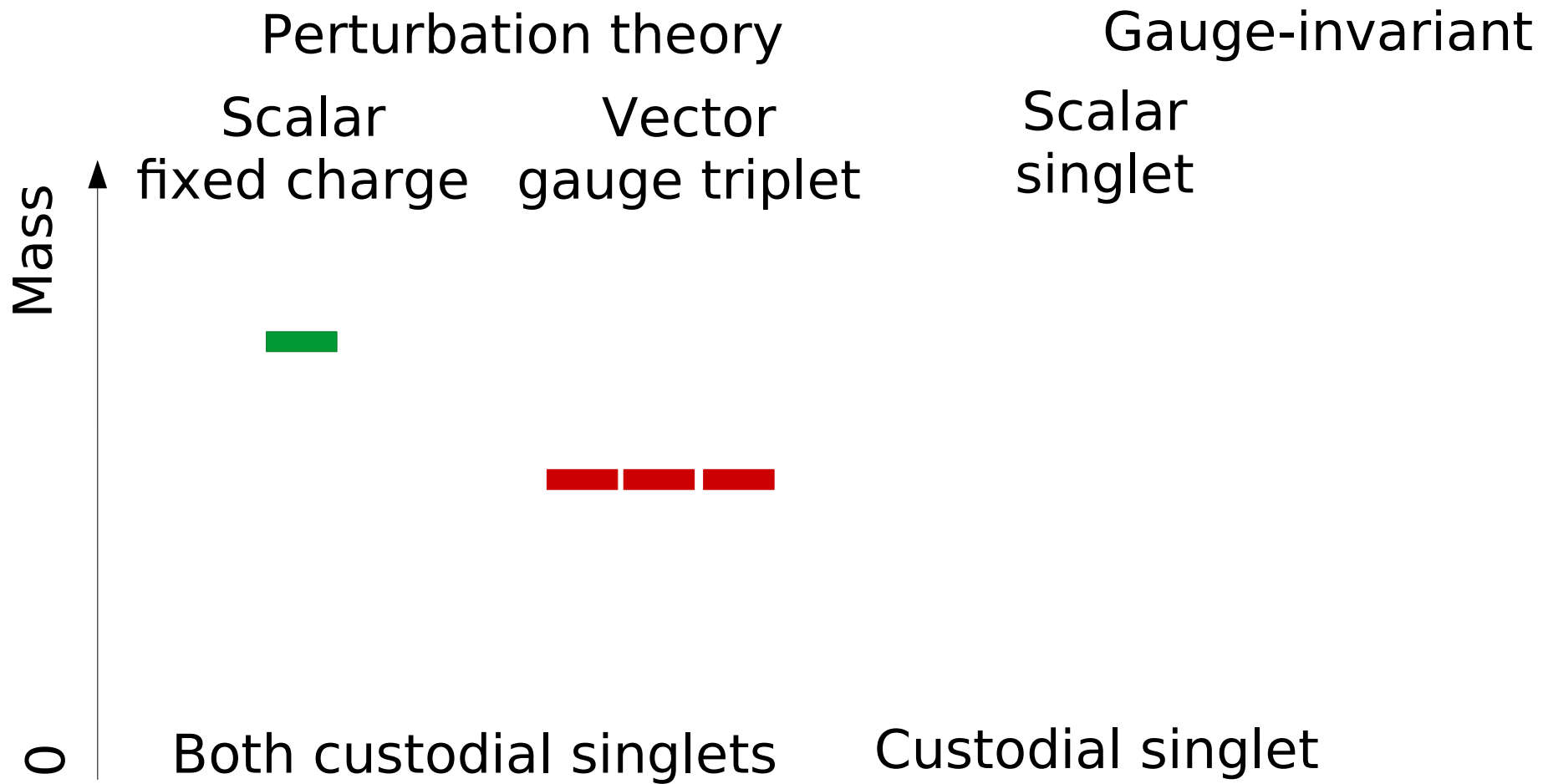
Scalar singlet

$$h(x)^+ h(x)$$

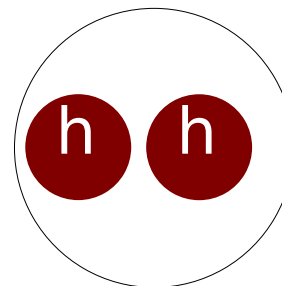


Physical spectrum

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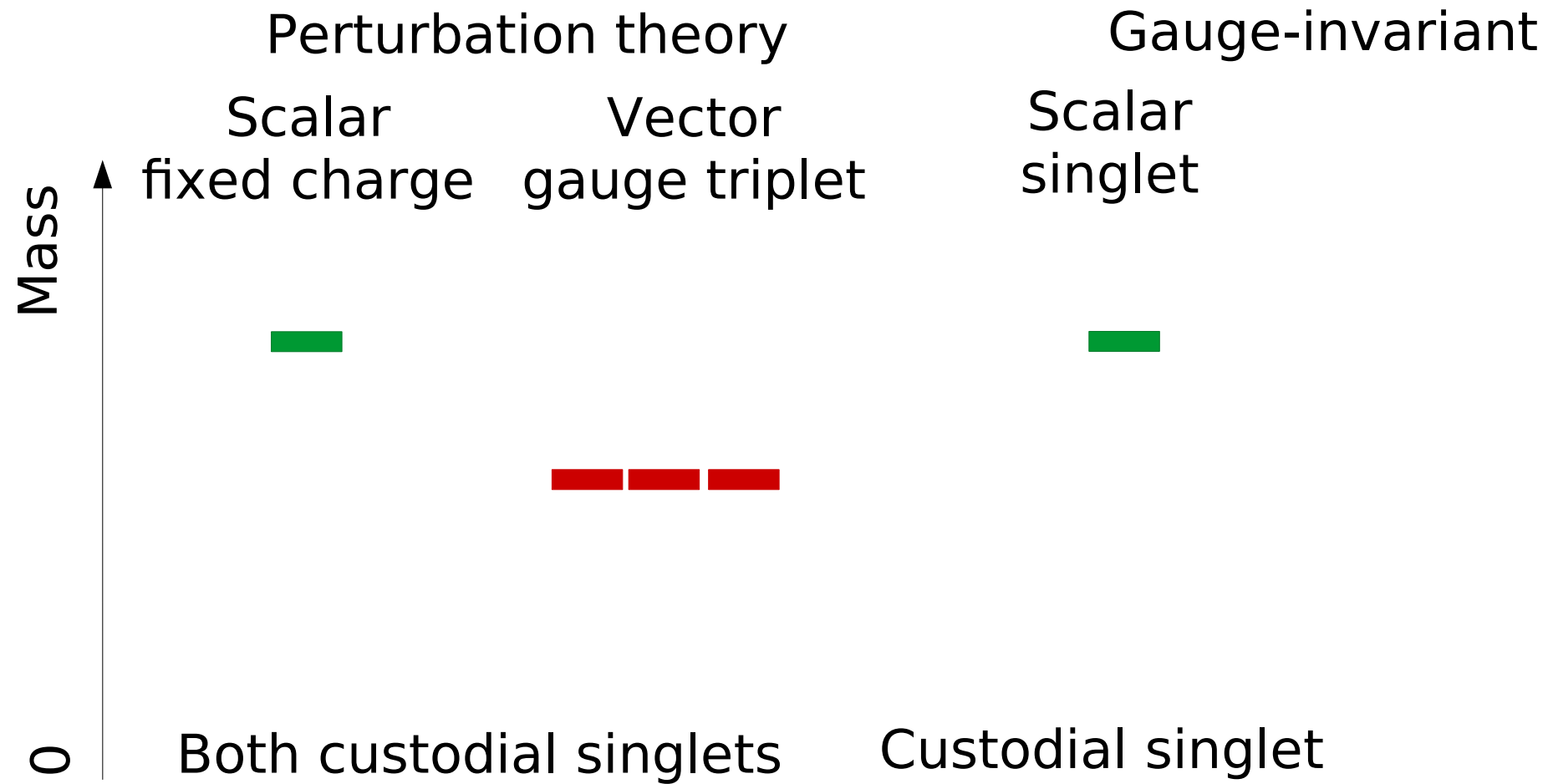


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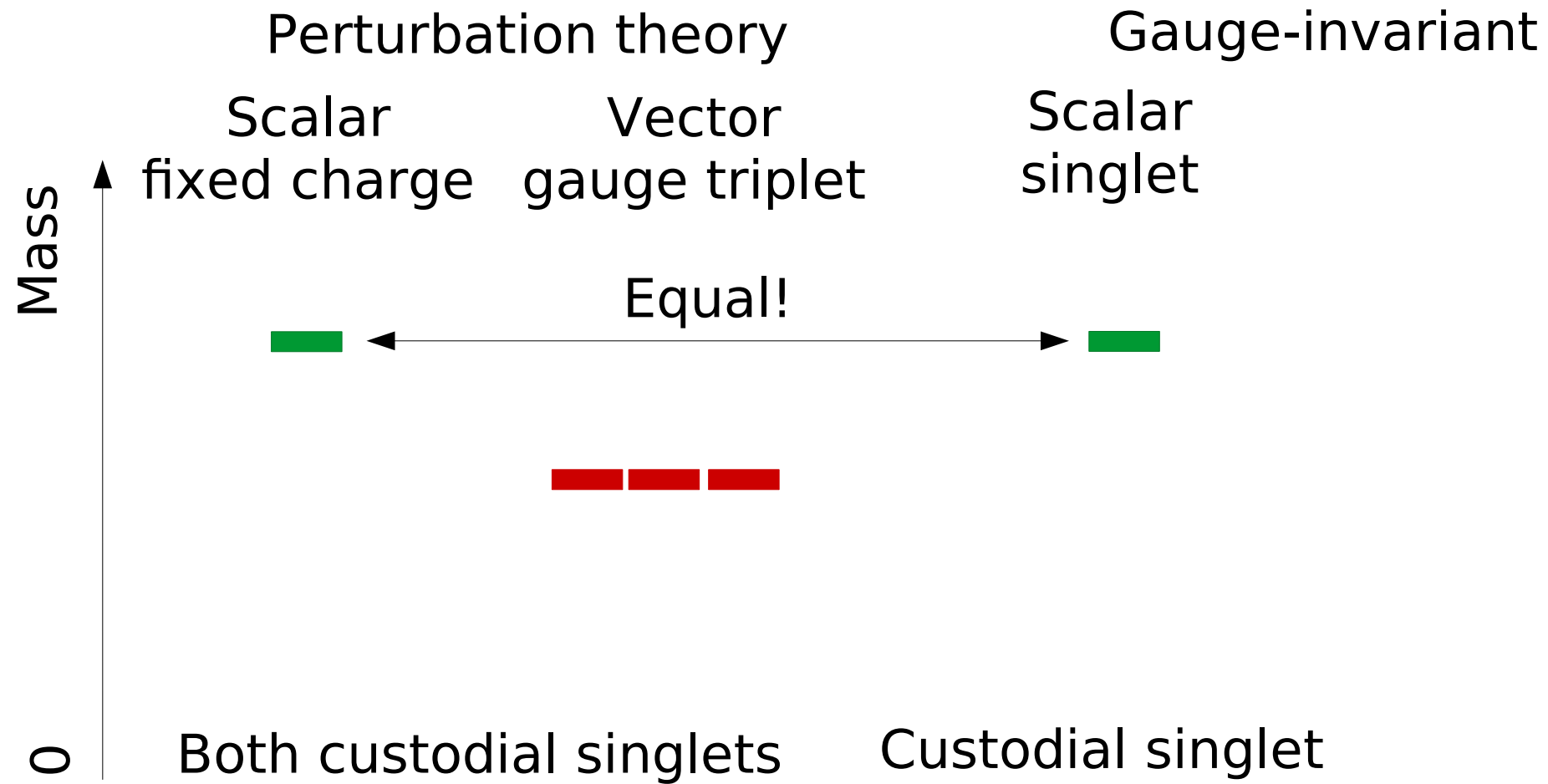
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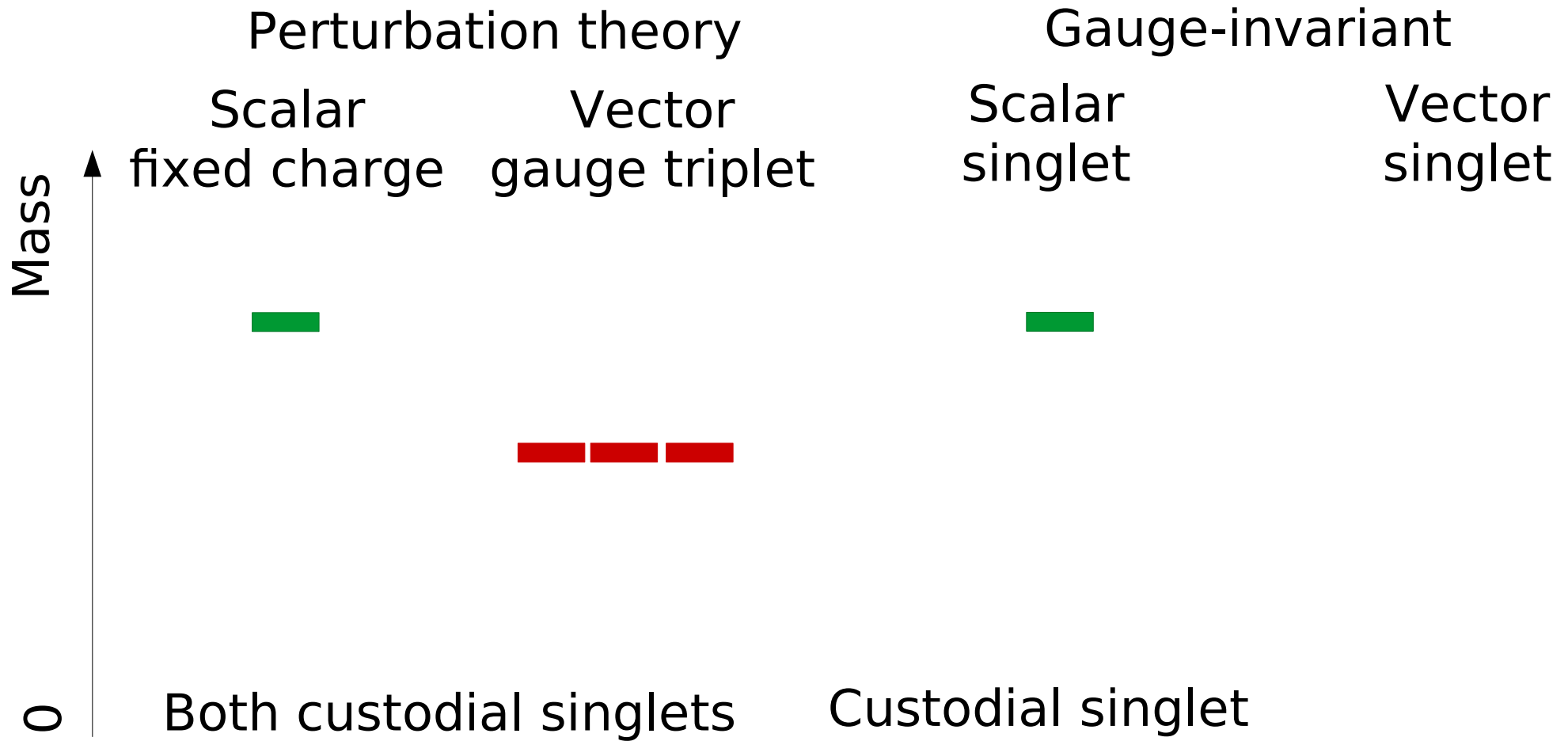
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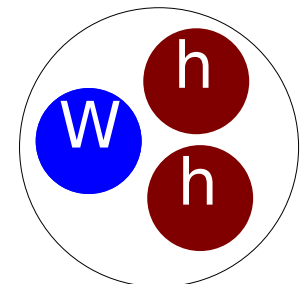


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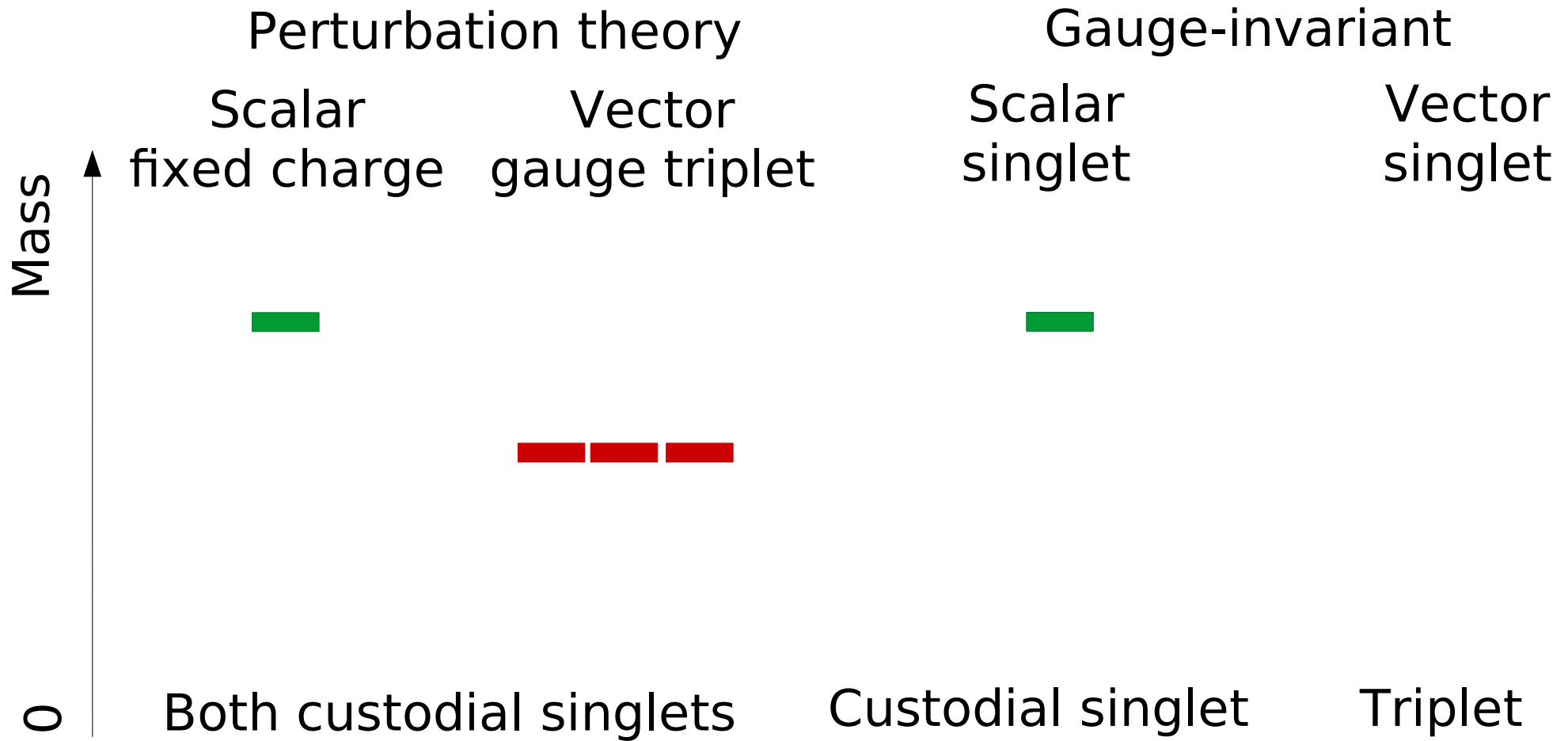


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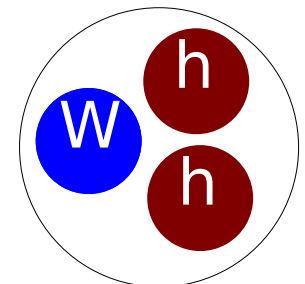


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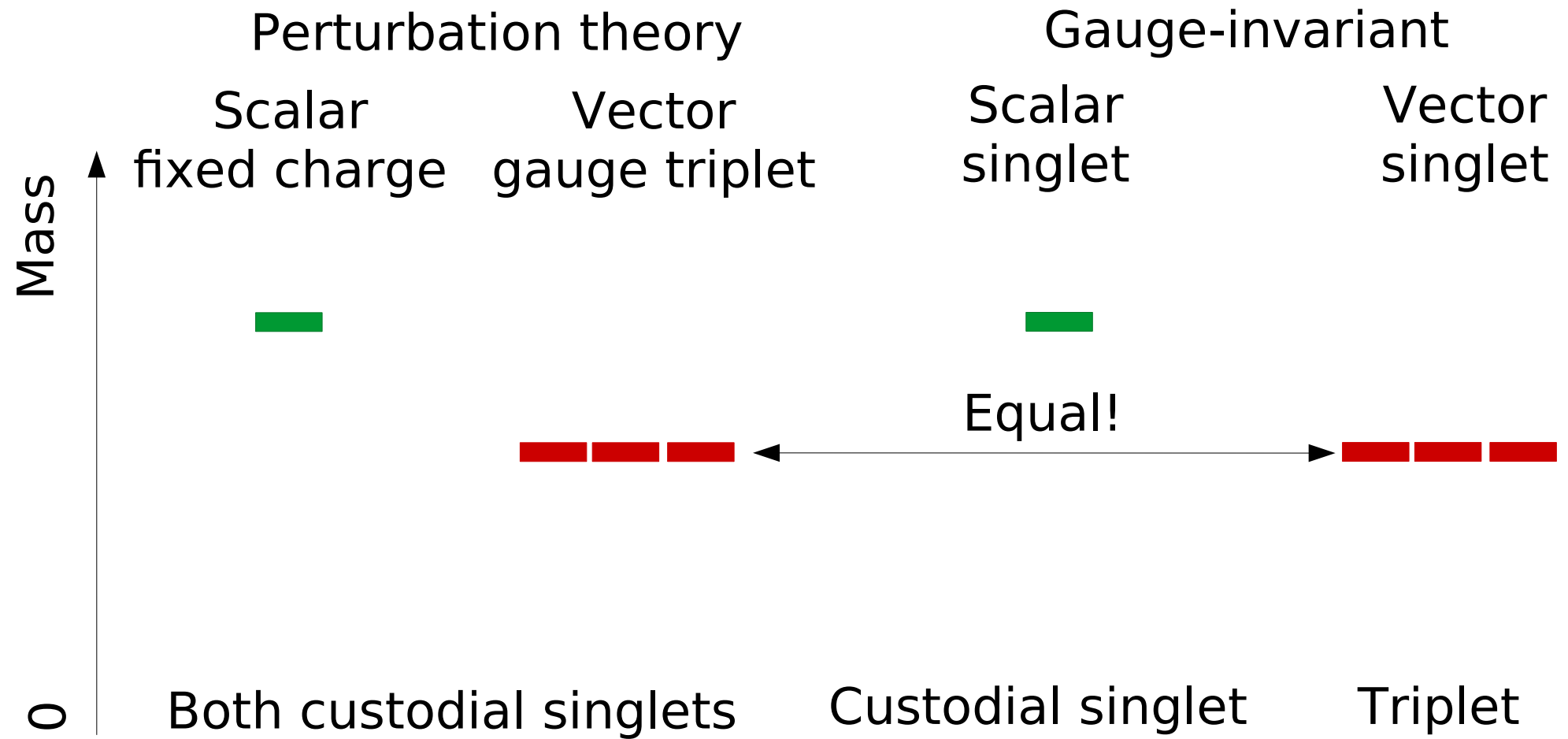


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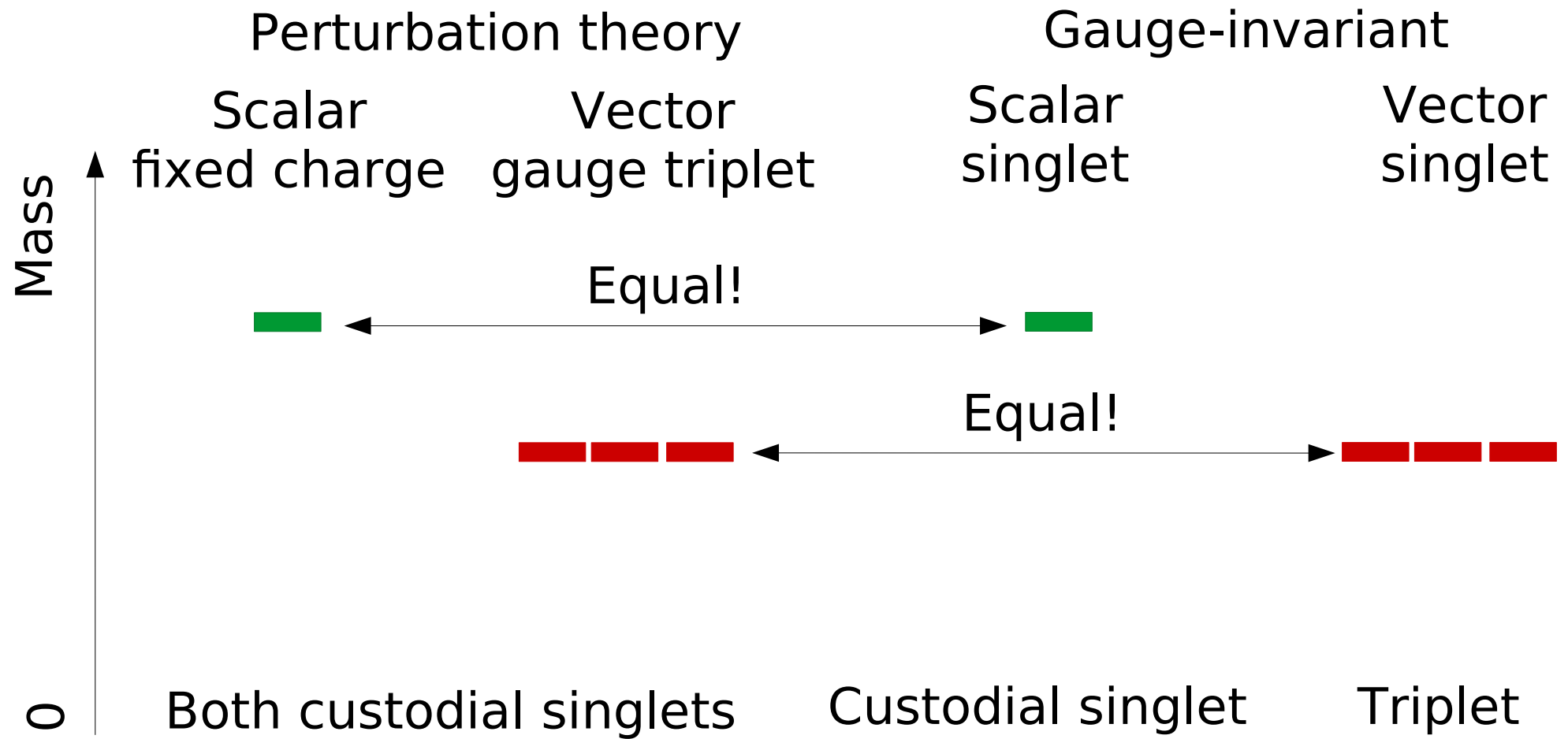
Physical spectrum

[Maas'12, Maas & Mufti'14]



Physical spectrum

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Why?

A microscopic origin

-

Fröhlich-Morchio-Strocchi
mechanism

How to make predictions

[Fröhlich et al.'80,'81,
Maas & Törek'16,'18,
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 - But coupling is still weak and there is a BEH
 - Perform double expansion [Fröhlich et al.'80, Maas'12]
 - Vacuum expectation value (FMS mechanism)
 - Standard expansion in couplings
 - Together: Augmented perturbation theory

Augmented perturbation theory

[Fröhlich et al.'80,'81
Maas'12,'17]

- 1) Formulate gauge-invariant operator

Augmented perturbation theory

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Higgs field

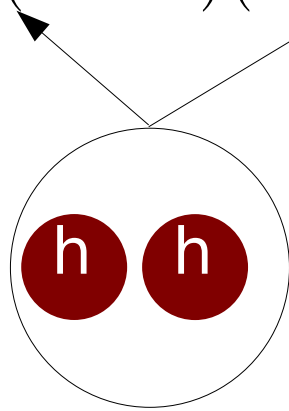


Augmented perturbation theory

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Augmented perturbation theory

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Maas'12,'17]

1) Formulate gauge-invariant operator

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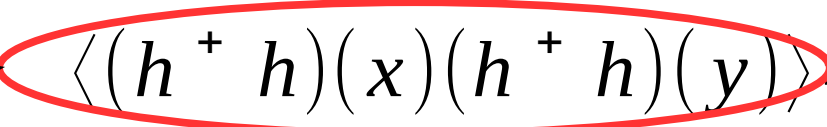
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Bound
state
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The diagram shows the expansion of the Higgs field $h=v+\eta$ and the corresponding expectation value of the gauge-invariant operator $\langle (h^\dagger h)(x)(h^\dagger h)(y) \rangle$. The first term, $v^2 \langle \eta^\dagger(x) \eta(y) \rangle$, is circled in red and labeled "Bound state mass". The second term, $+ \langle \eta^\dagger(x) \eta(y) \rangle \langle \eta^\dagger(x) \eta(y) \rangle + O(g, \lambda)$, is circled in purple and labeled "Trivial two-particle state".

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Standard
Perturbation
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What about
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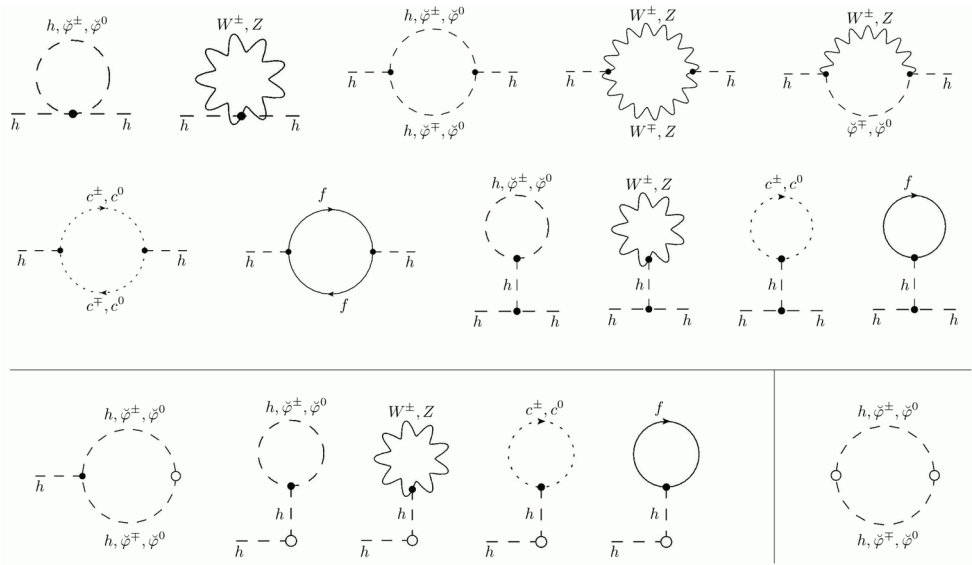
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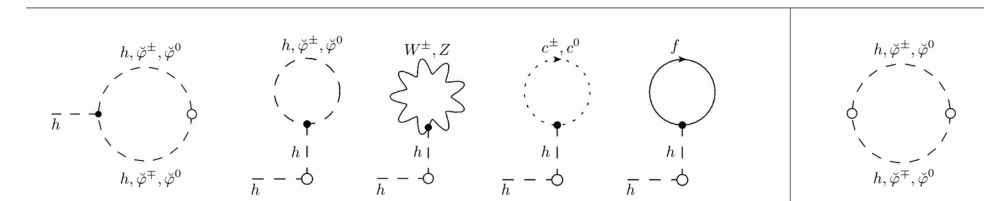
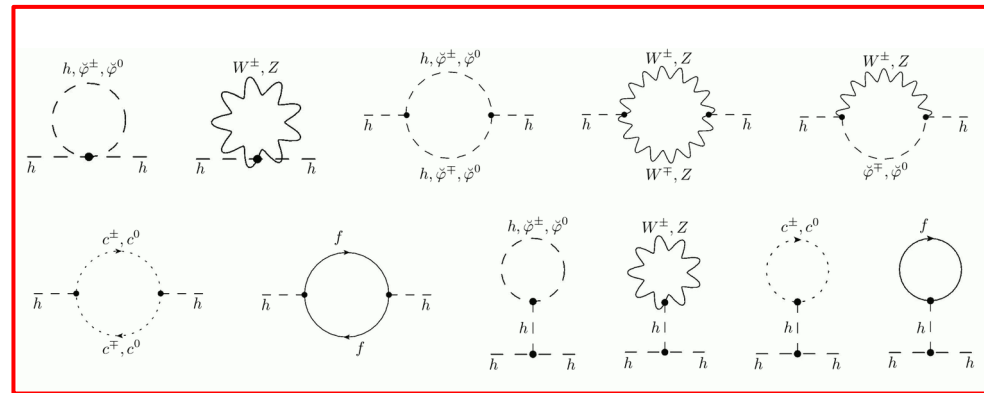
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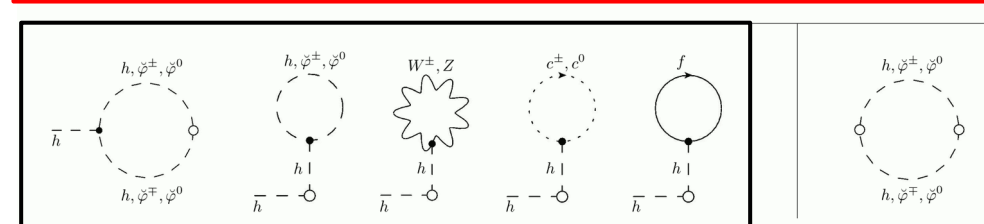
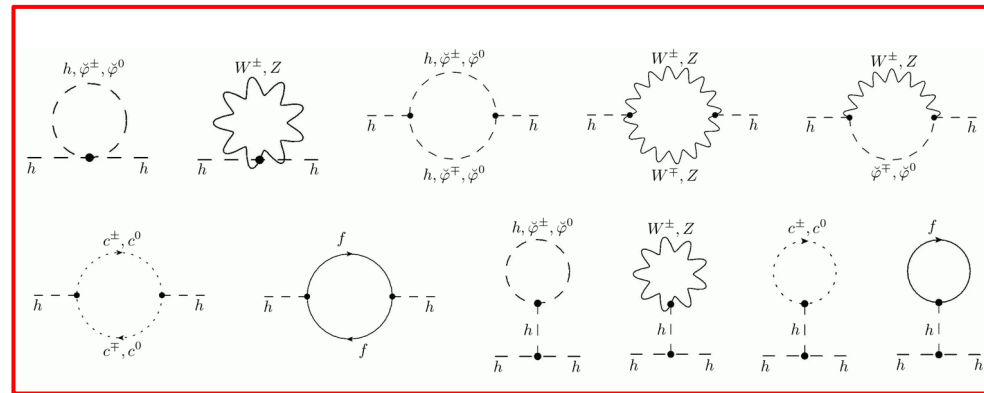
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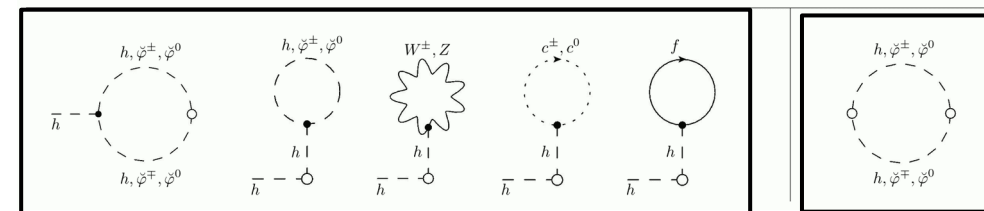
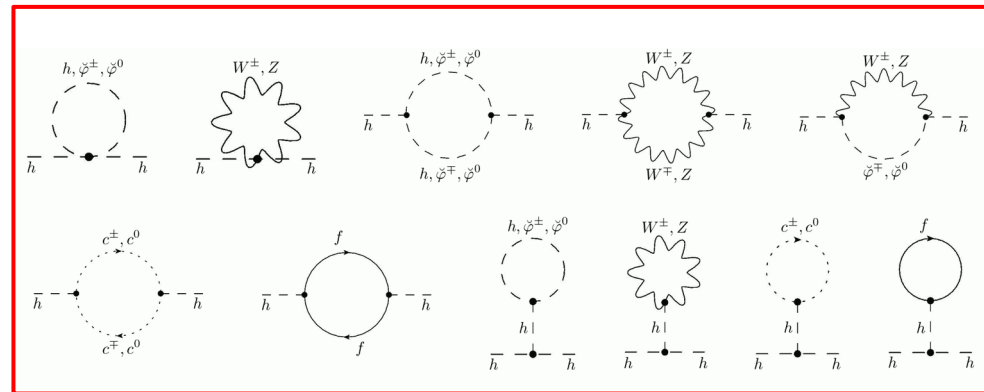
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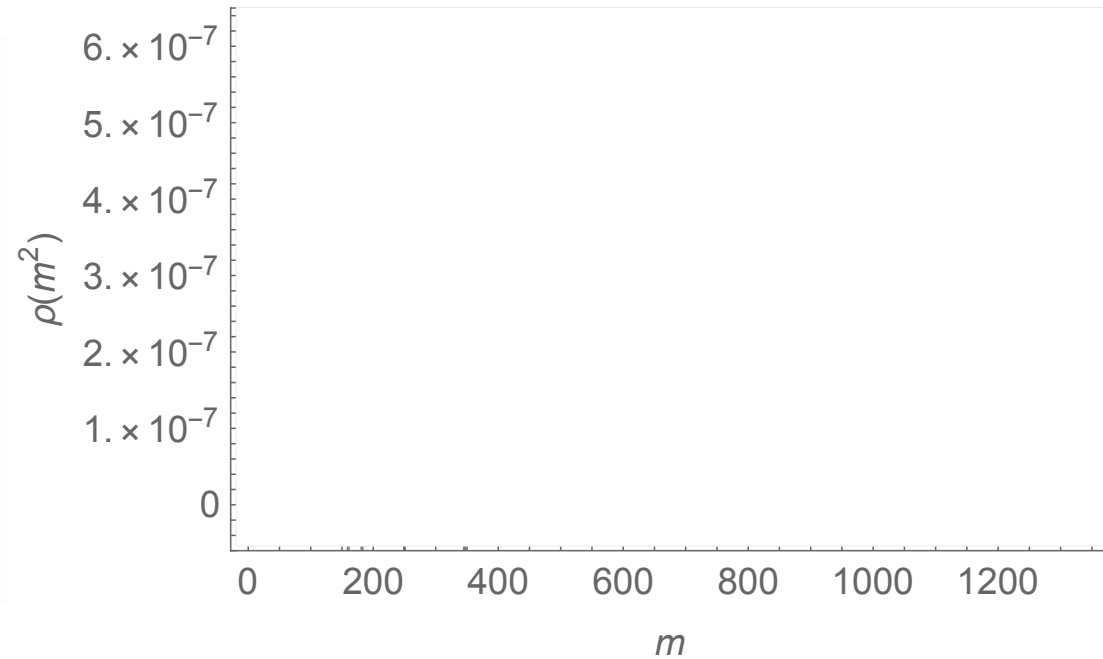
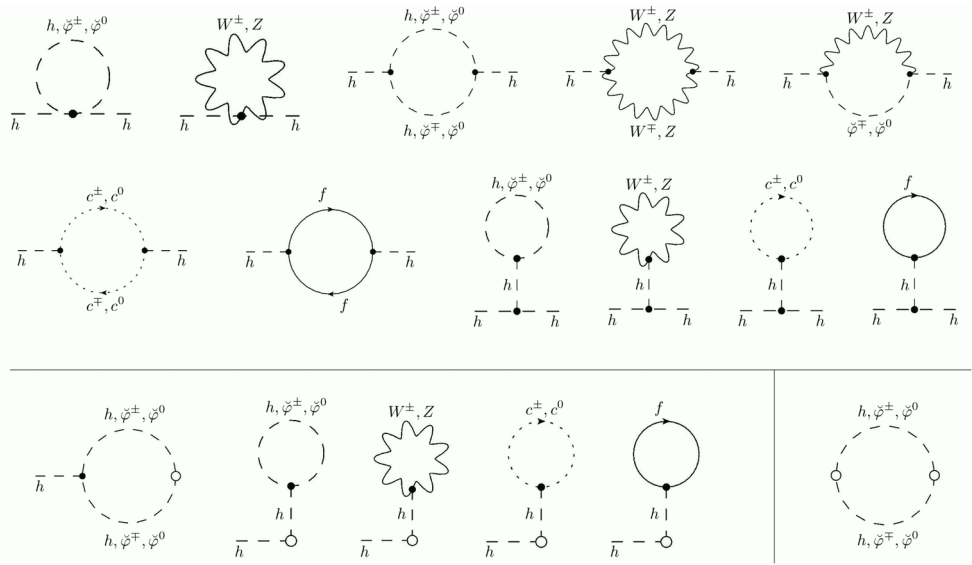


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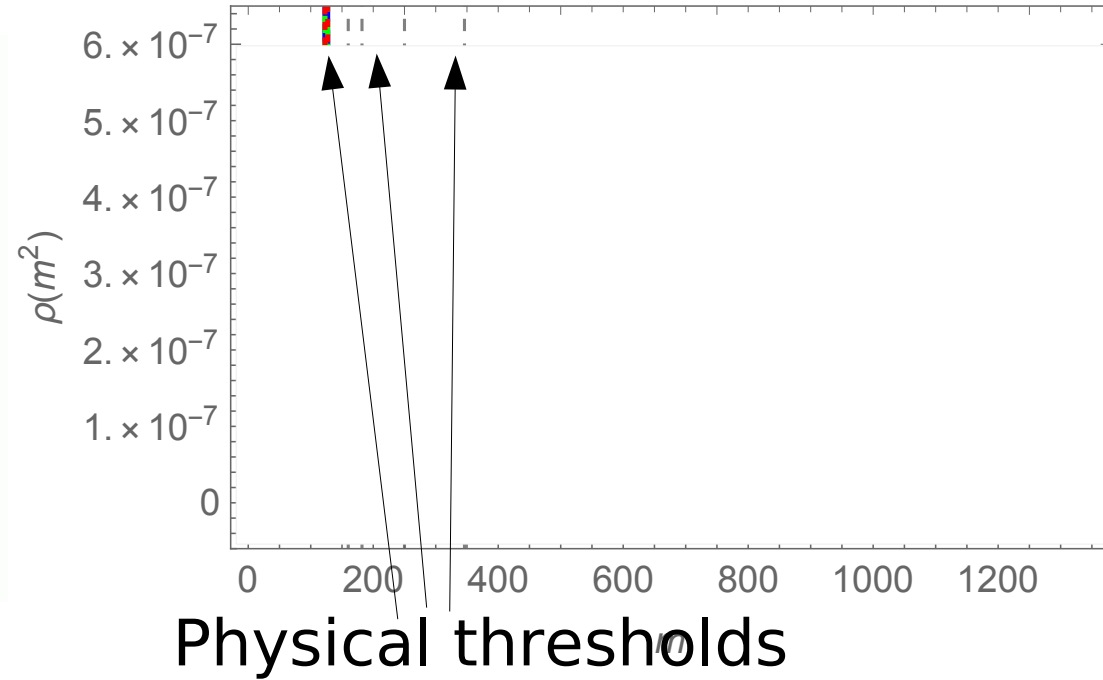
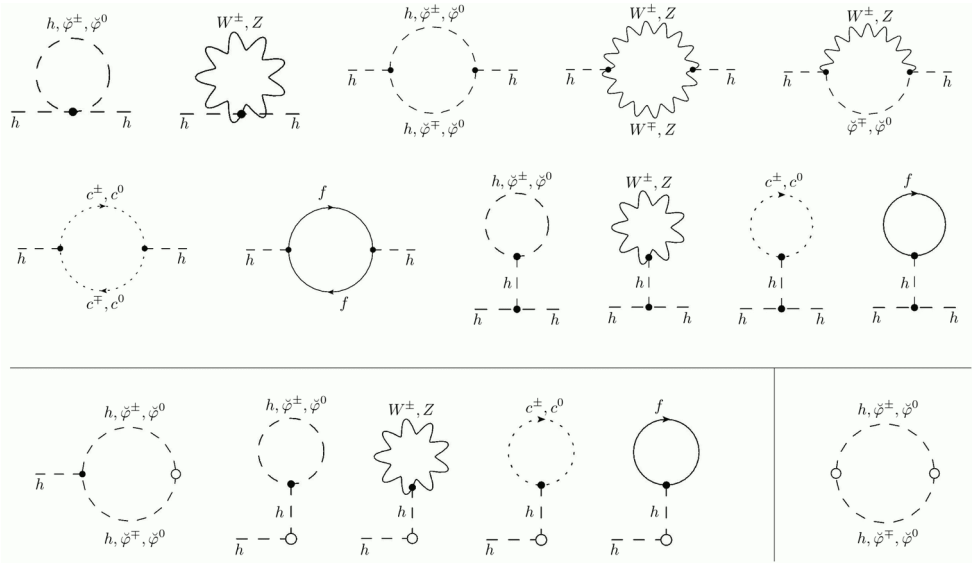
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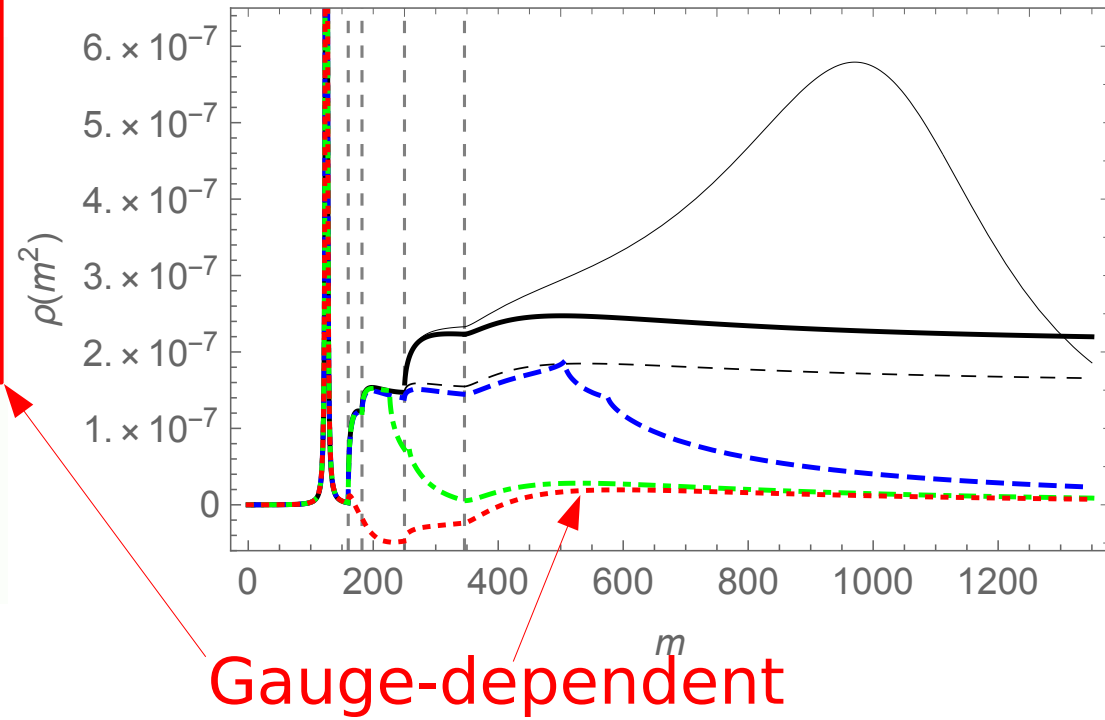
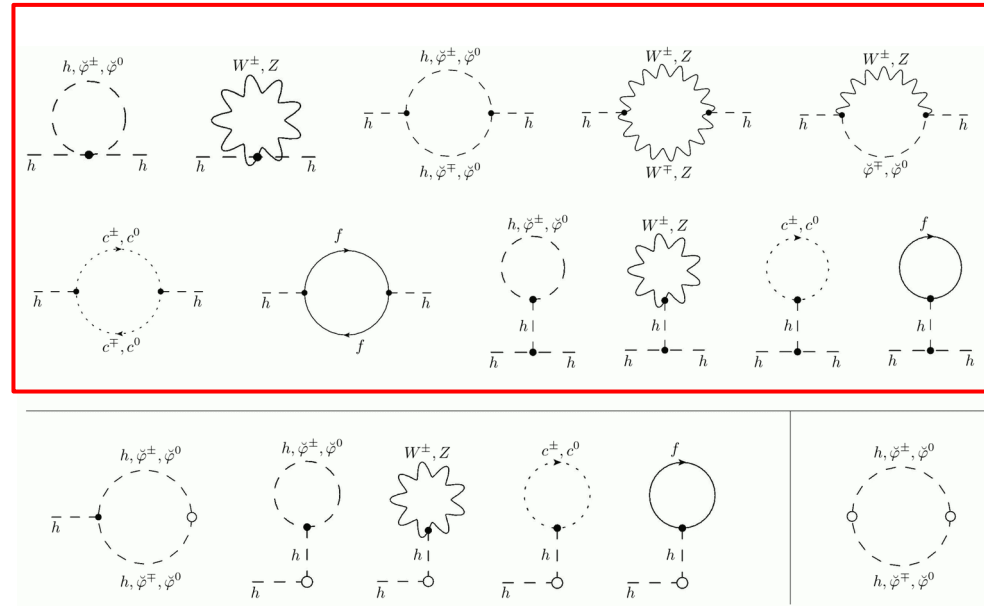
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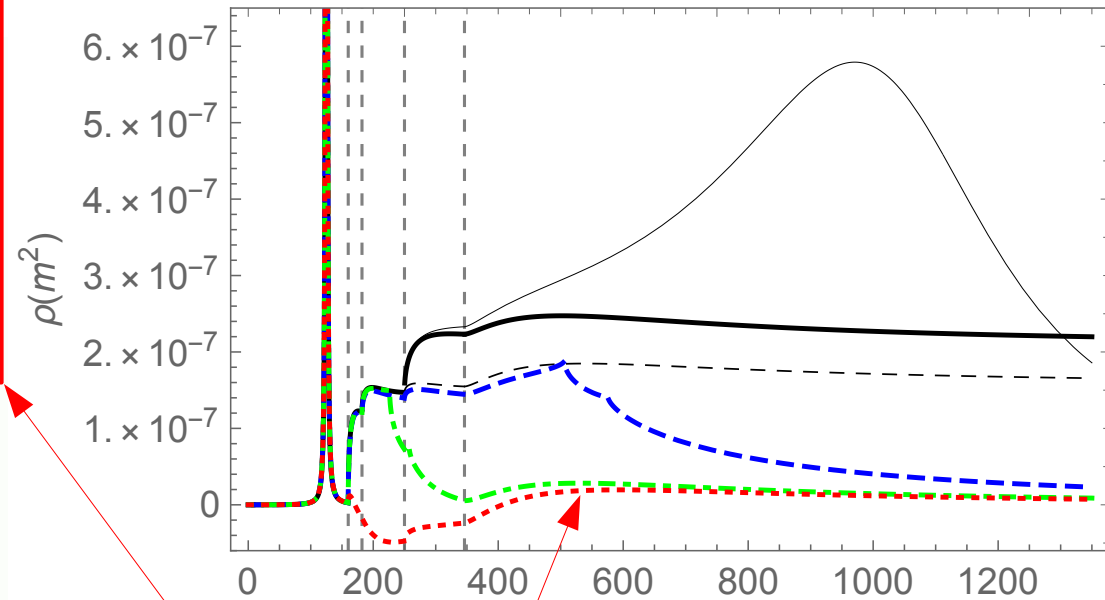
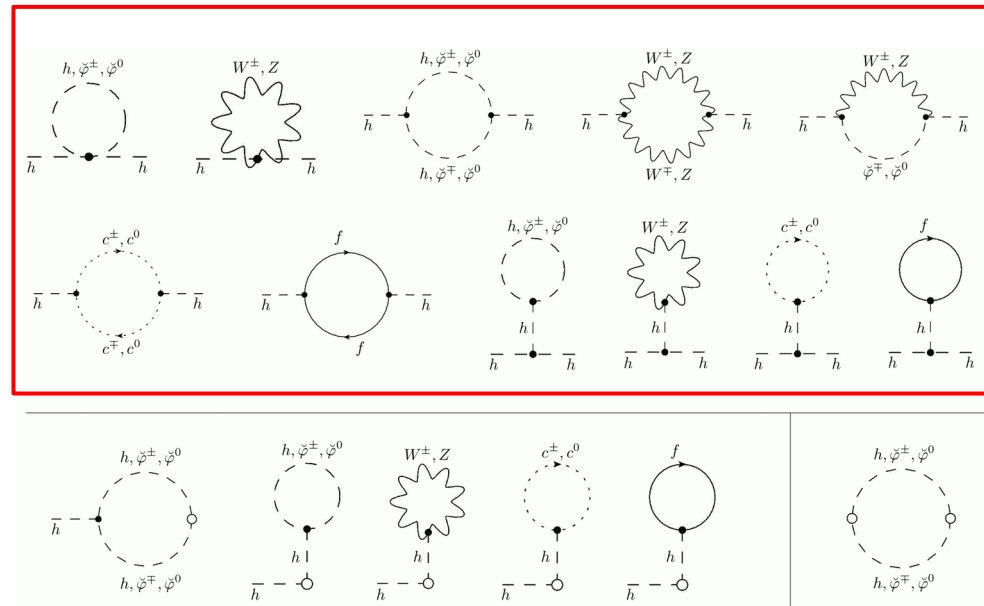
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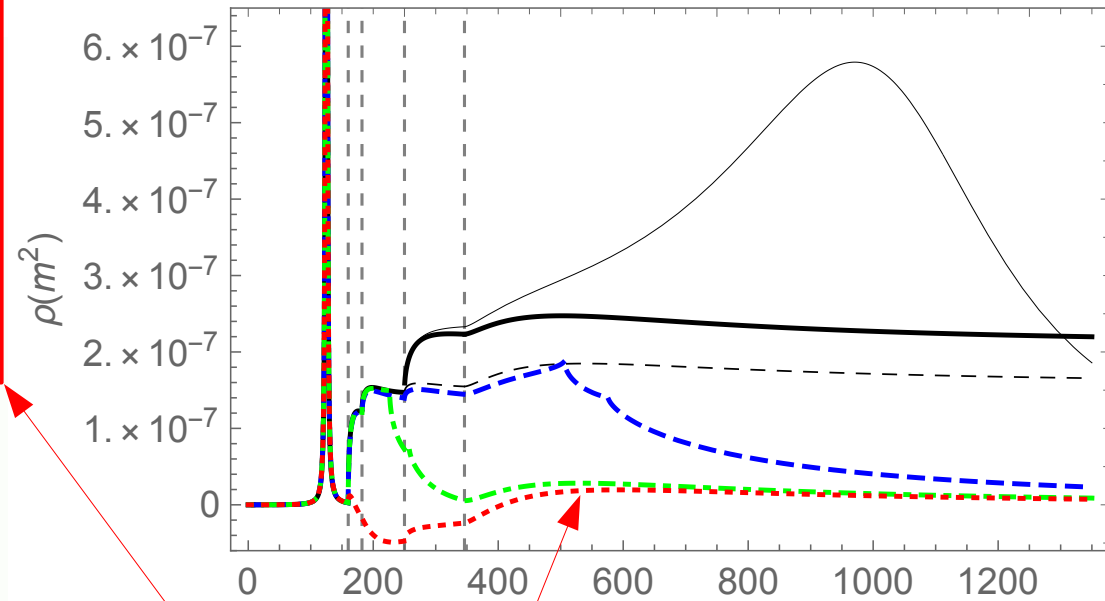
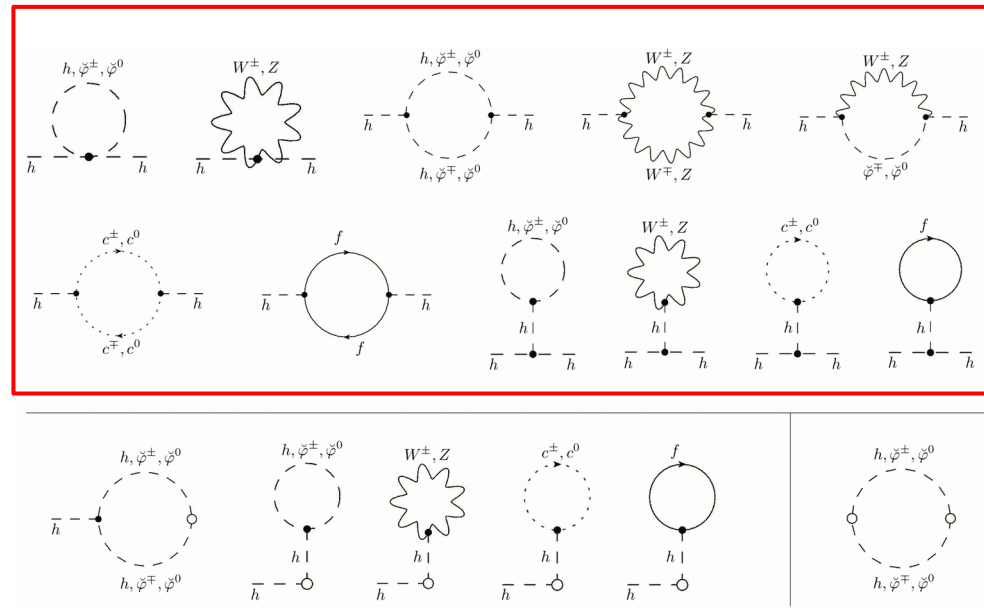
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Gauge-dependent
Unphysical features:
Positivity violation
Additional thresholds

Consequences: The Higgs

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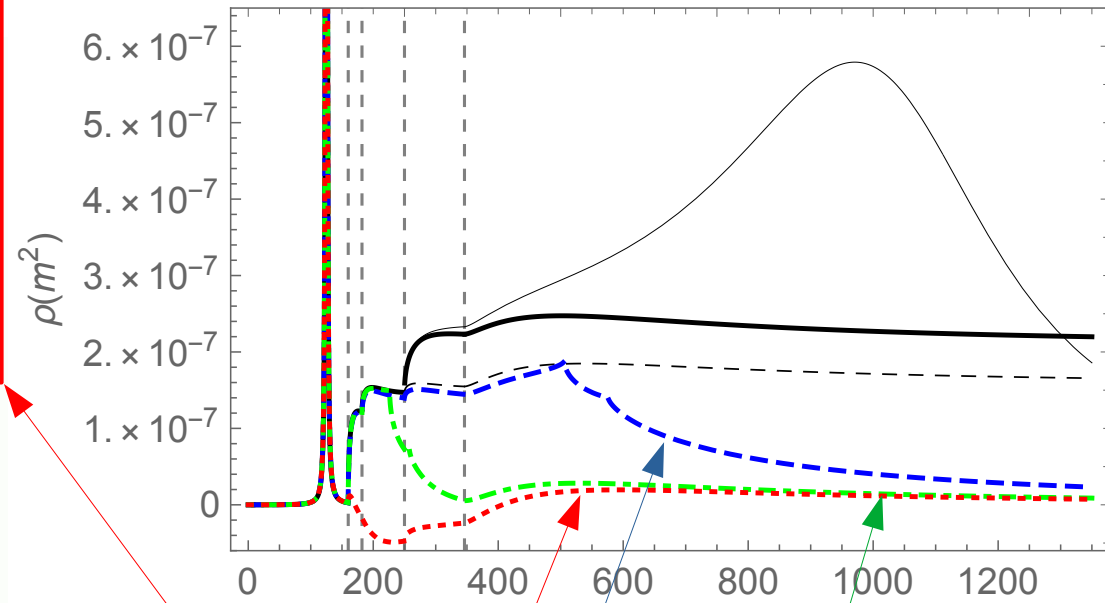
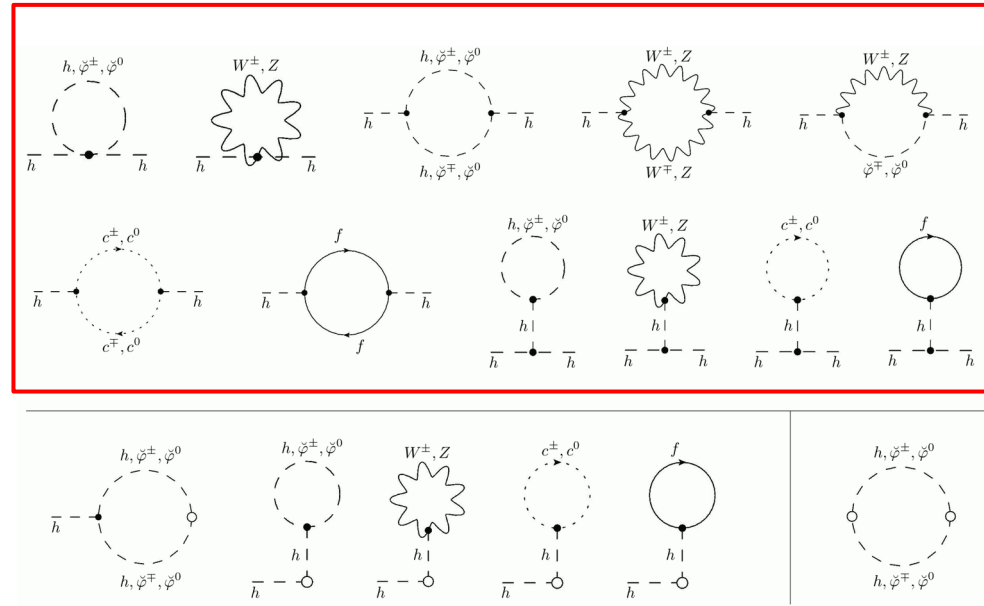


Gauge-dependent
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Not a consequence
of instability: Occurs even
for an asymptotically stable
Higgs in a toy theory

Consequences: The Higgs

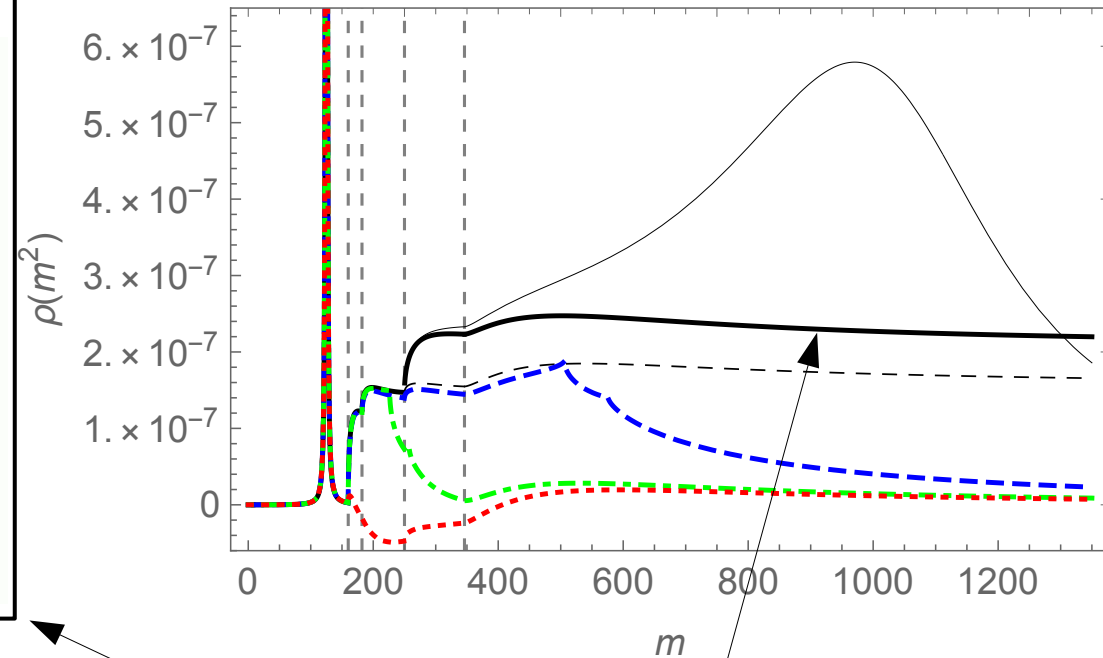
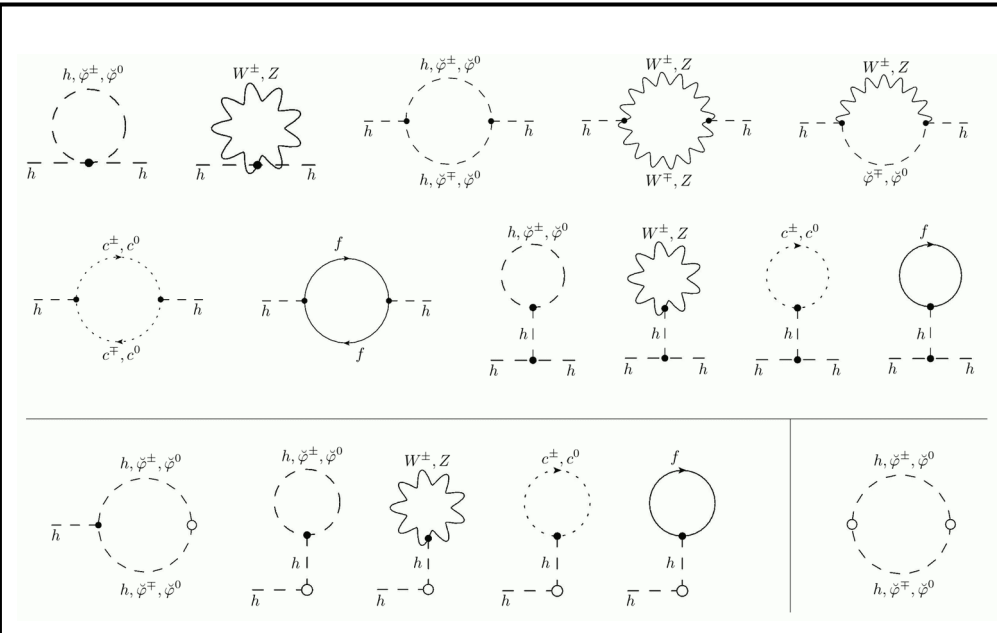
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Physical – same for all gauge choices

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What about the vector?

[Fröhlich et al.'80,'81
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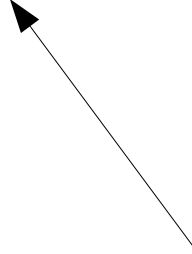
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Matrix from
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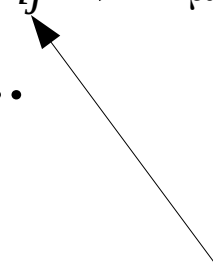
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Exactly one gauge boson
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Matrix from
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Phenomenological Implications

-

Can we measure this?

Bound states as extended objects

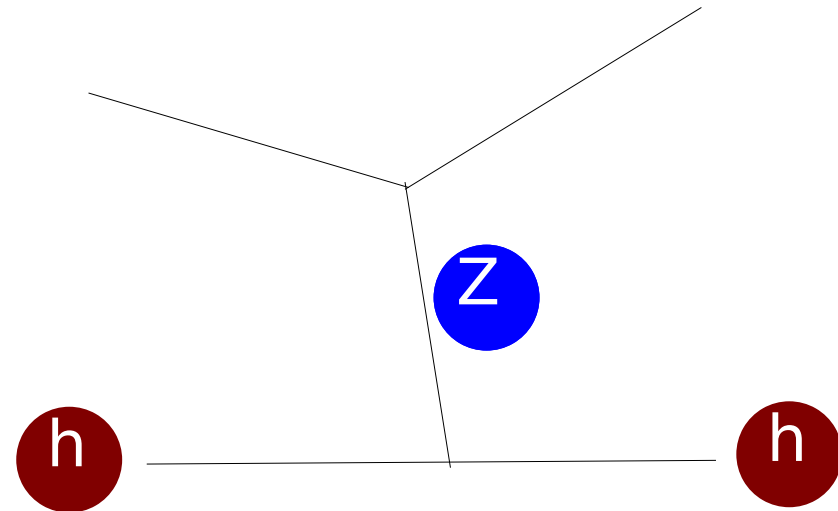
Bound states as extended objects

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 - Form factor
 - Difficult
 - Higgs and Z need to be both produced in the same process

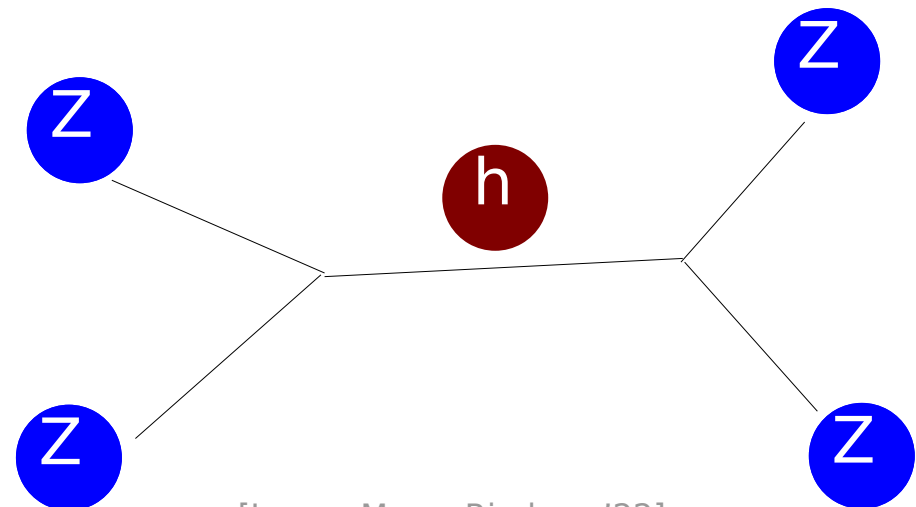
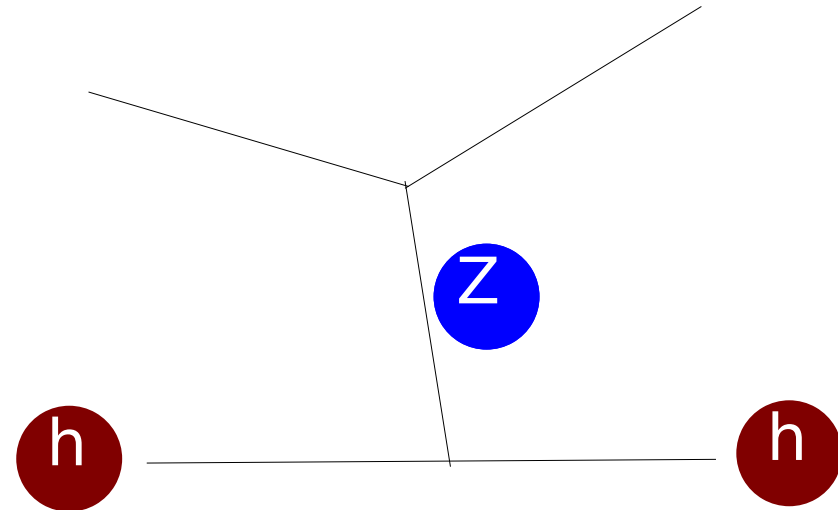
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Bound states as extended objects

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 - Elastic scattering
 - Standard vector boson scattering process at low energies
 - Use this one

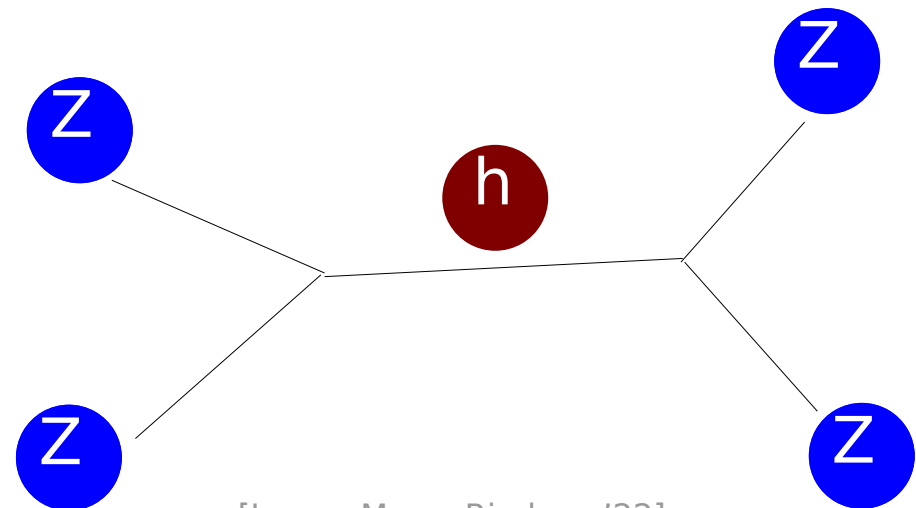
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Radius from elastic scattering in VBS

- Elastic region: $160/180 \text{ GeV} \leq \sqrt{s} \leq 250 \text{ GeV}$
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Matrix element

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Partial wave amplitude $\rightarrow f_J(s)$

Legendre polynomial $\rightarrow P_J(\cos\theta)$

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Partial wave amplitude $\longrightarrow f_J(s) = e^{i\delta_J(s)} \sin(\delta_J(s))$

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Partial wave
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Phase shift

Radius from elastic scattering in VBS

- Elastic region: $160/180 \text{ GeV} \leq \sqrt{s} \leq 250 \text{ GeV}$
 - s is the CMS energy in the initial/final ZZ/WW system
- Requires a partial wave analysis

$$\frac{d\sigma}{d\Omega} = \frac{1}{64\pi^2 s} |\mathbf{M}|^2$$

$$\mathbf{M}(s, \Omega) = 16\pi \sum_J (2J+1) f_J(s) P_J(\cos \theta)$$

$$f_J(s) = e^{i\delta_J(s)} \sin(\delta_J(s))$$

$$a_0 \xrightarrow{s \rightarrow 4m_W^2} = \tan(\delta_J) / \sqrt{s - 4m_W^2}$$

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Scattering length ~ "size" Phase shift

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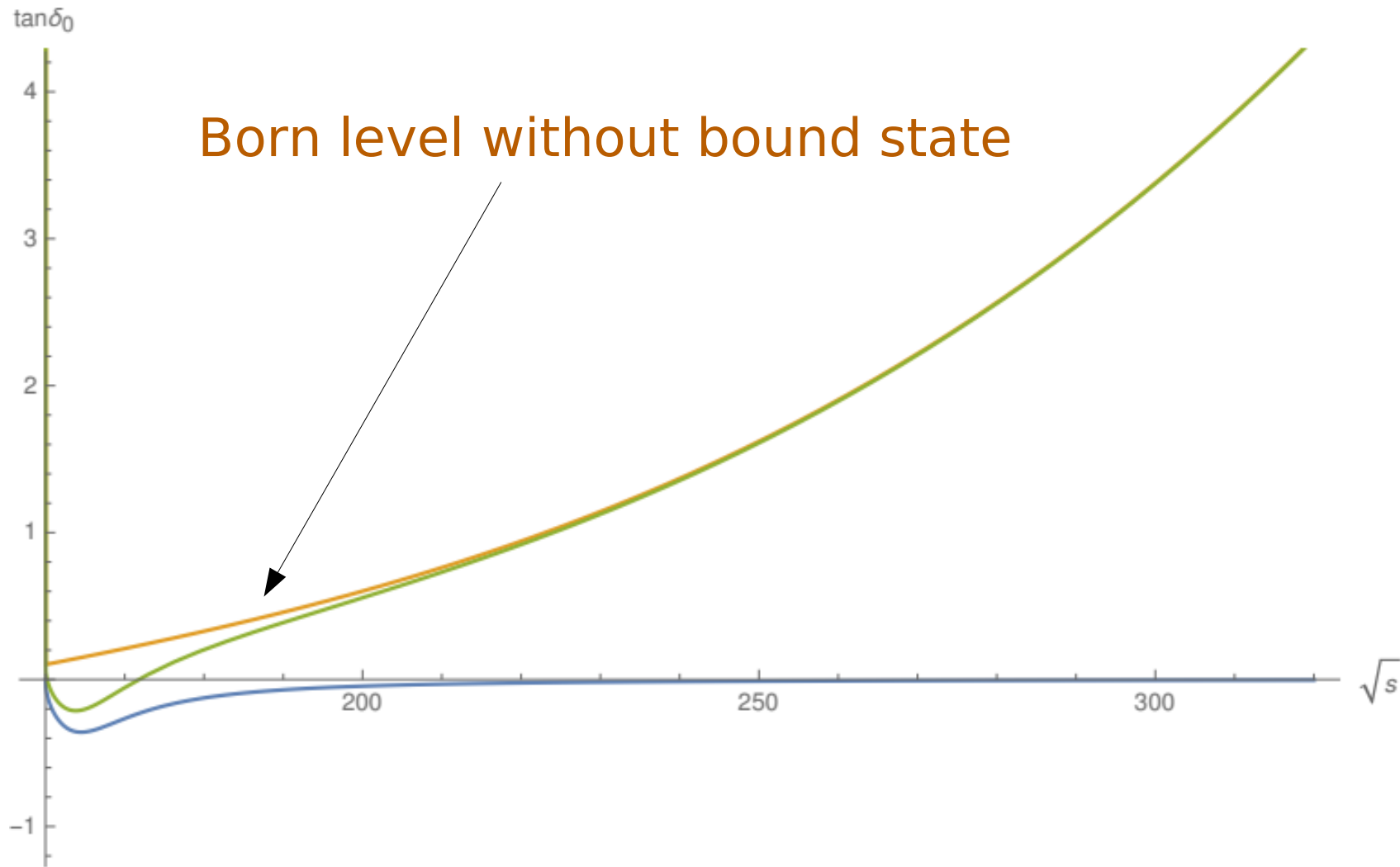
Scattering length ~ "size" $\rightarrow$ Lattice Lüscher analysis

Phase shift

Impact of a finite size of the Higgs

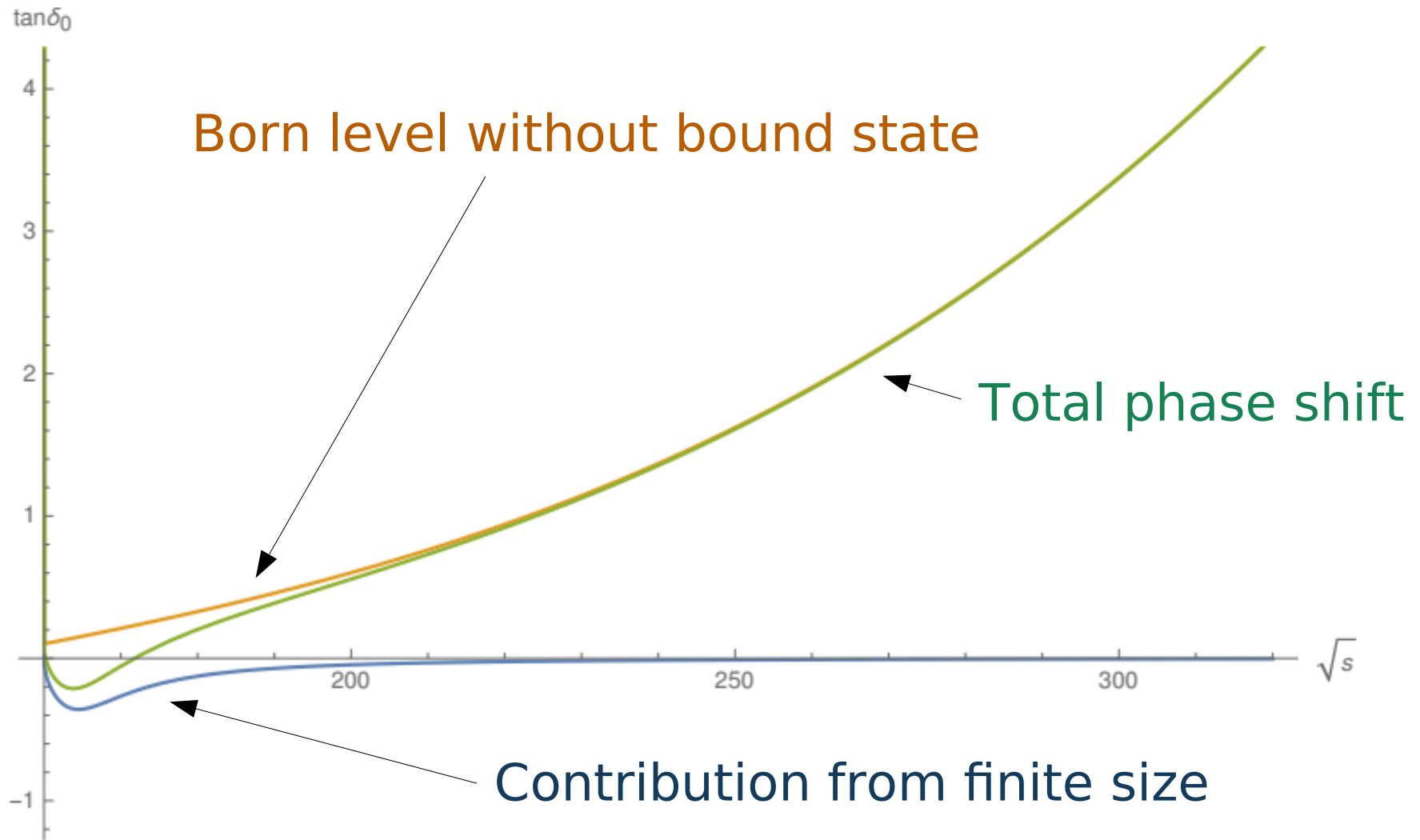
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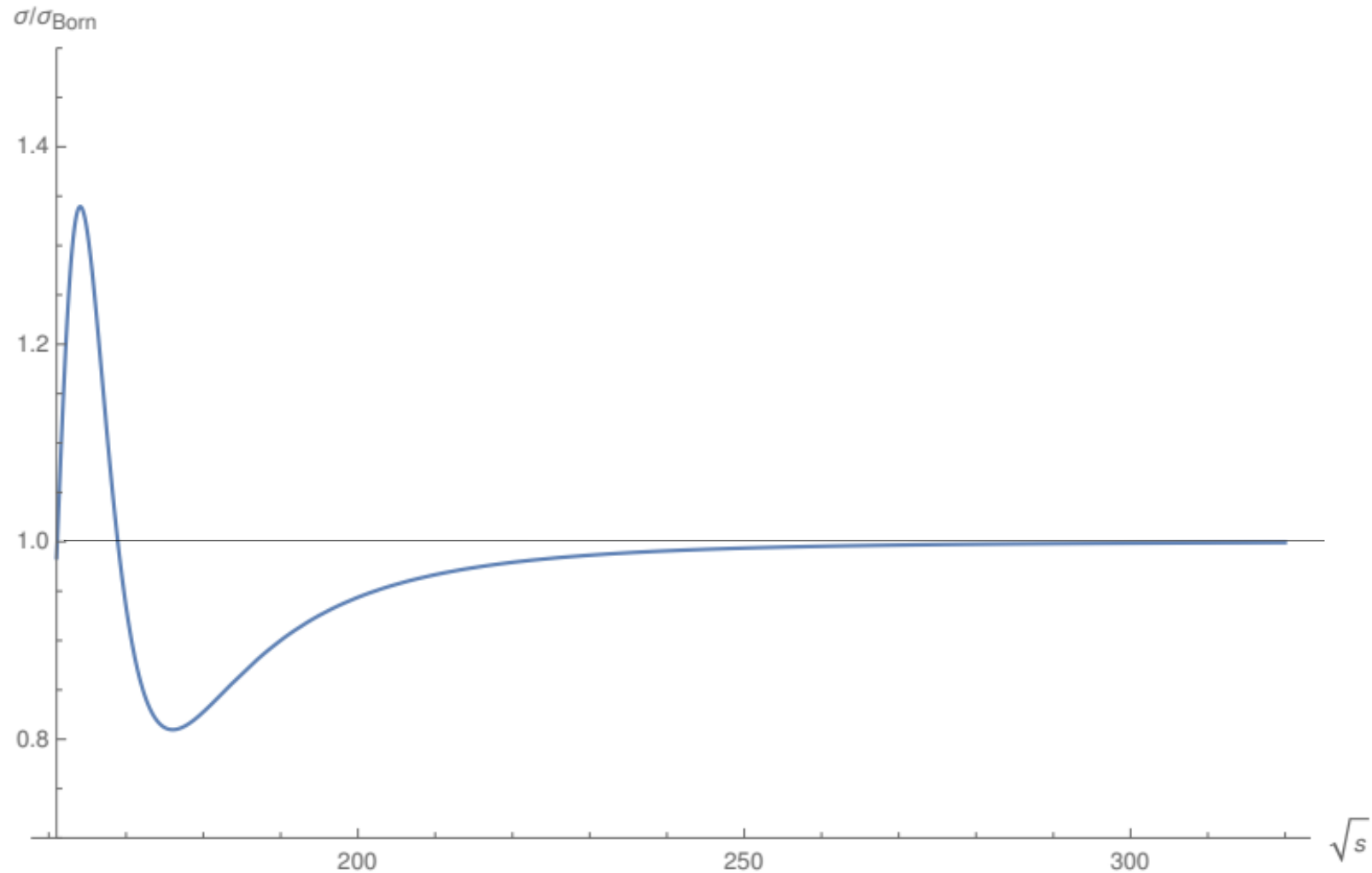
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 - Higgs 145 GeV and weak coupling larger

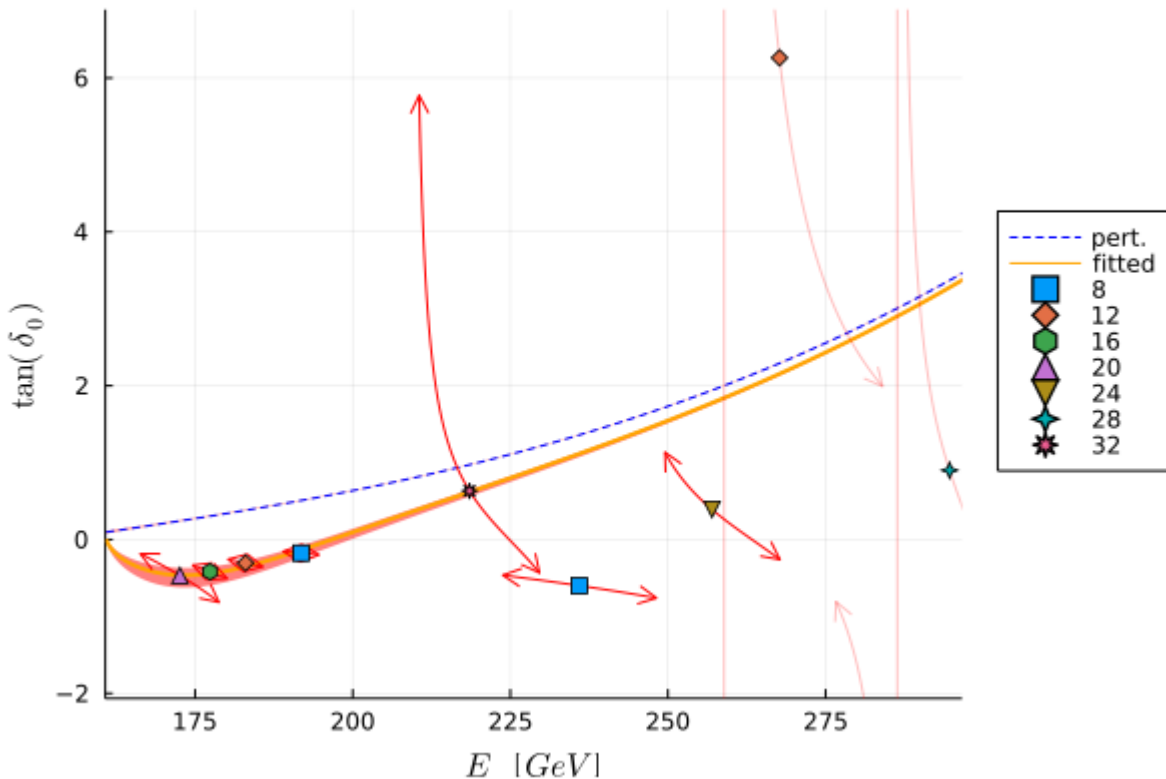
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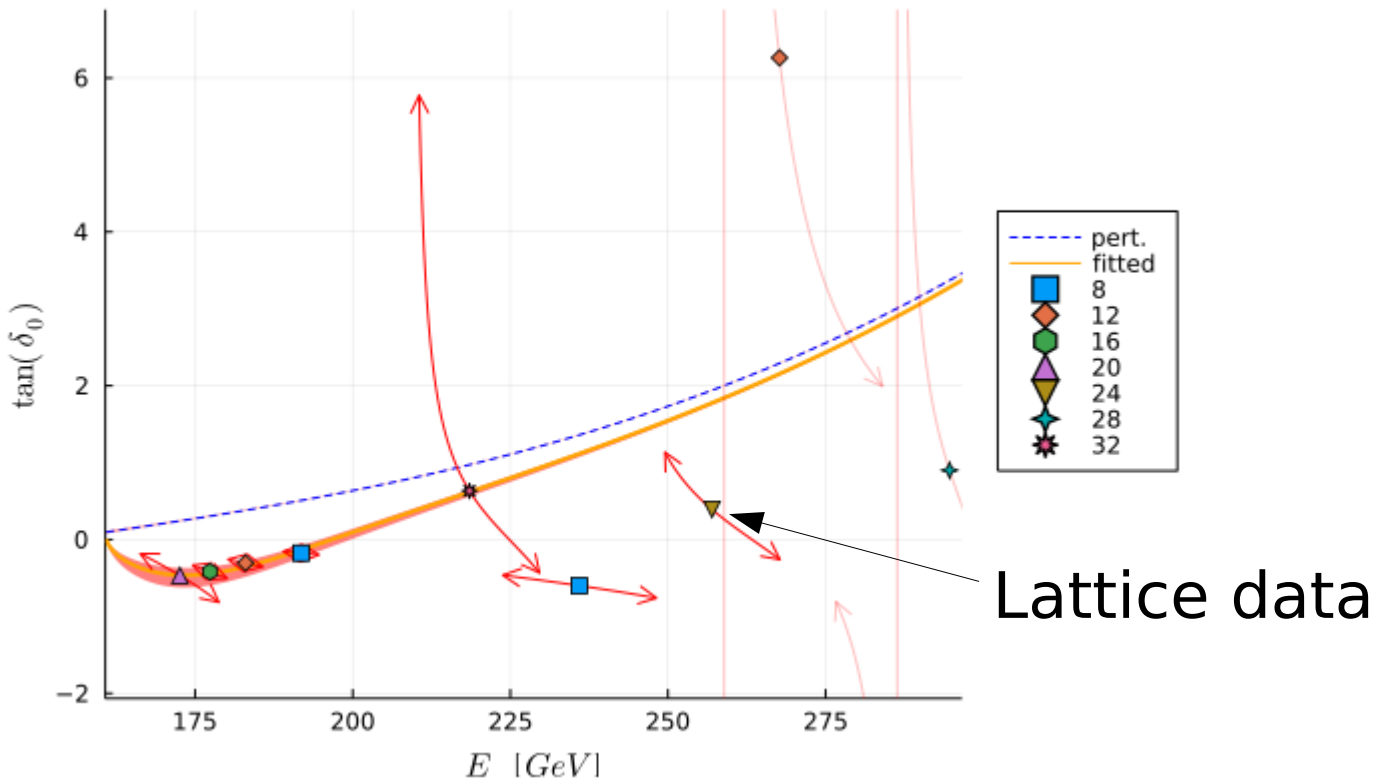
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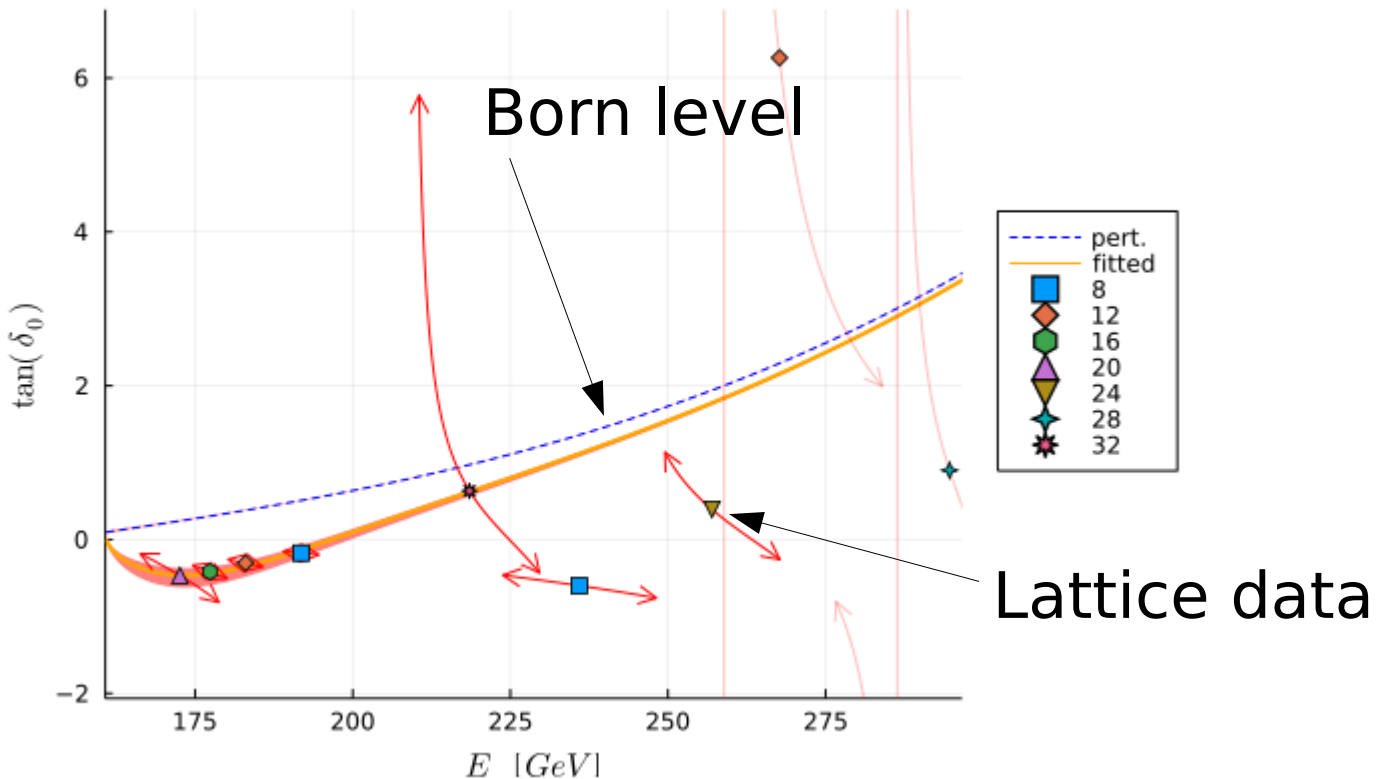
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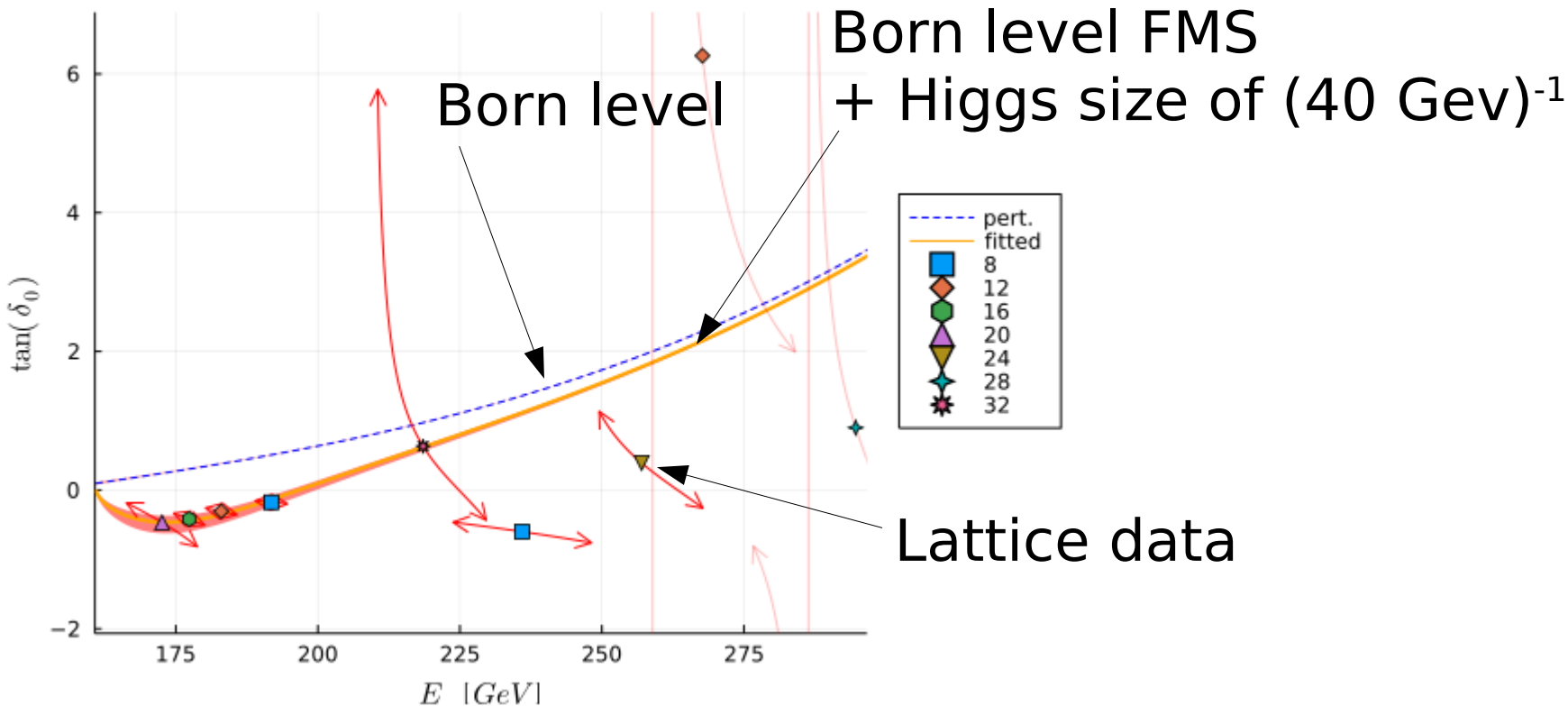
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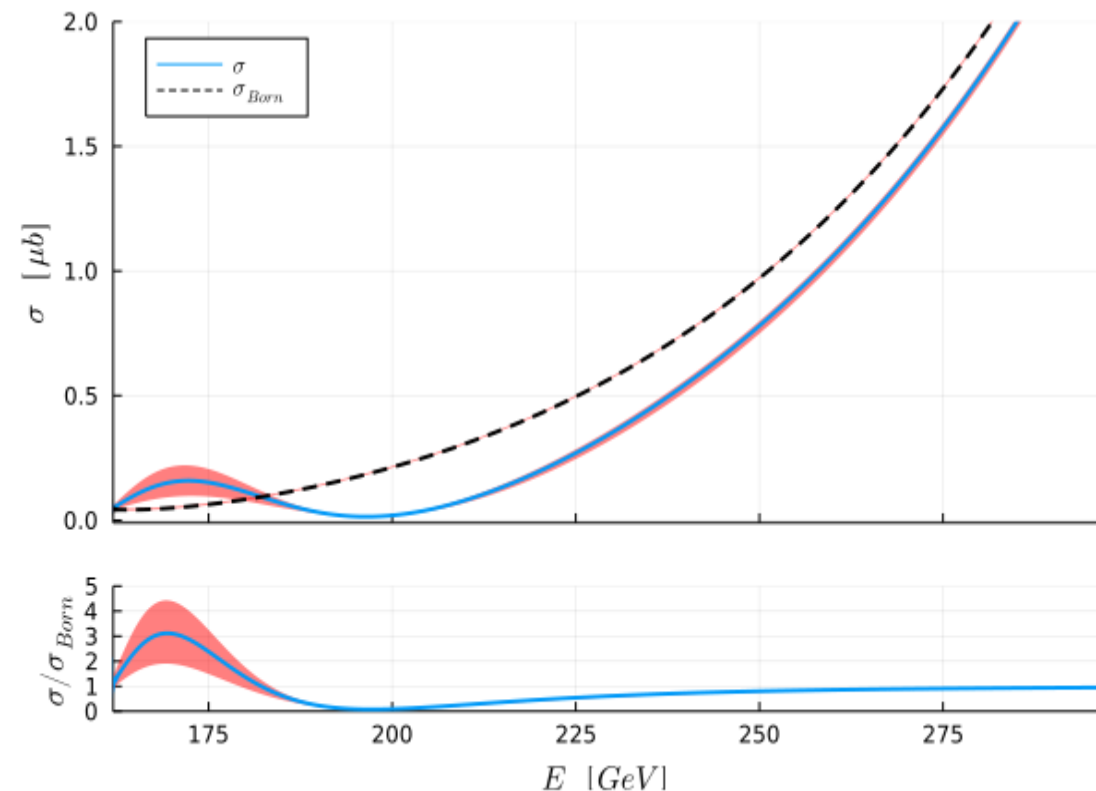
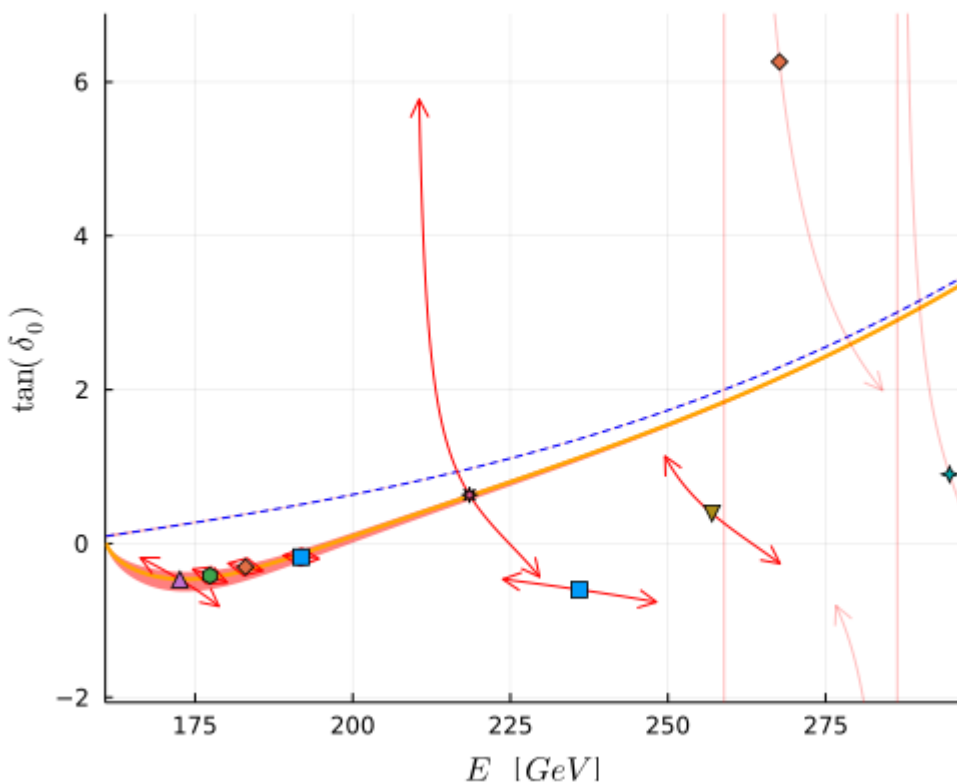
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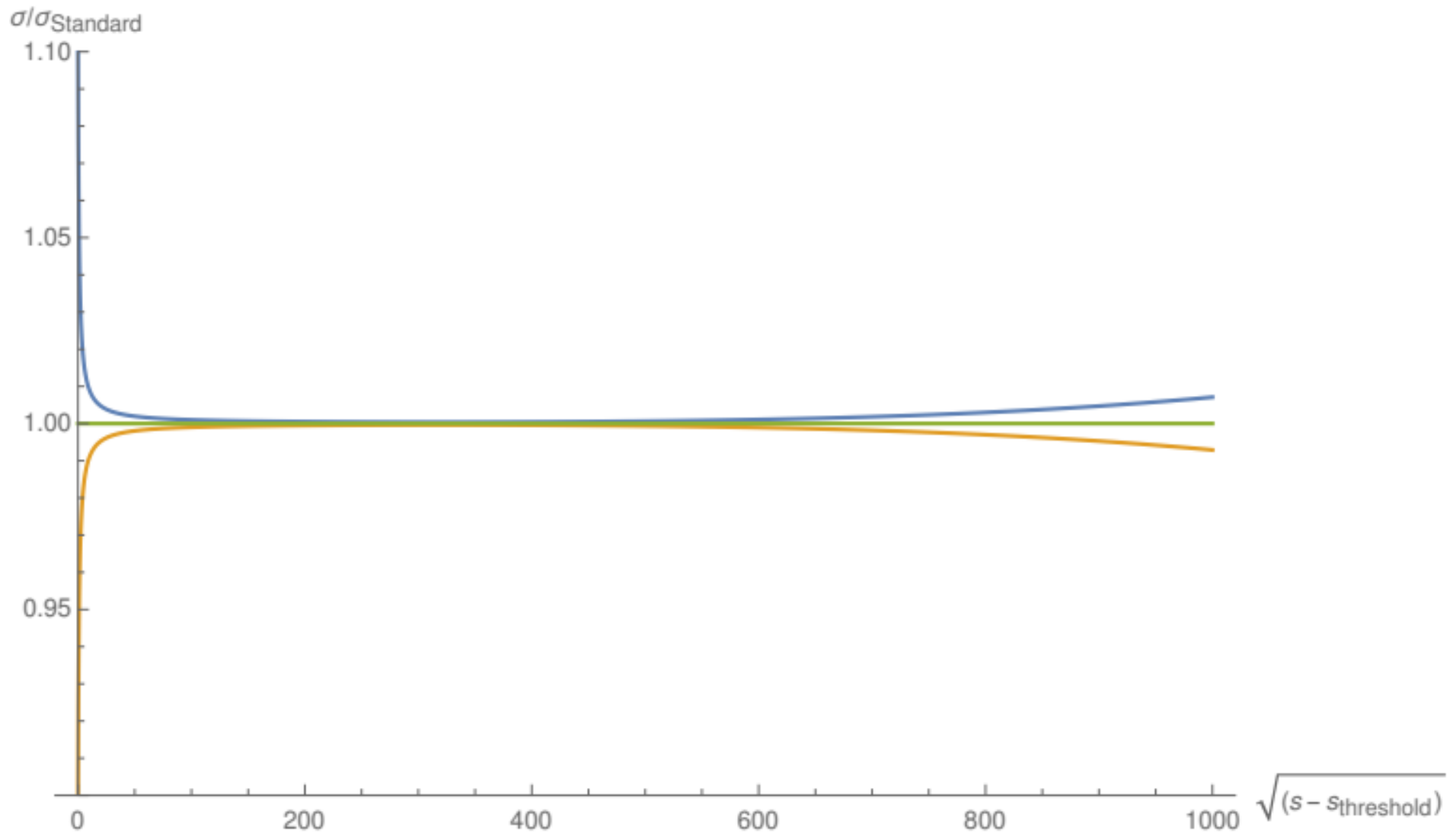


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Generic behavior

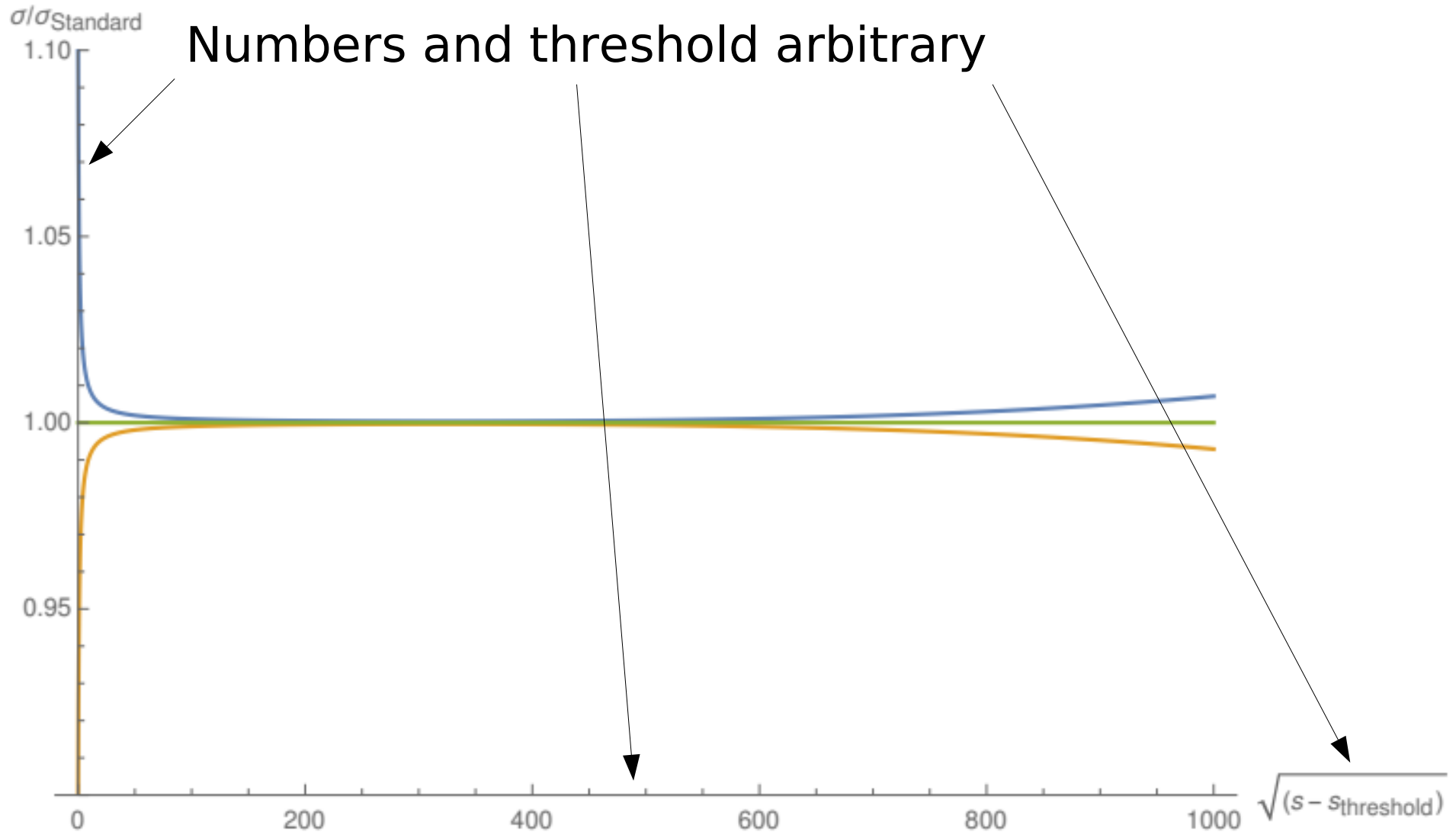
Has been done for several observables

Generic behavior: DIS-like



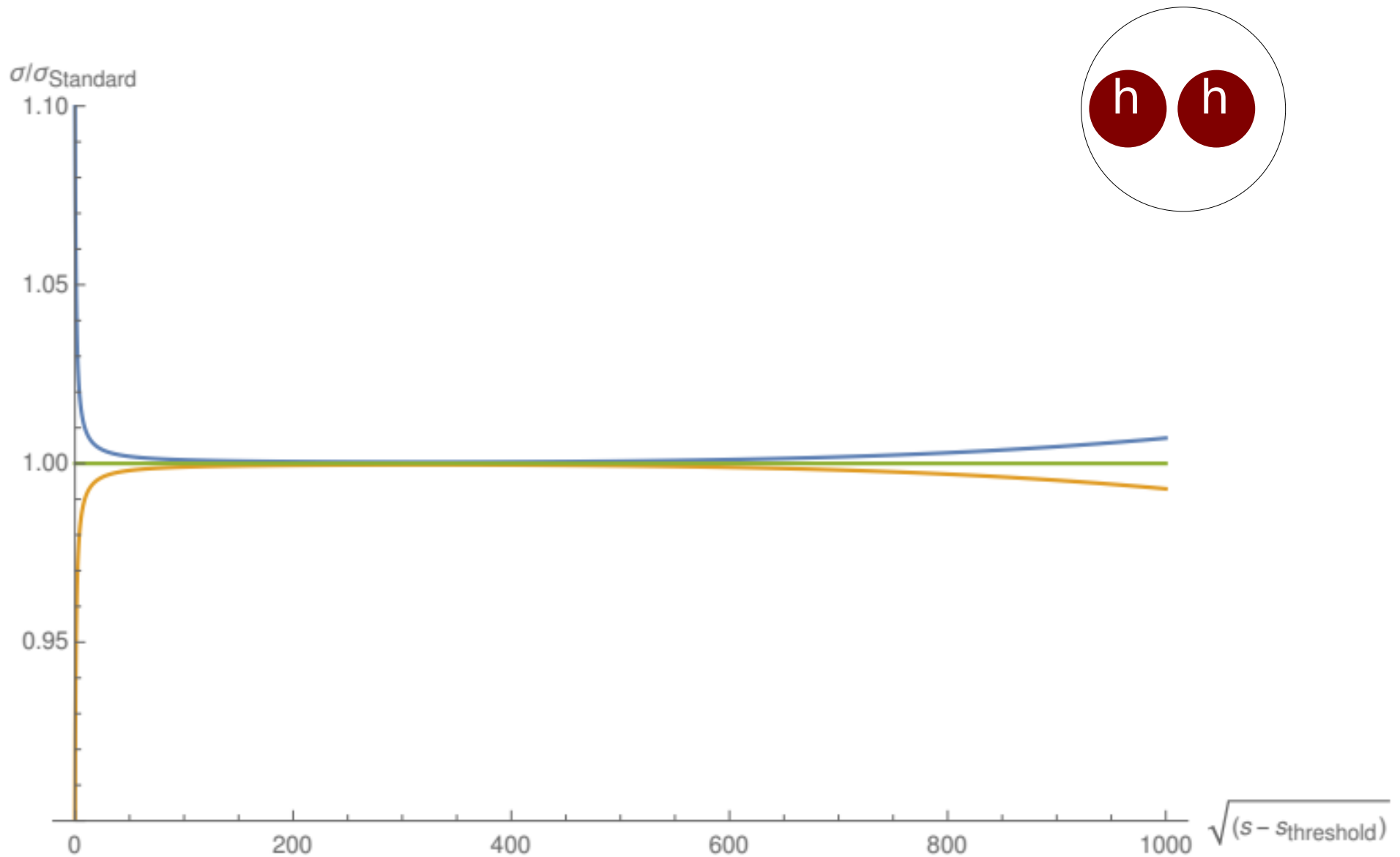
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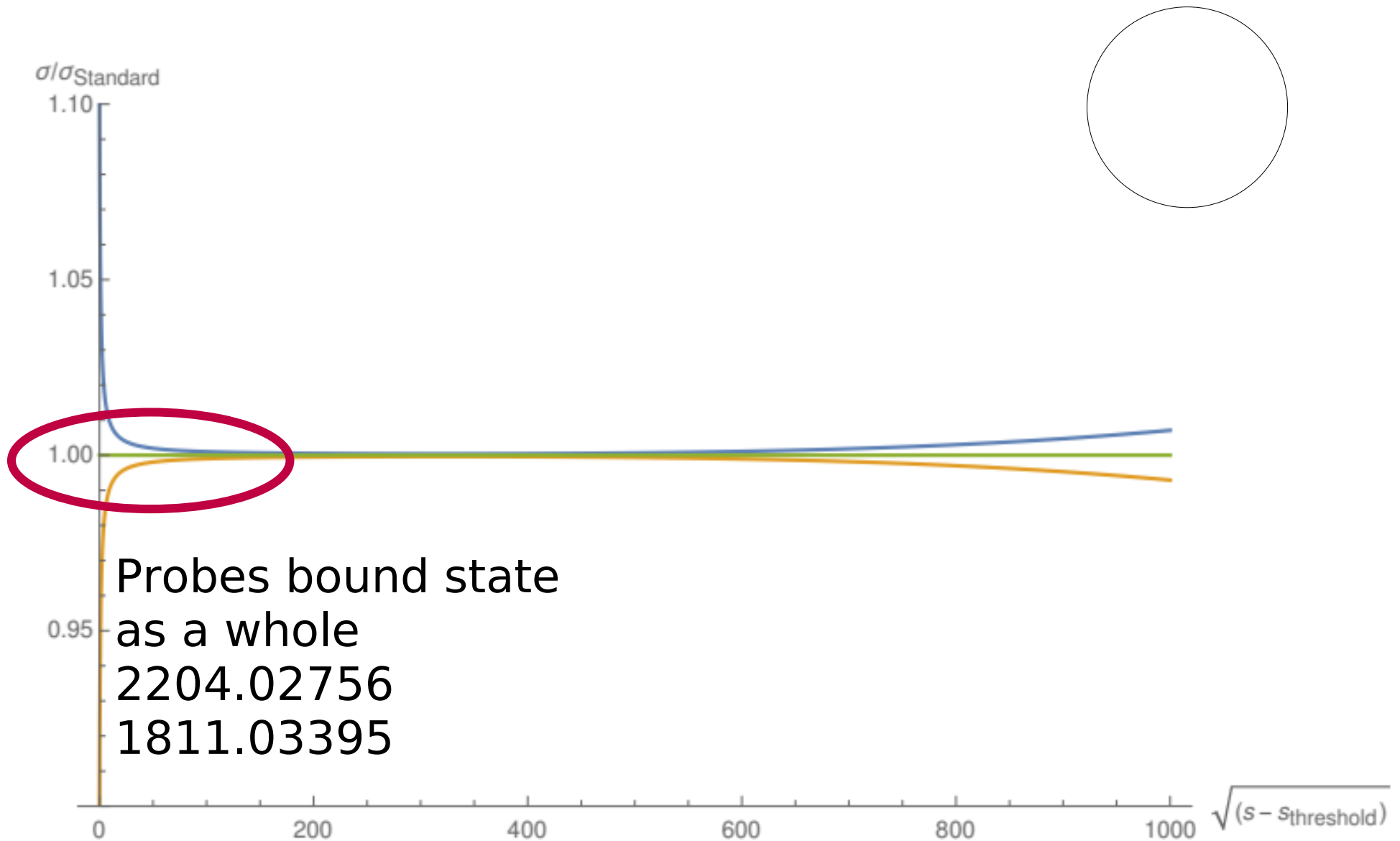
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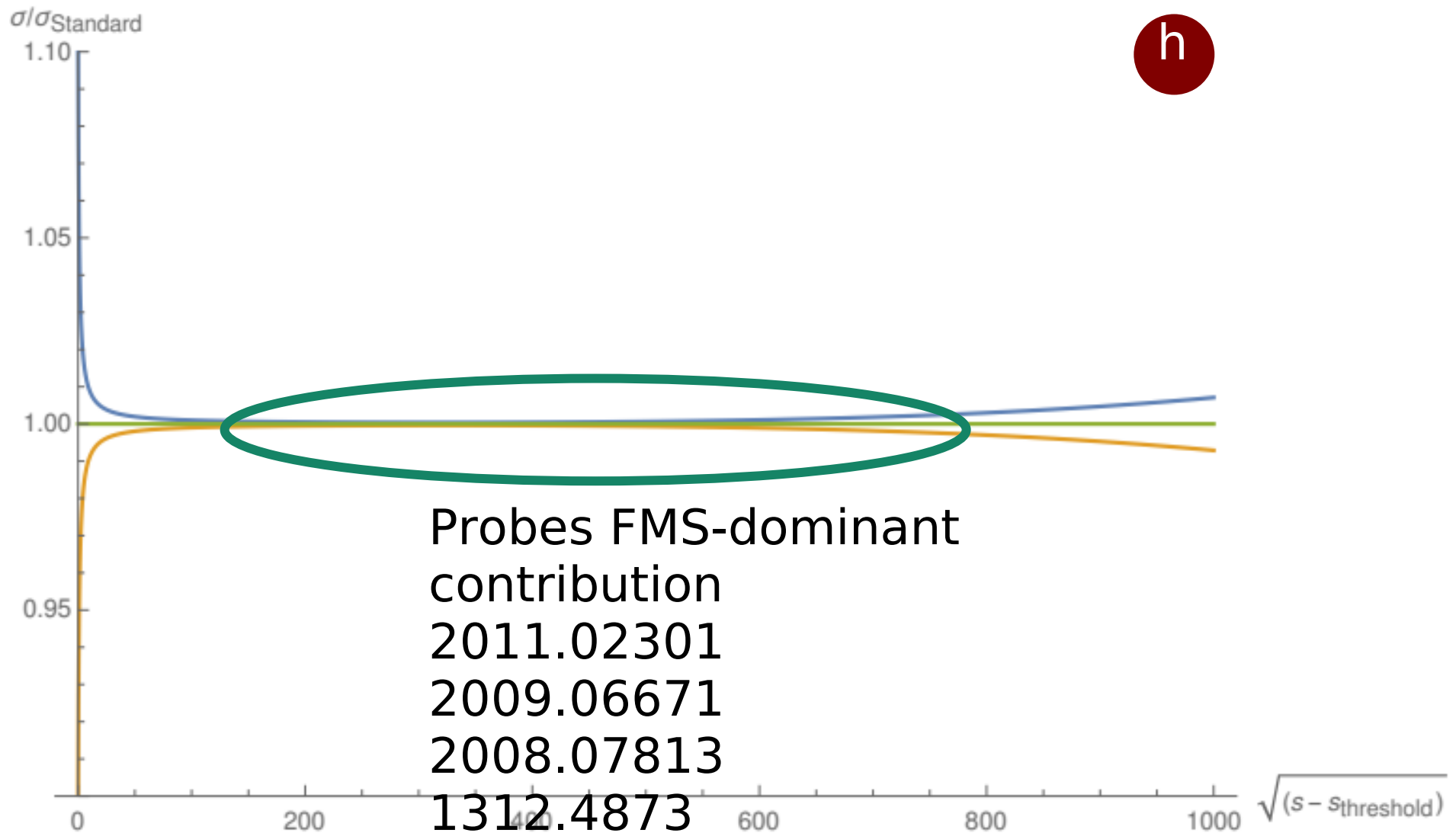
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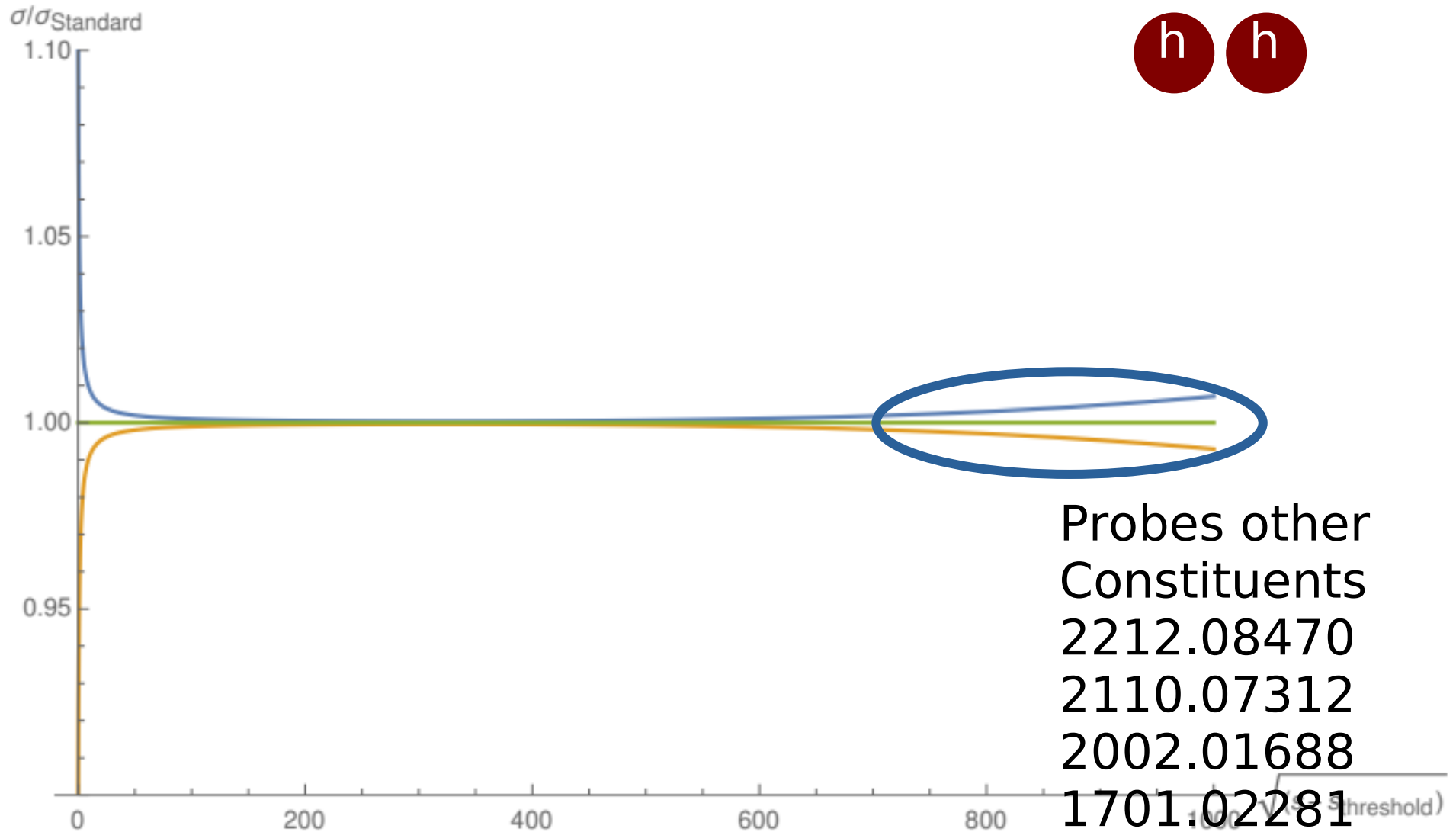
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Phenomenological Implications

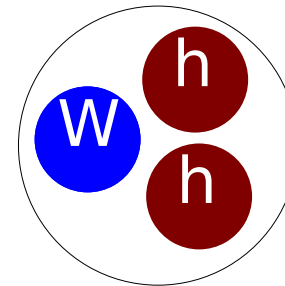
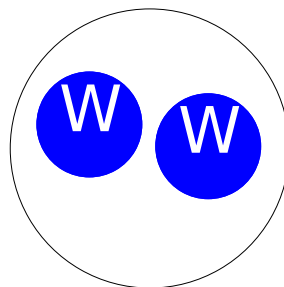
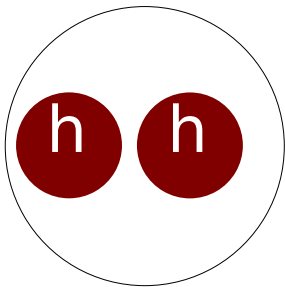
-

Adding matter

Physical states

[Fröhlich et al.'80,
Banks et al.'79]

- Need physical, gauge-invariant particles
 - **Cannot** be the elementary particles
 - Non-Abelian nature is relevant
- Need more than one particle: Composite particles
 - Higgs-Higgs, W-W, Higgs-Higgs-W etc.

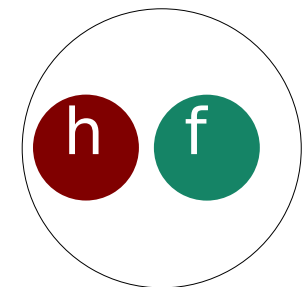
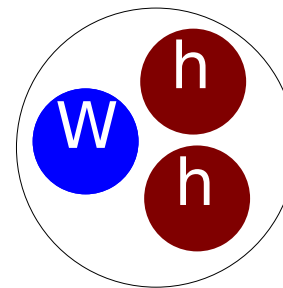
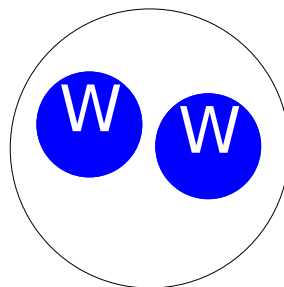
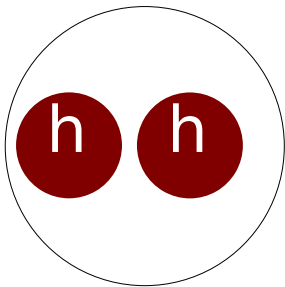


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Flavor on the lattice

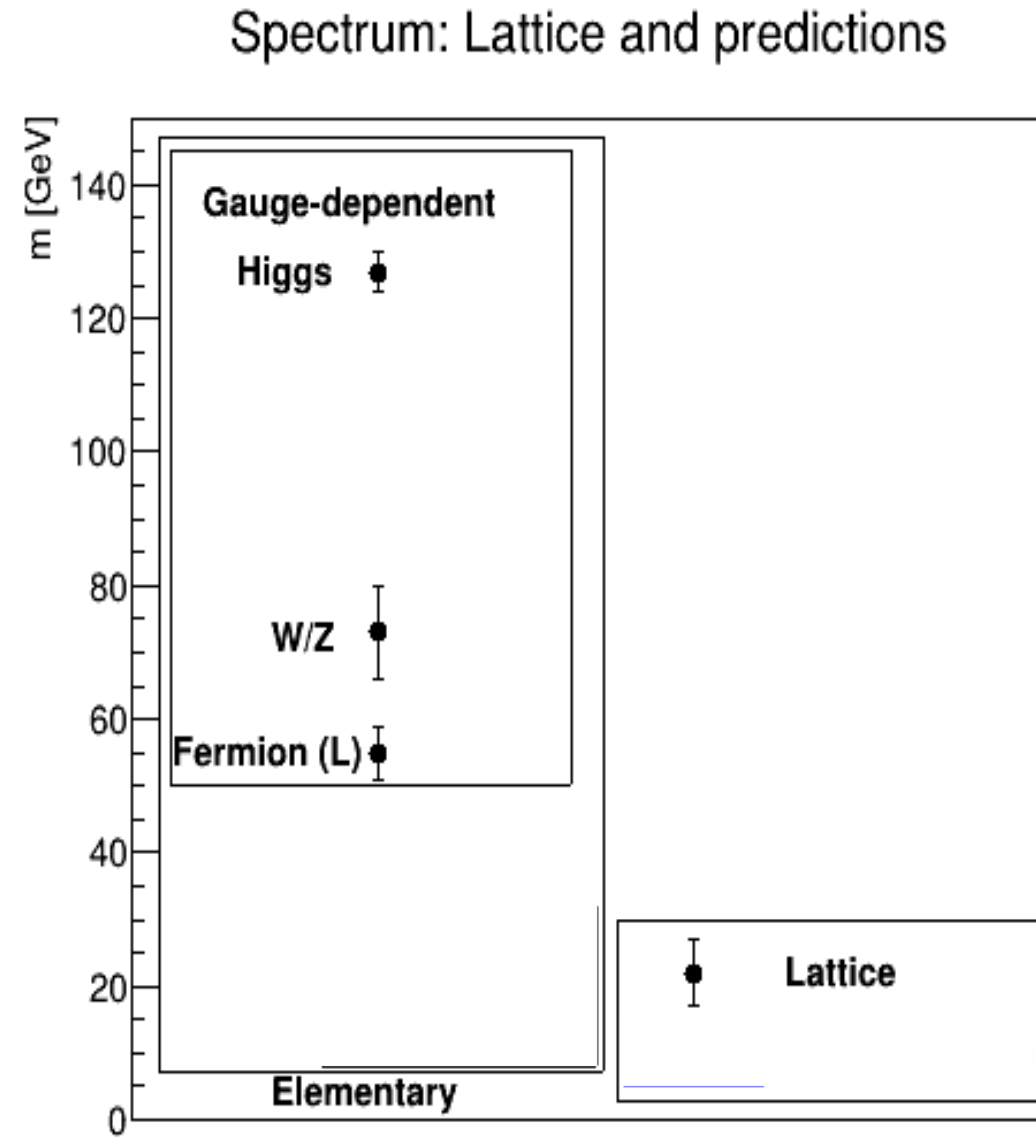
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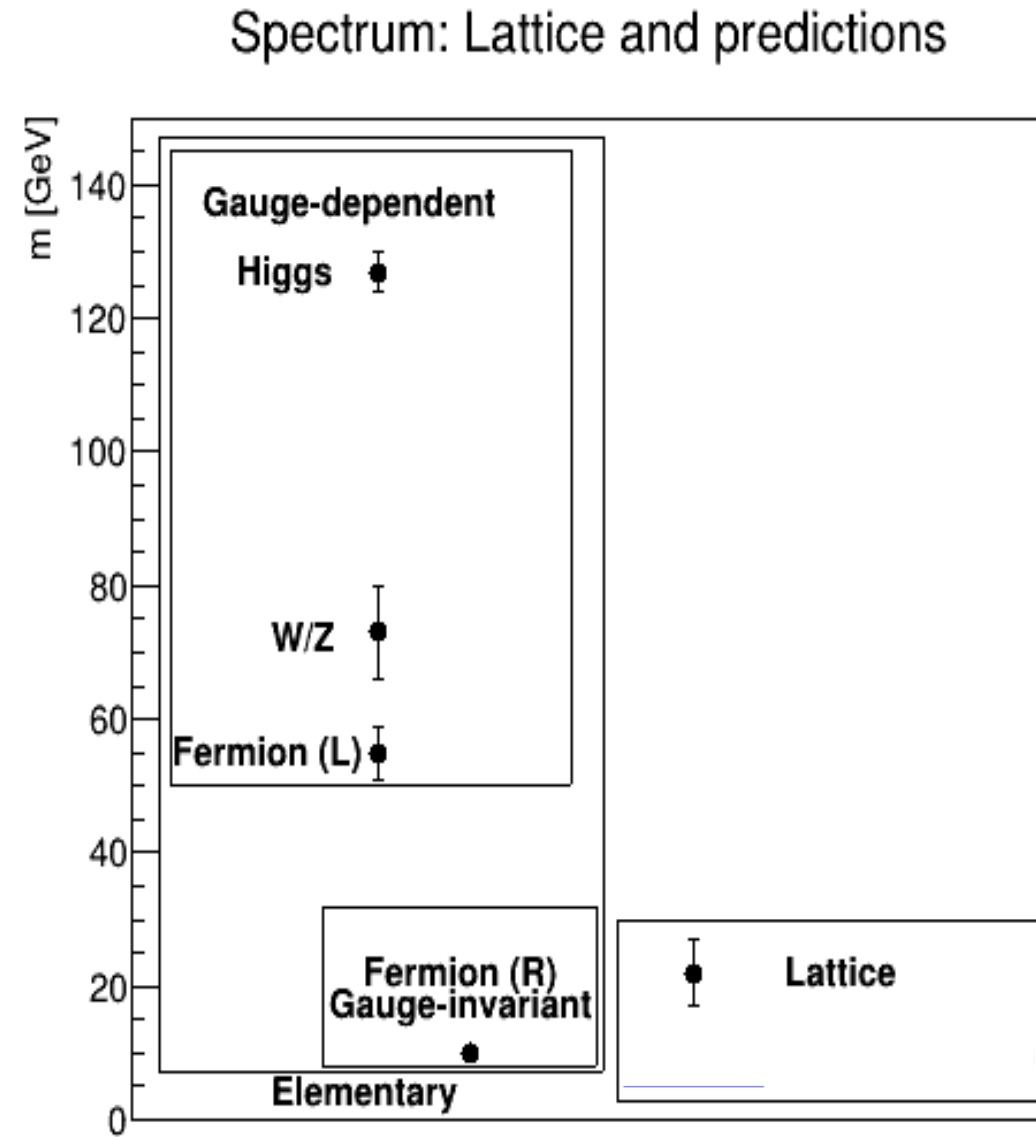
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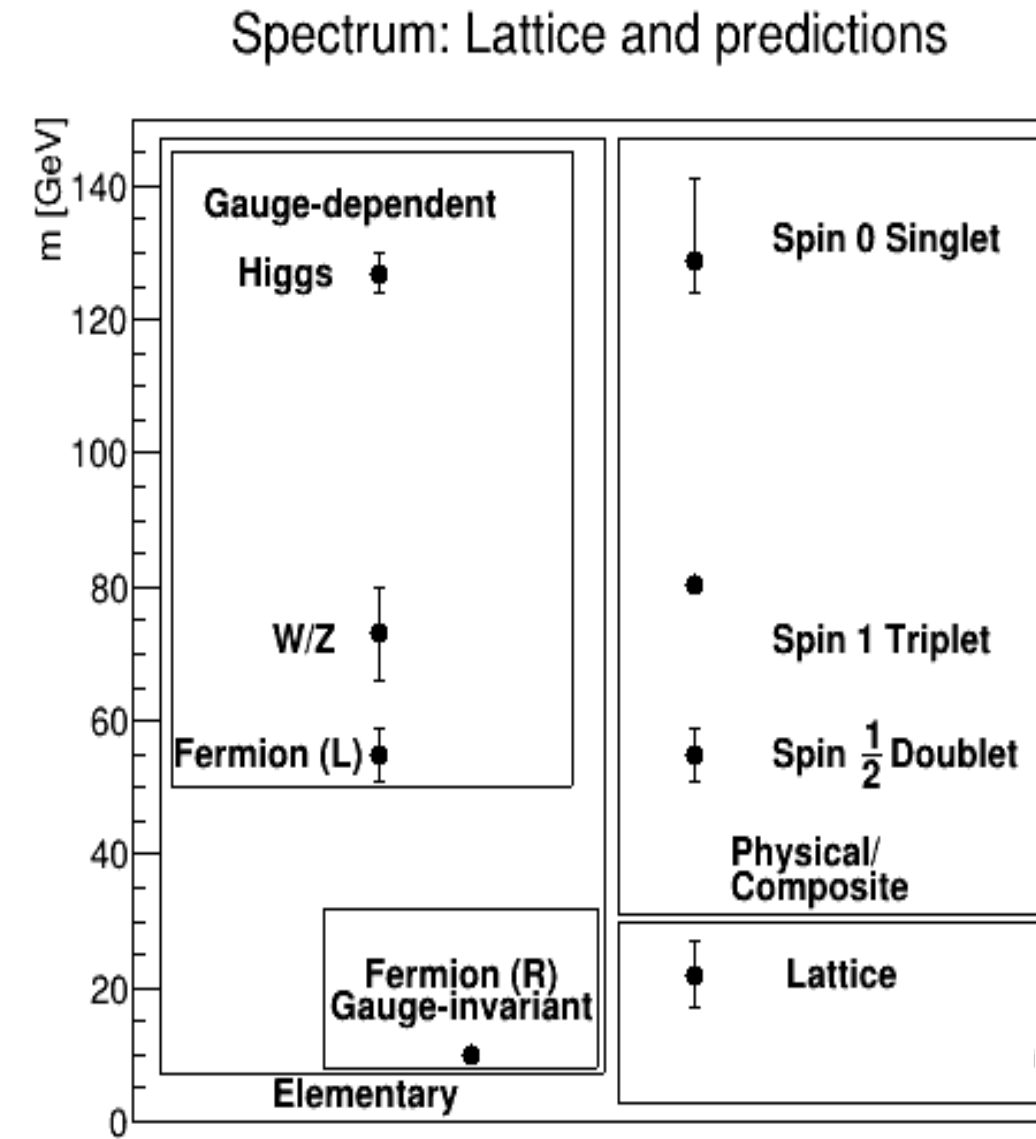
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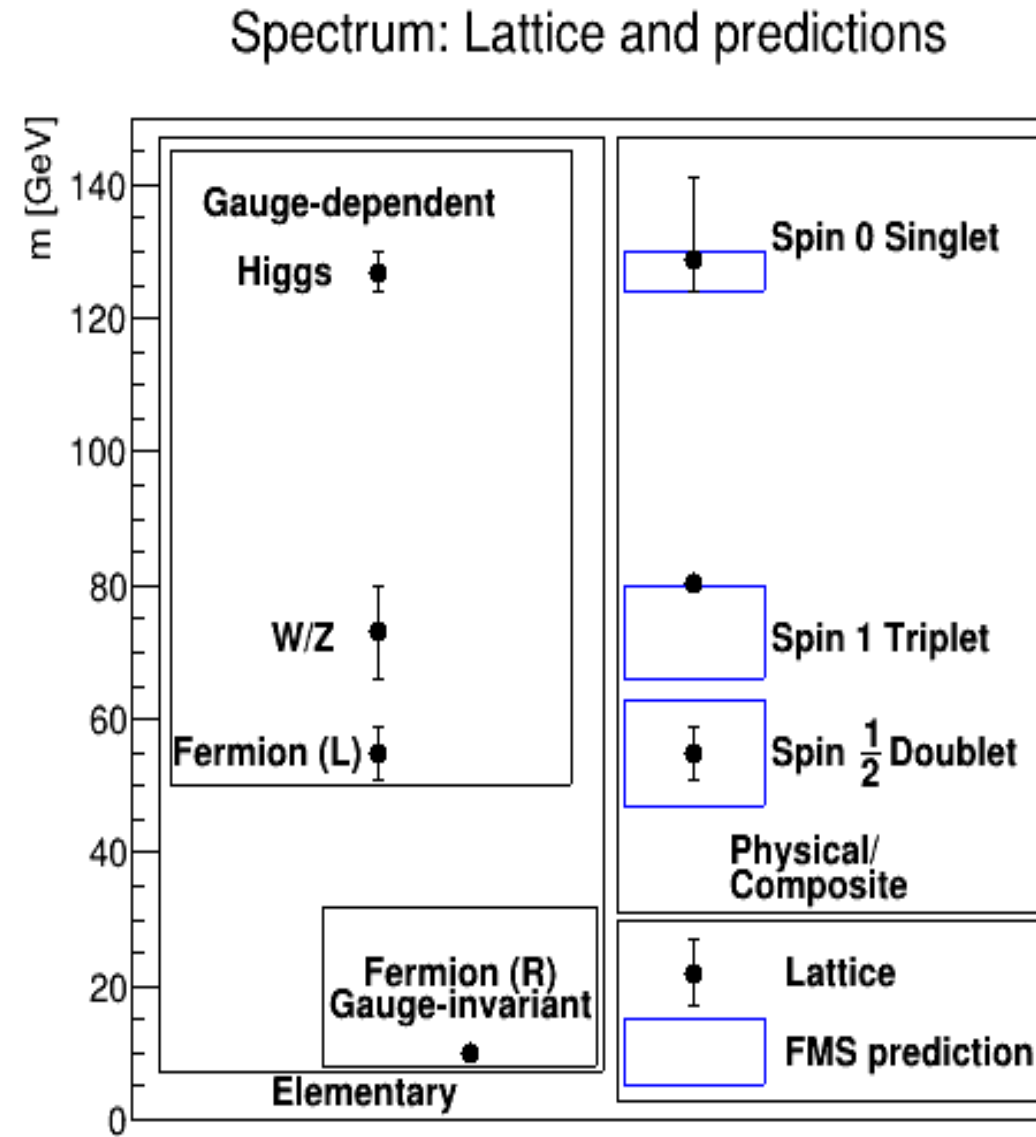
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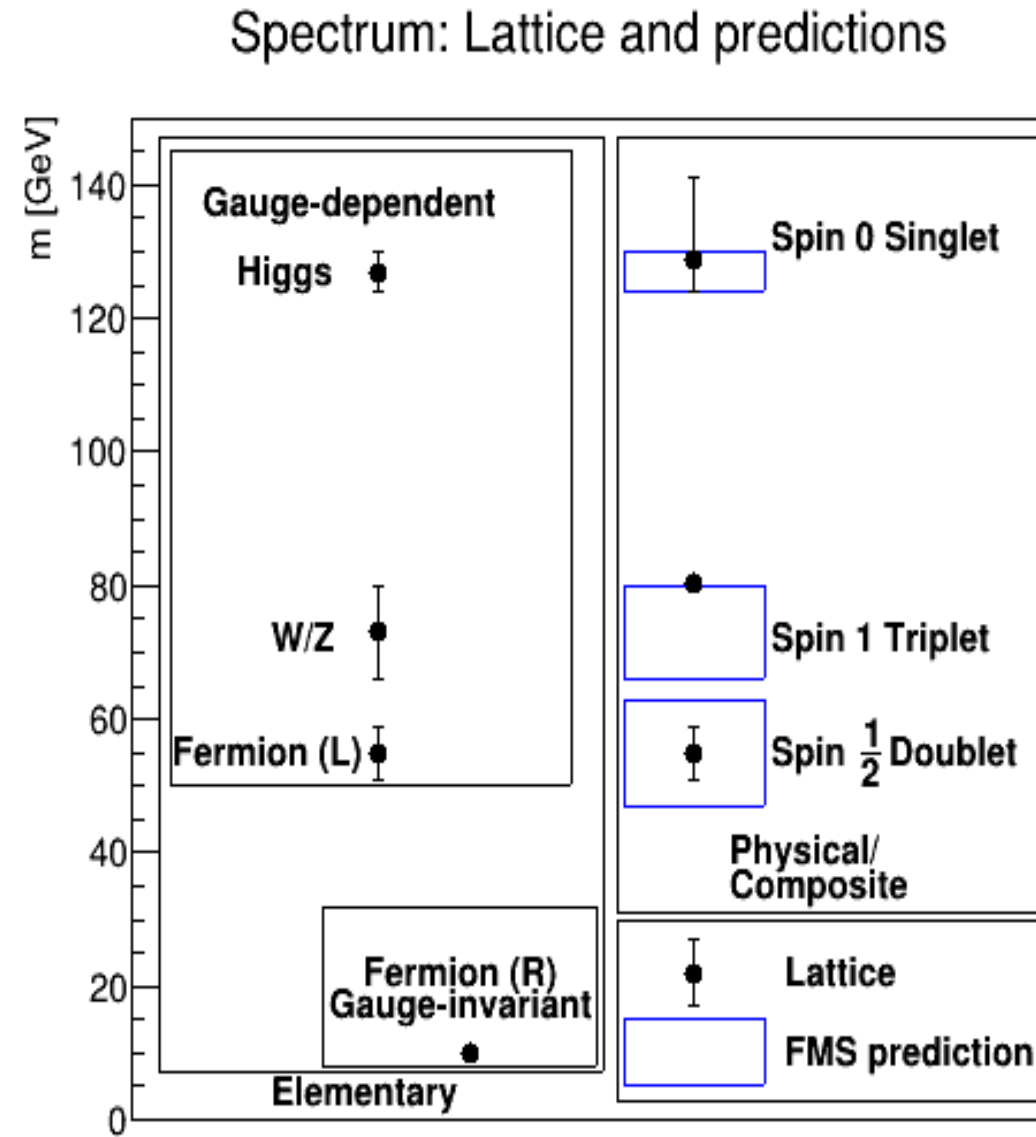
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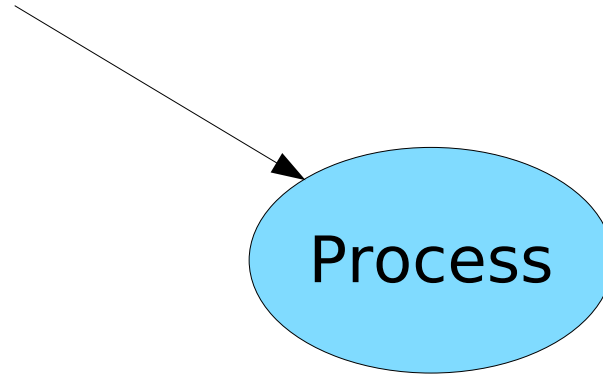
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Scattering

[Maas et al.'17
Maas & Reiner '22
Maas, Plätzer et al.' unpublished]

Incoming (asymptotic) particle
Standard LSZ: Elementary particle

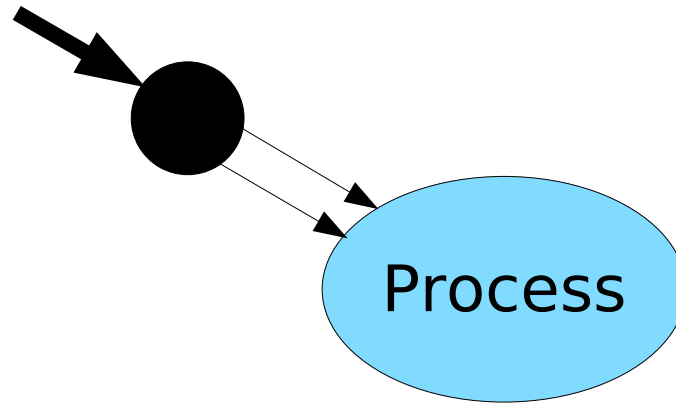


$$\langle f(p) \dots \rangle$$

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Gauge-invariant LSZ: Bound state

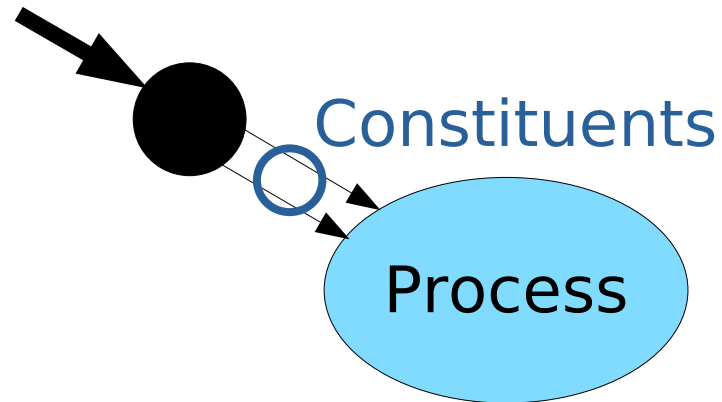


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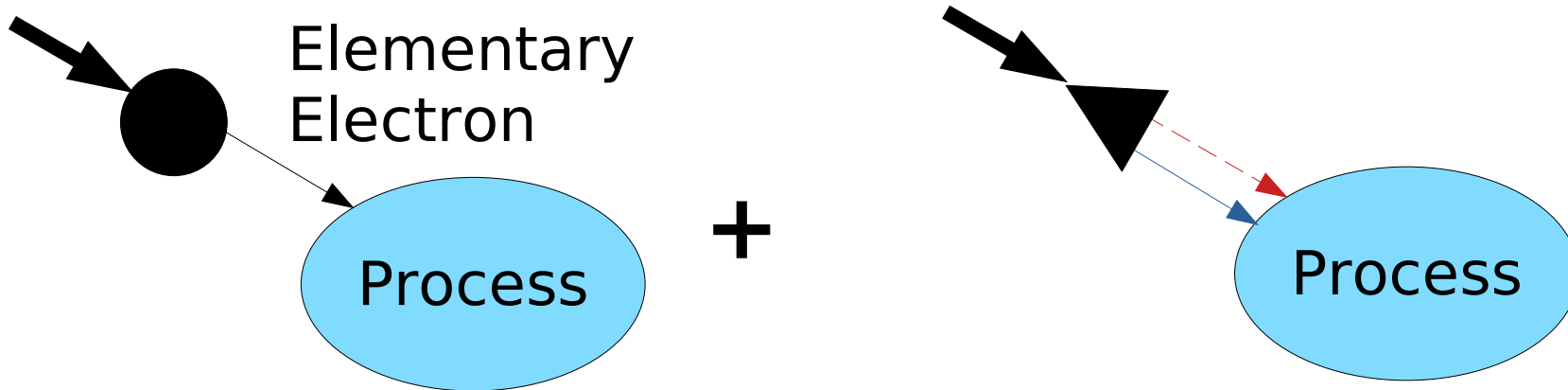


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FMS LSZ: Elementary and fluctuations

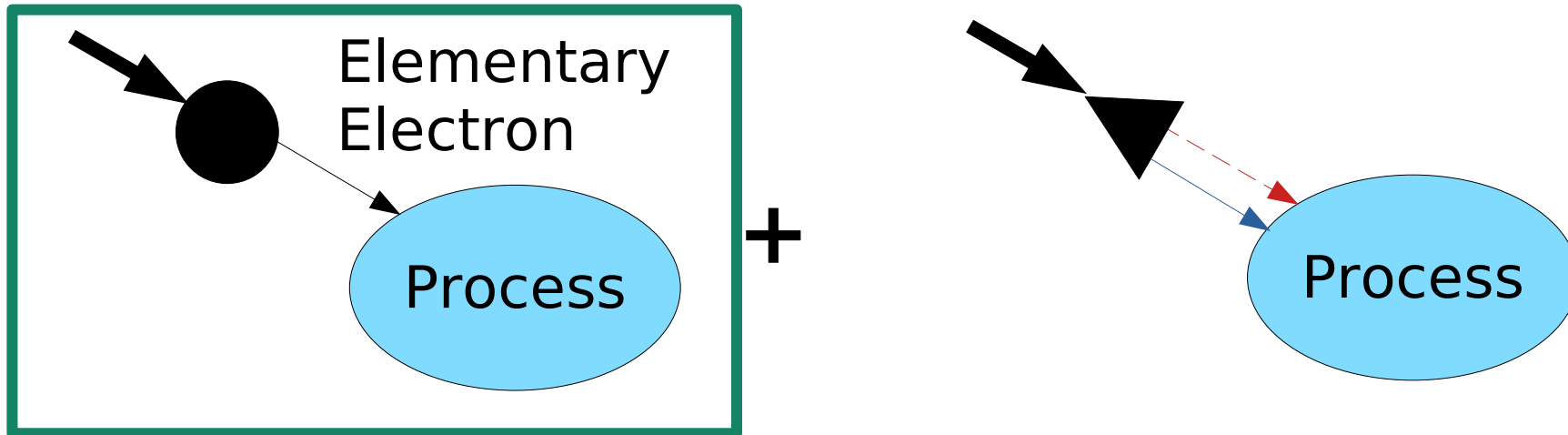


$$v \langle f(p) \dots \rangle + \int dq \Gamma(P, q) D_f(p - q) D_h(q) \langle h(q) f(P - q) \dots \rangle$$

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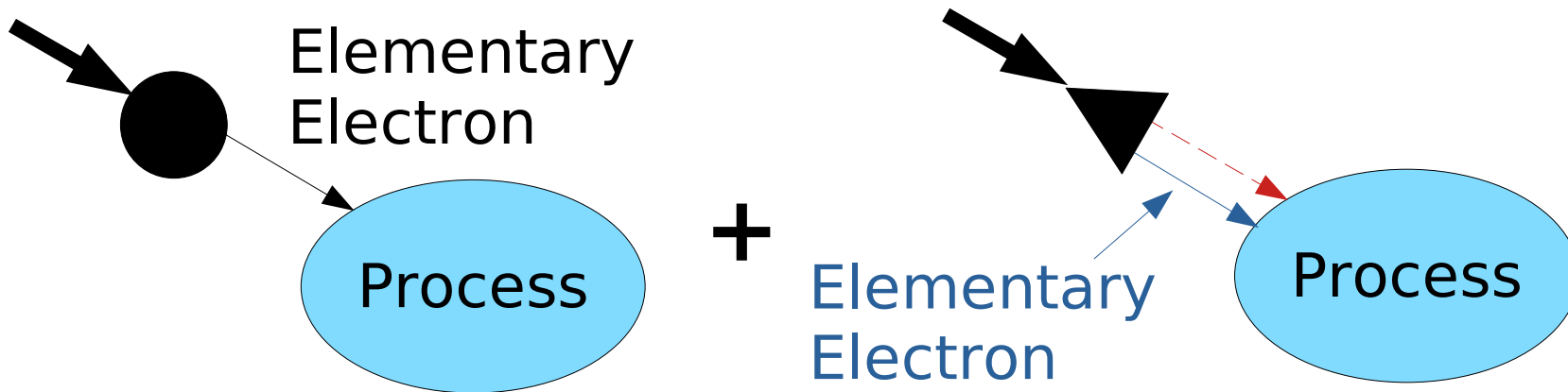
Standard perturbation theory

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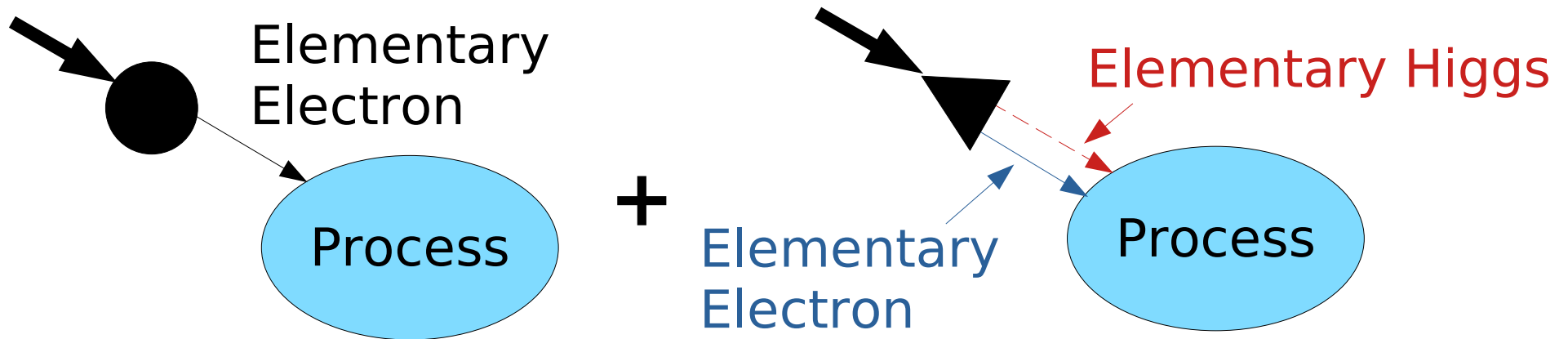


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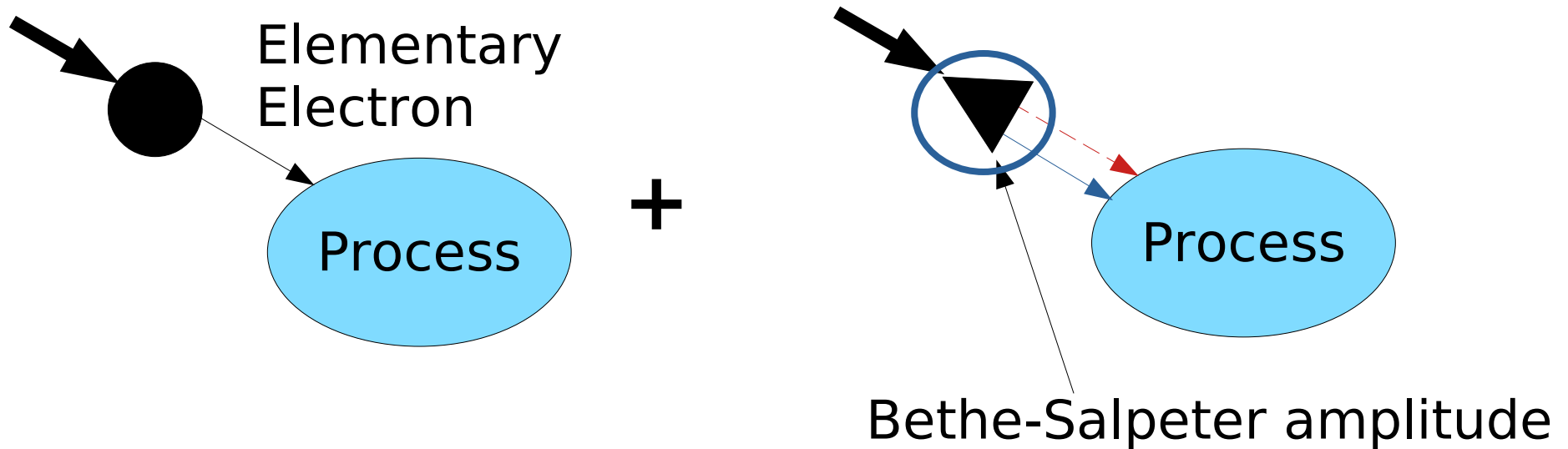


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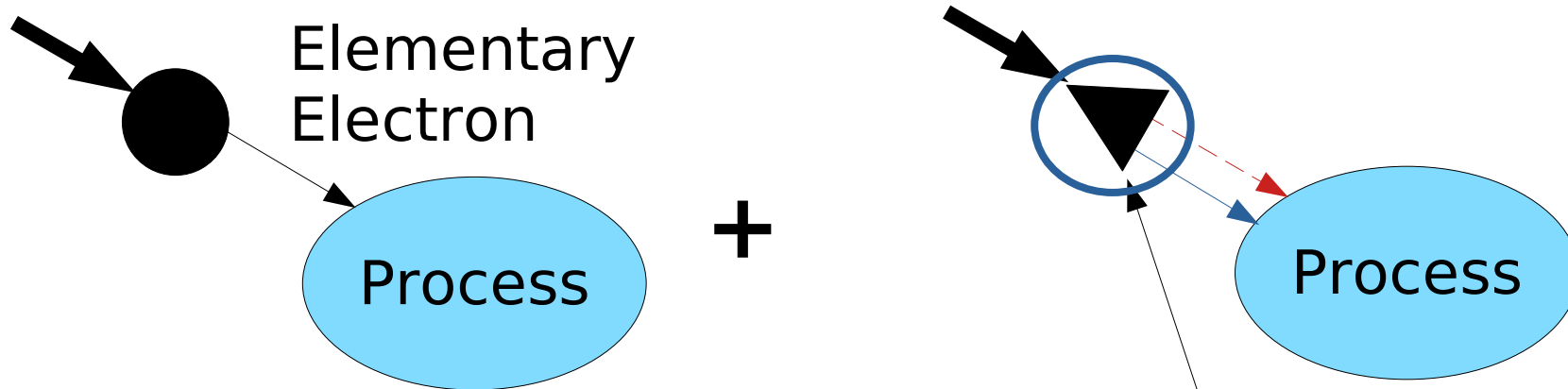


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Bethe-Salpeter Amplitude

[Maas, Plätzer et al. unpublished
Maas, Plätzer et al.'24]

Bethe-Salpeter Amplitude

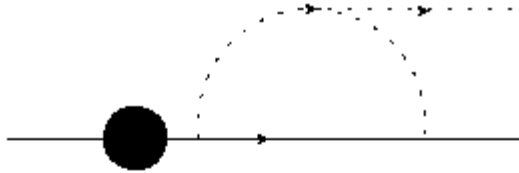
[Maas, Plätzer et al. unpublished
Maas, Plätzer et al.'24]

Calculable itself in augmented perturbation theory

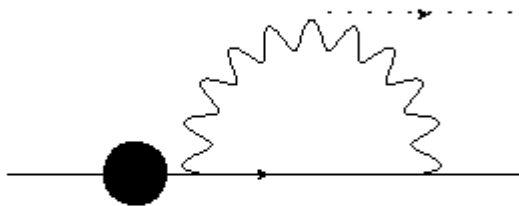
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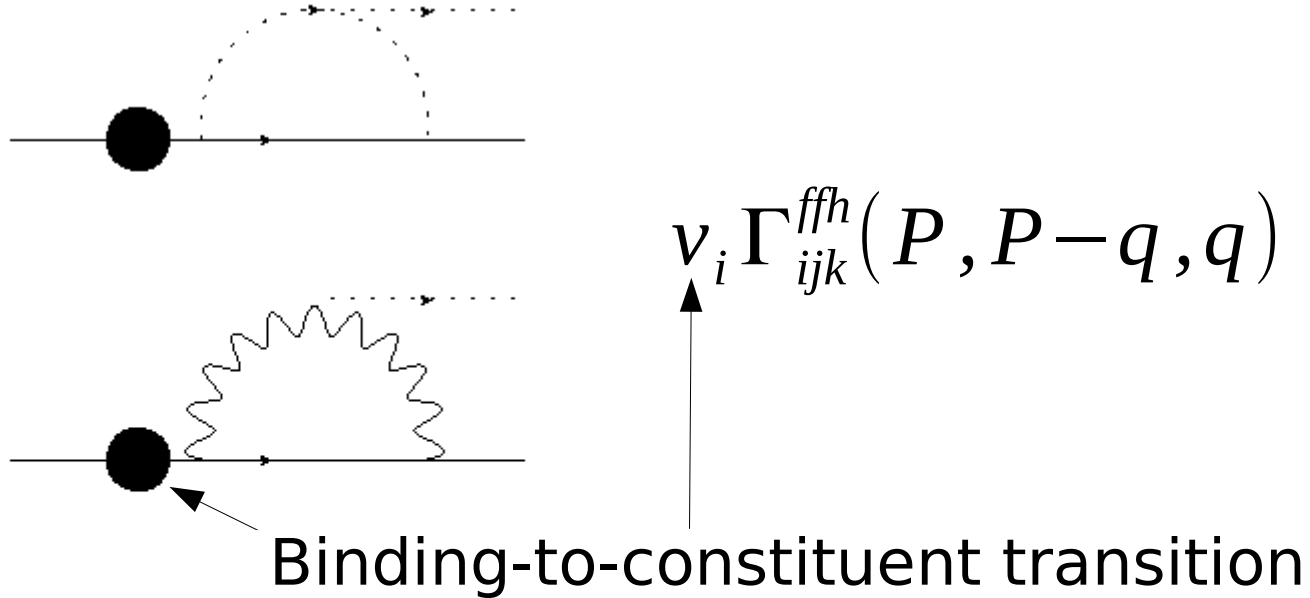
$$v_i \Gamma_{ijk}^{ffh}(P, P-q, q)$$



Bethe-Salpeter Amplitude

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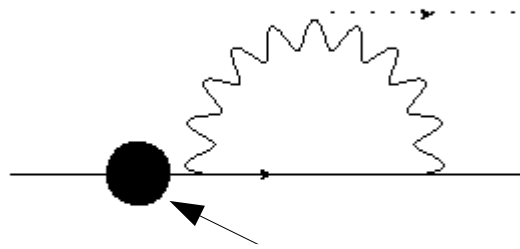
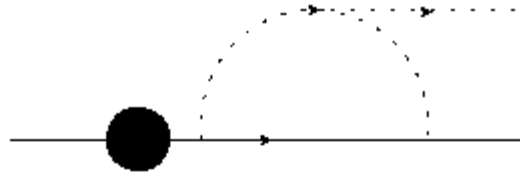
Calculable itself in augmented perturbation theory



Bethe-Salpeter Amplitude

[Maas, Plätzer et al. unpublished
Maas, Plätzer et al.'24]

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$$v_i \Gamma_{ijk}^{ffh}(P, P-q, q)$$

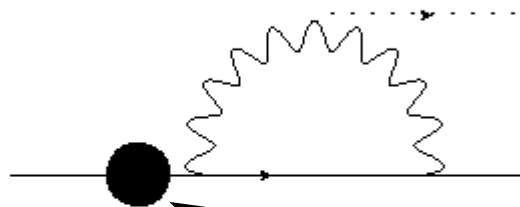
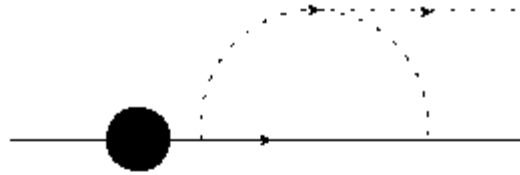
Binding-to-constituent transition

Reweights
standard
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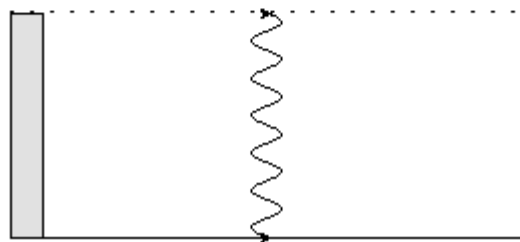
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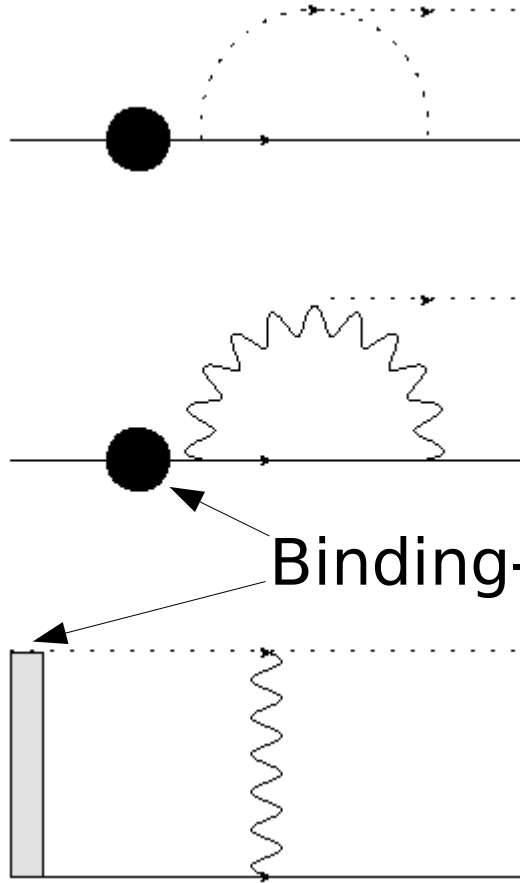


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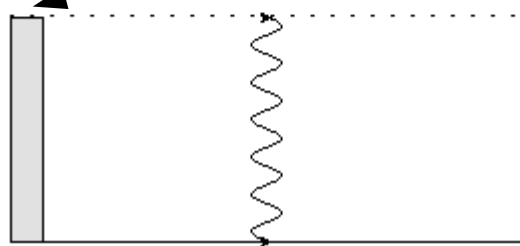
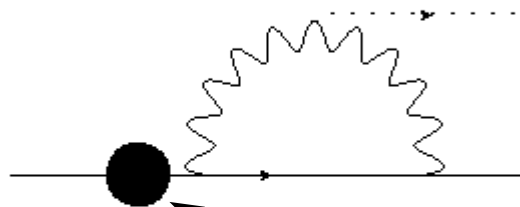
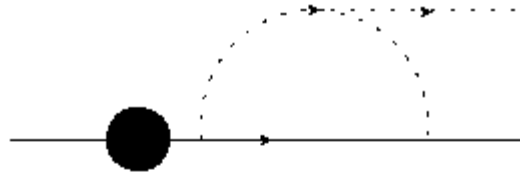
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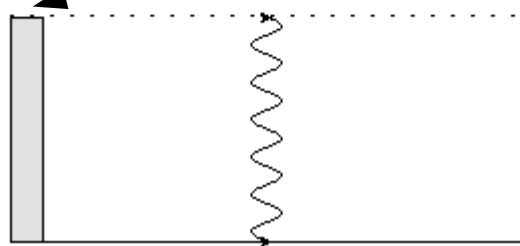
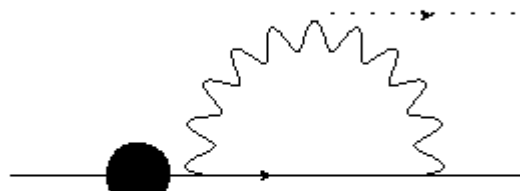
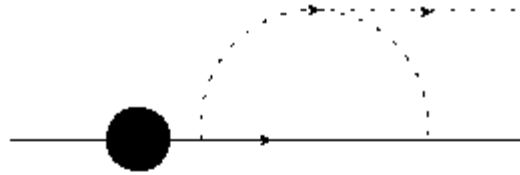
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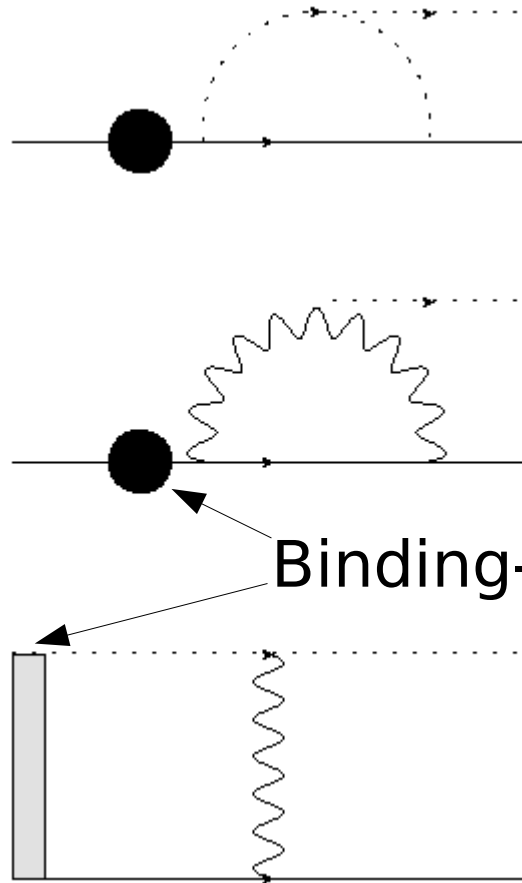
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Neither are Yukawa suppressed

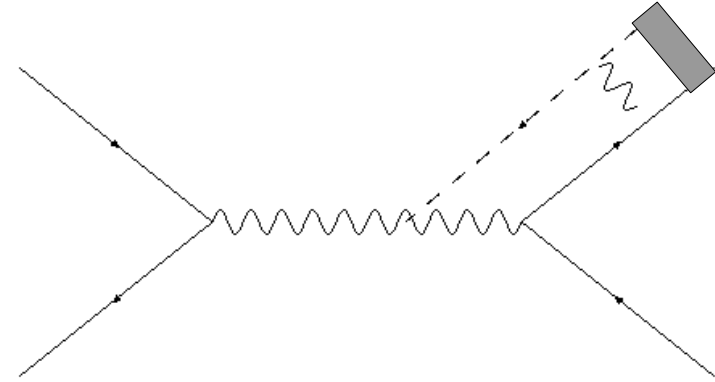
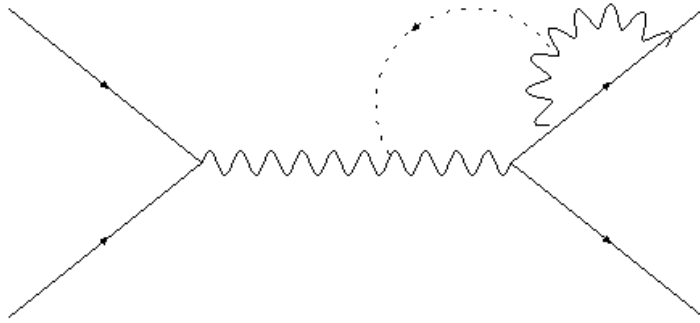
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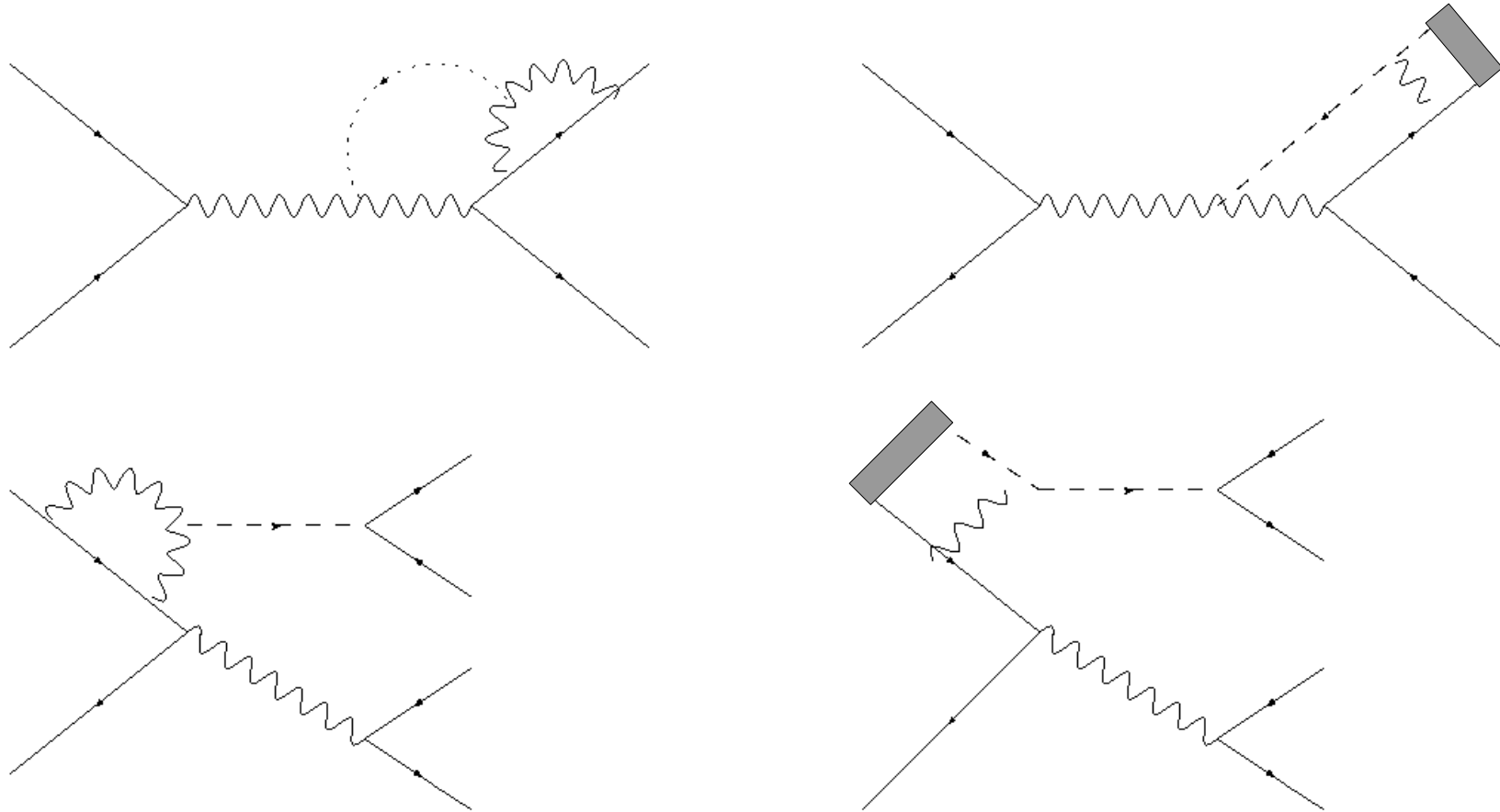
Process $ff \rightarrow ff$: 2/1-loop (in g_{weak}) suppressed contribution



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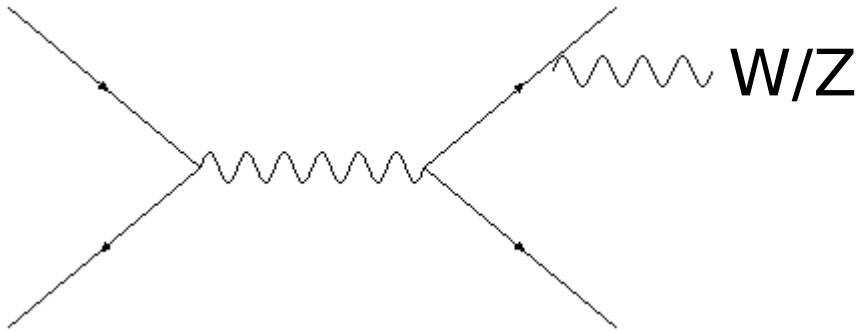
Resummation effects at $s \gg M_Z^2$

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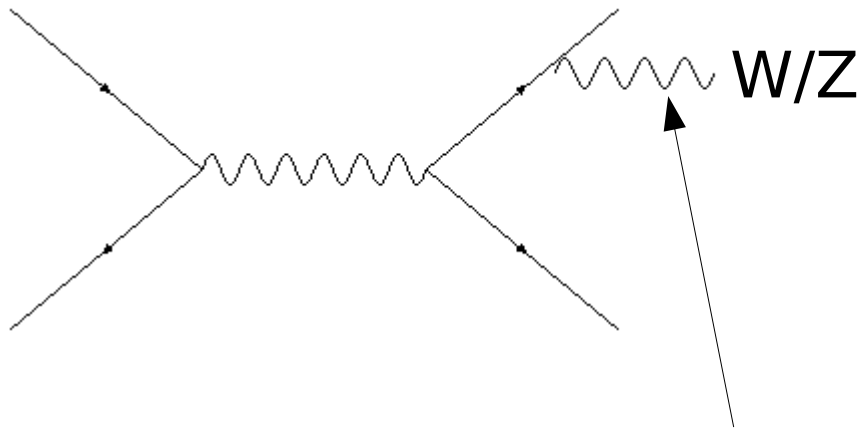
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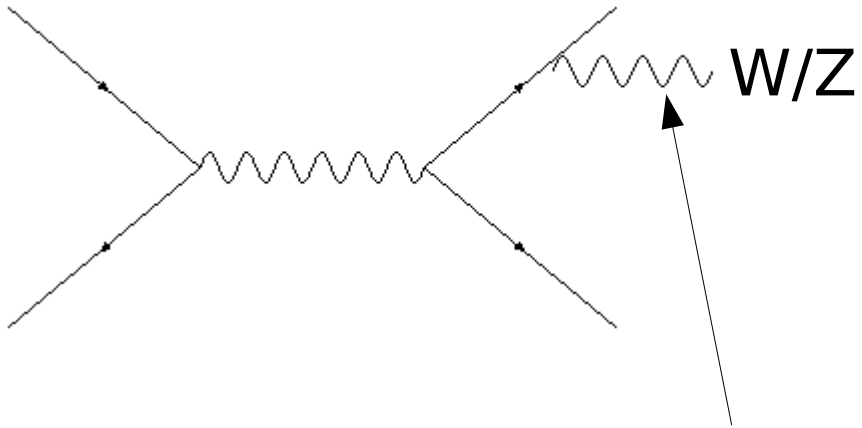


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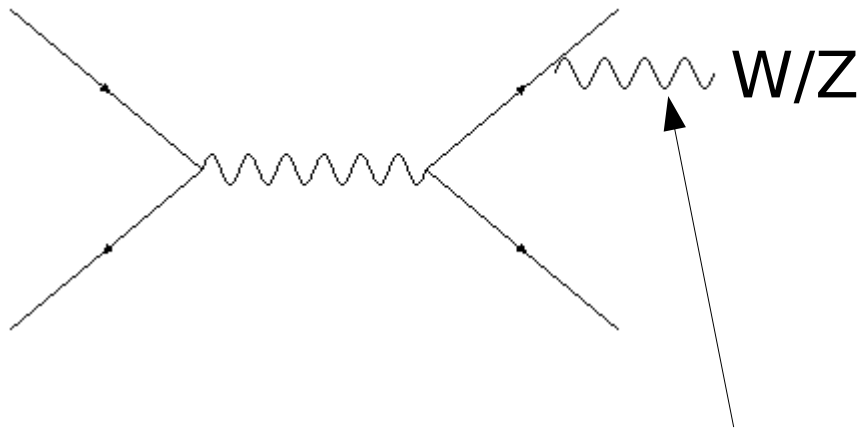


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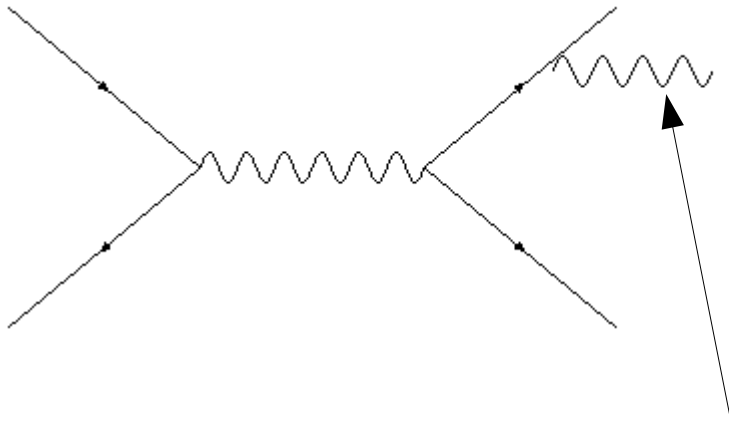
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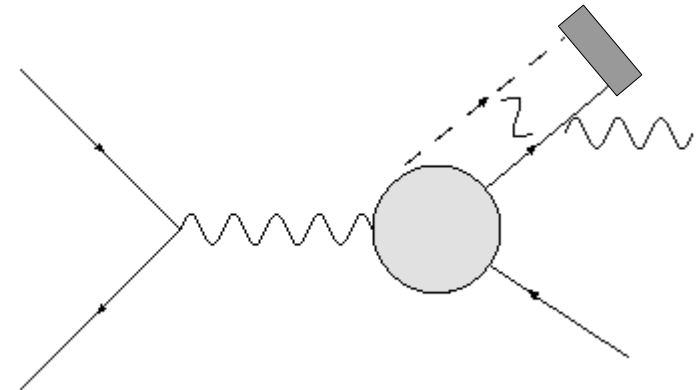
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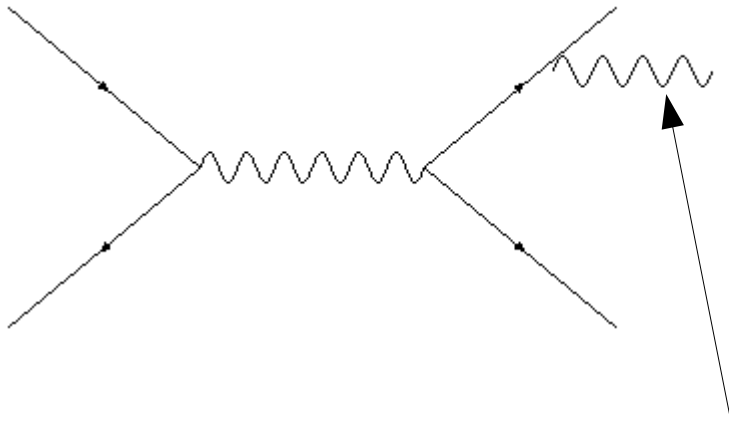
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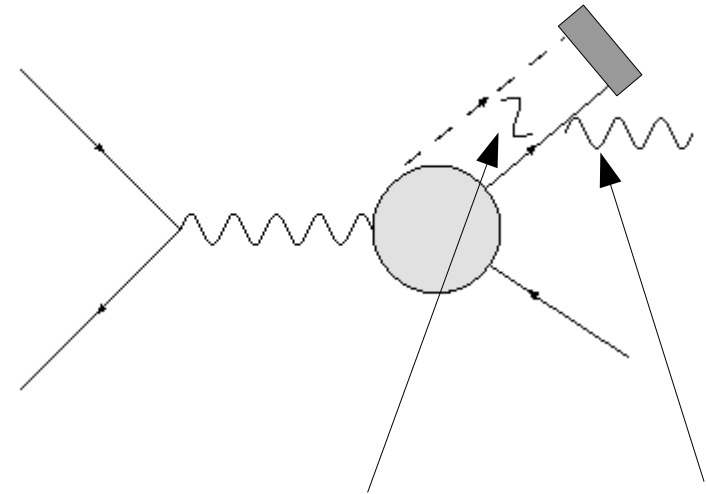


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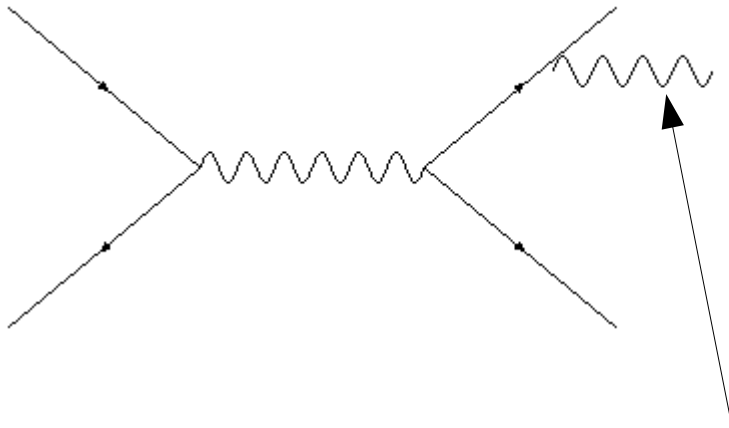
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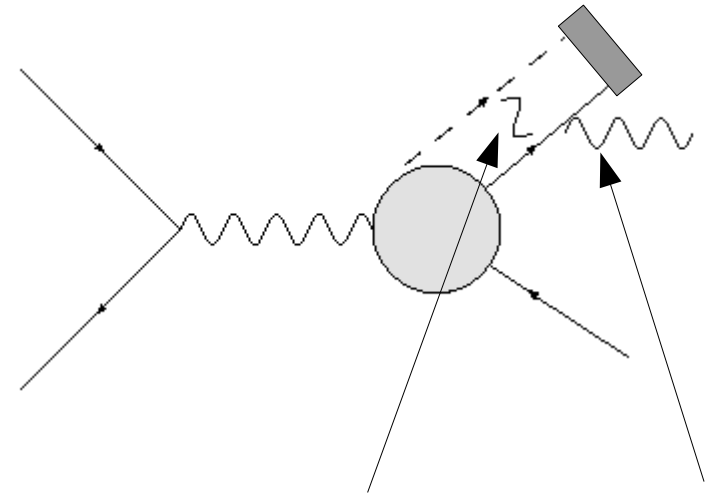


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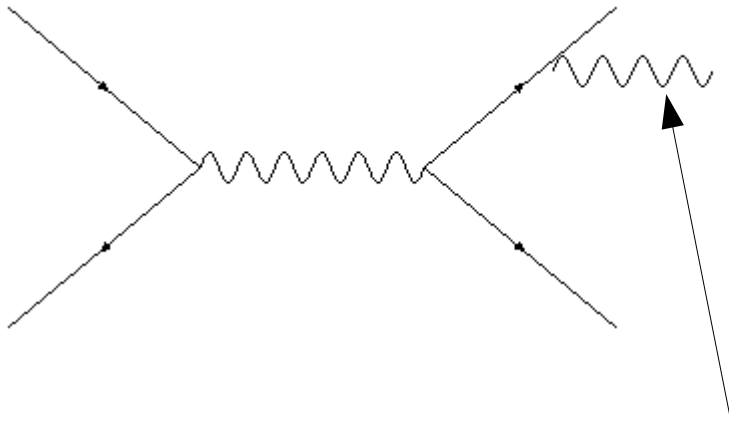
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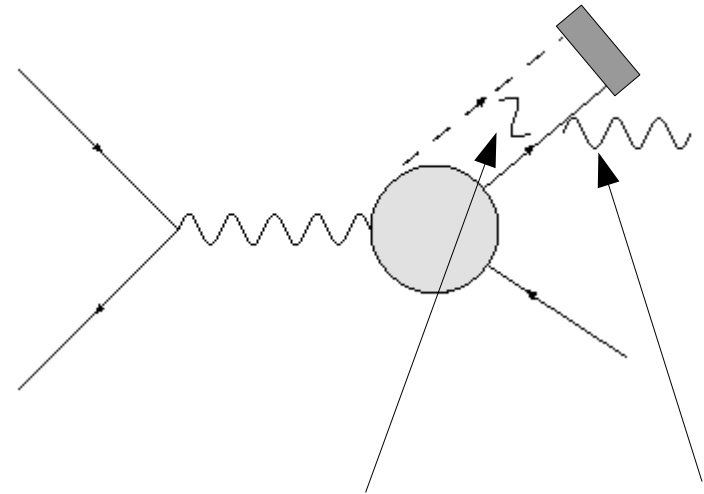


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Less weak jets

New physics

-

Qualitative changes

Beyond the standard model

[Maas'15
Maas, Sondenheimer, Törek'17]

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- Global U(1) custodial (flavor) symmetry

- Acts as (right-)transformation on the scalar field only

$$W_\mu^a \rightarrow W_\mu^a \qquad h \rightarrow \exp(ia) h$$

Spectrum

Gauge-dependent
Vector

Mass

0

'SU(3) → SU(2)'

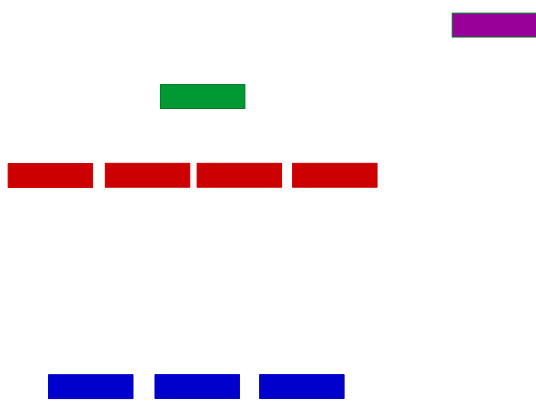


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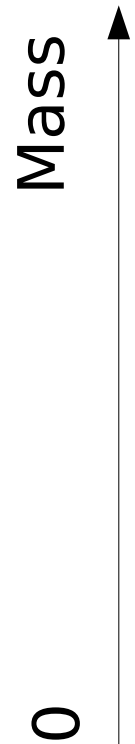


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Confirmed in gauge-fixed
lattice calculations [Maas et al.'16]

Spectrum

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Gauge-dependent

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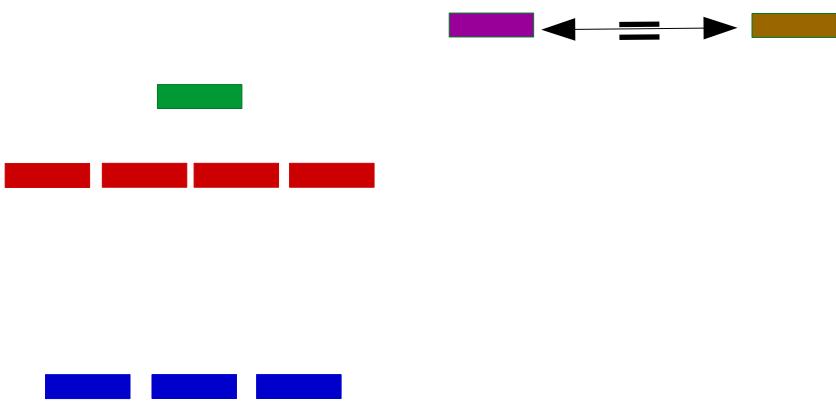
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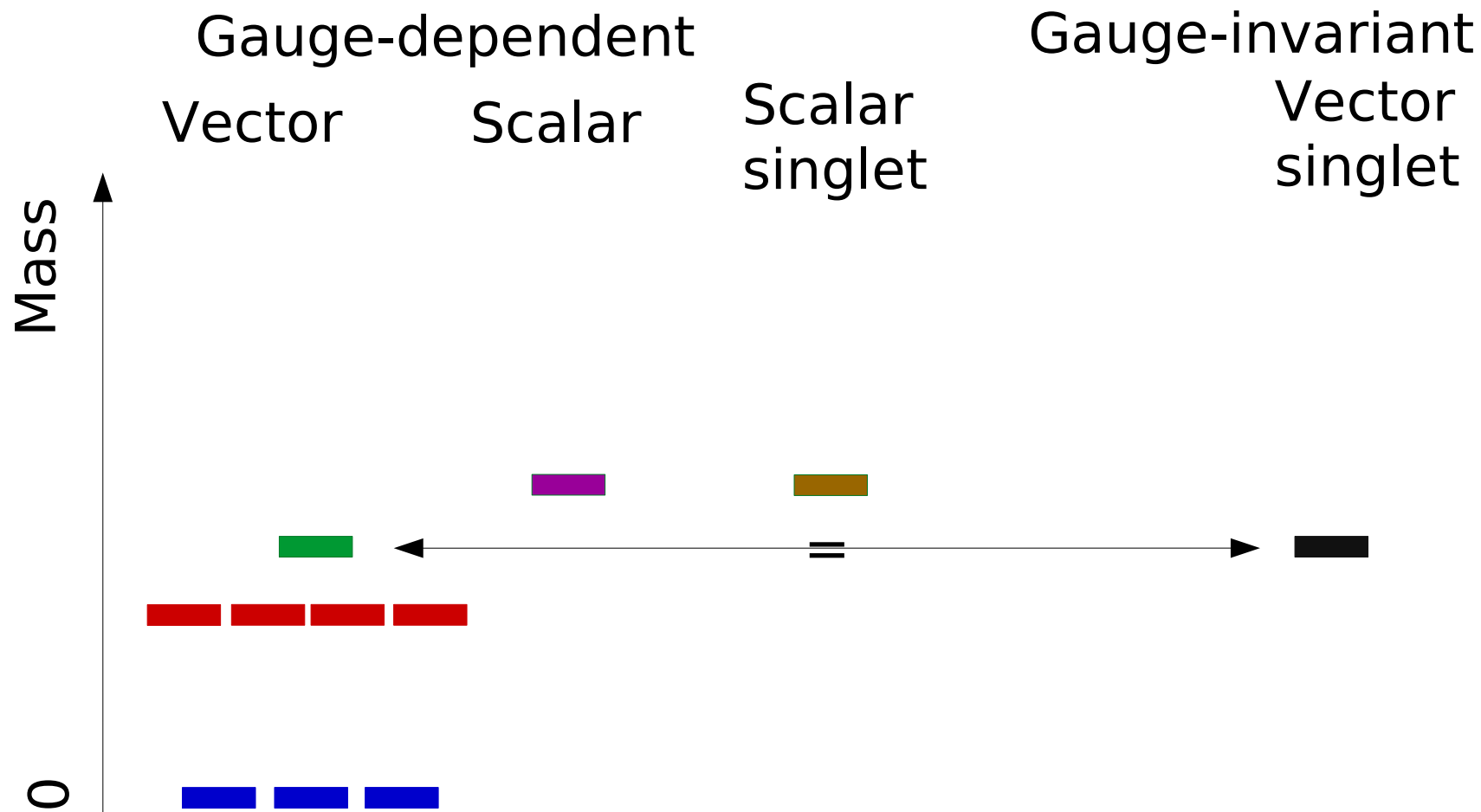
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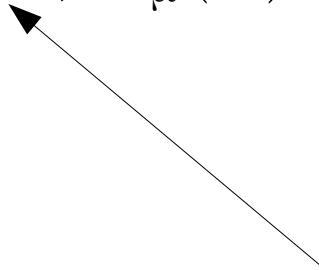
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Only one state remains in the spectrum
at mass of gauge boson 8 (heavy singlet)

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- Now: States without elementary analouge
 - Gauge-invariant states from 3 Higgs fields
 - Baryon analogue – $U(1)$ acts as baryon number
 - Lightest must exist and be absolutely stable

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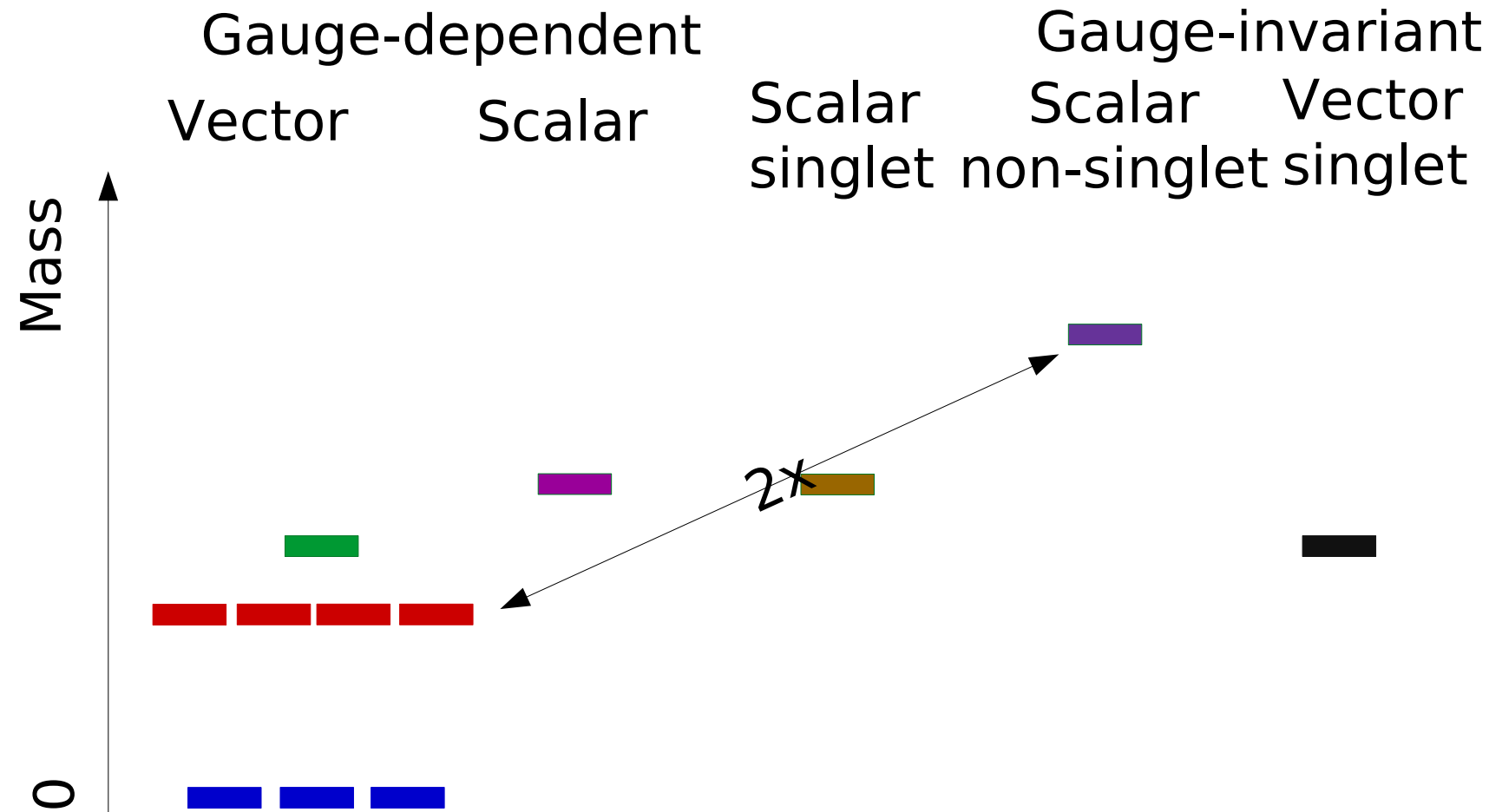
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- What is the lightest state?
 - Prediction with constituent model

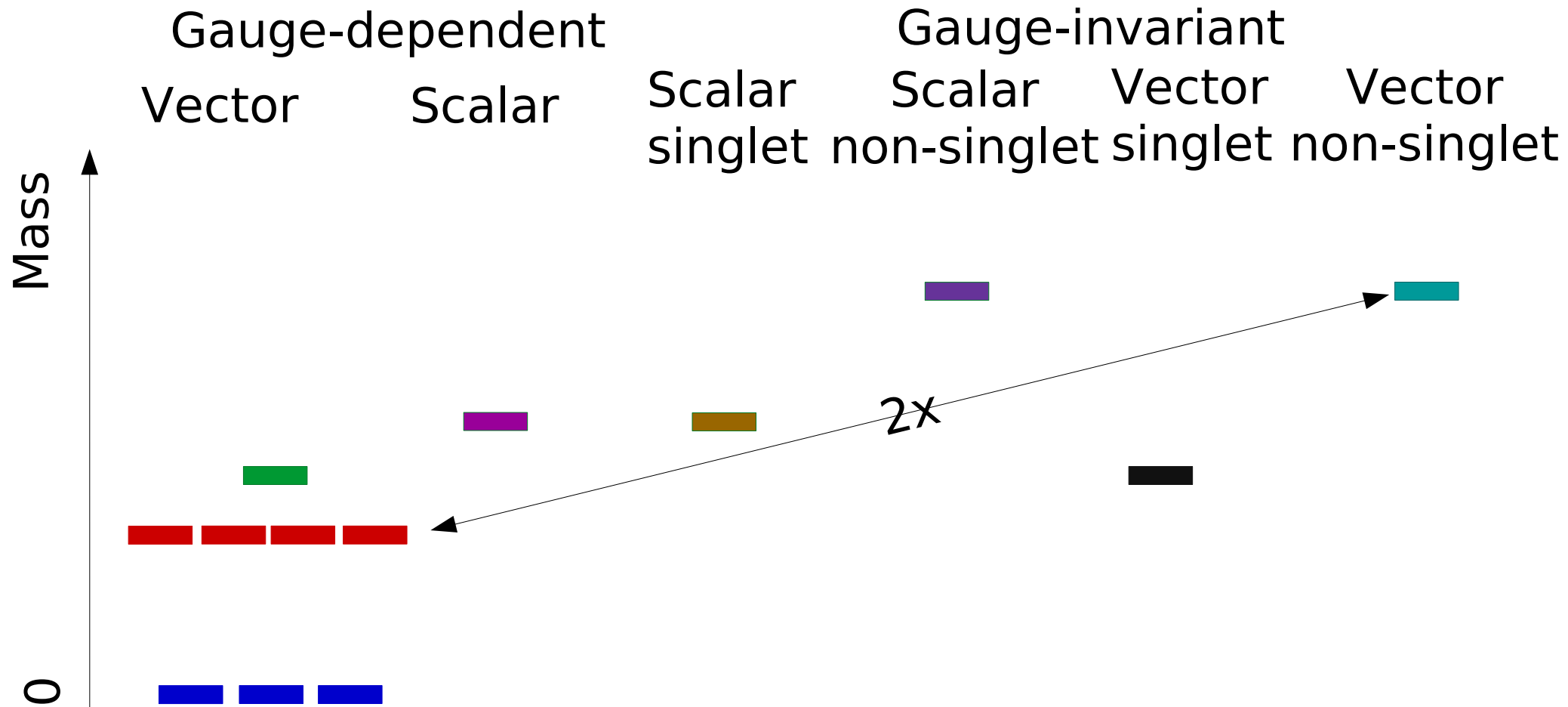
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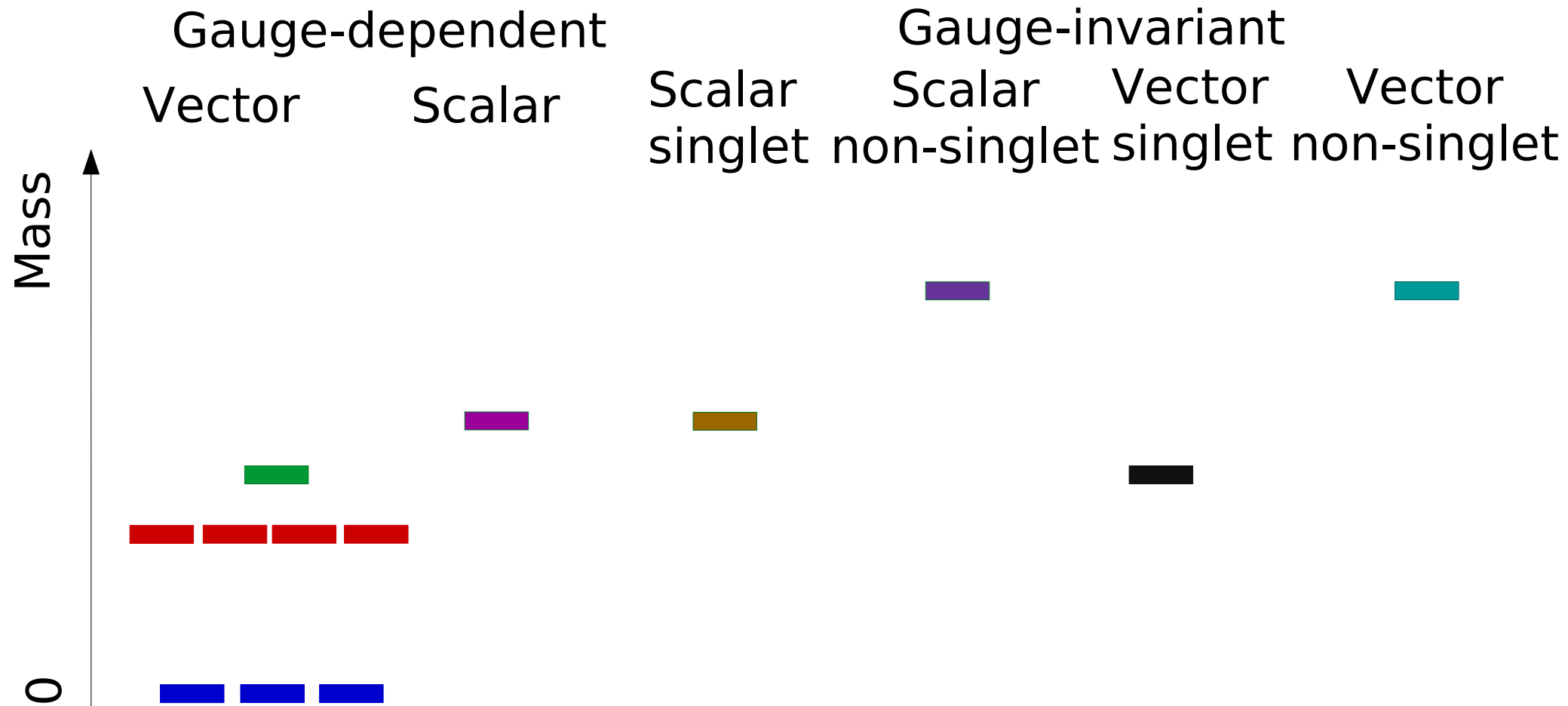
Spectrum

[Maas & Törek'16,'18
Maas, Sondenheimer & Törek'17]



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[Maas & Törek'16,'18
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- Qualitatively different spectrum
- No mass gap!

Possible states

- Quantum numbers are $J^{\text{PC}}_{\text{Custodial}}$
 - Simplest non-trivial state operator: 0^{++}_1
 - $\epsilon_{abc} \phi^a D_\mu \phi^b D_\nu D^\nu D^\mu \phi^c$
- What is the lightest state?
 - Prediction with constituent model
 - Lattice calculations

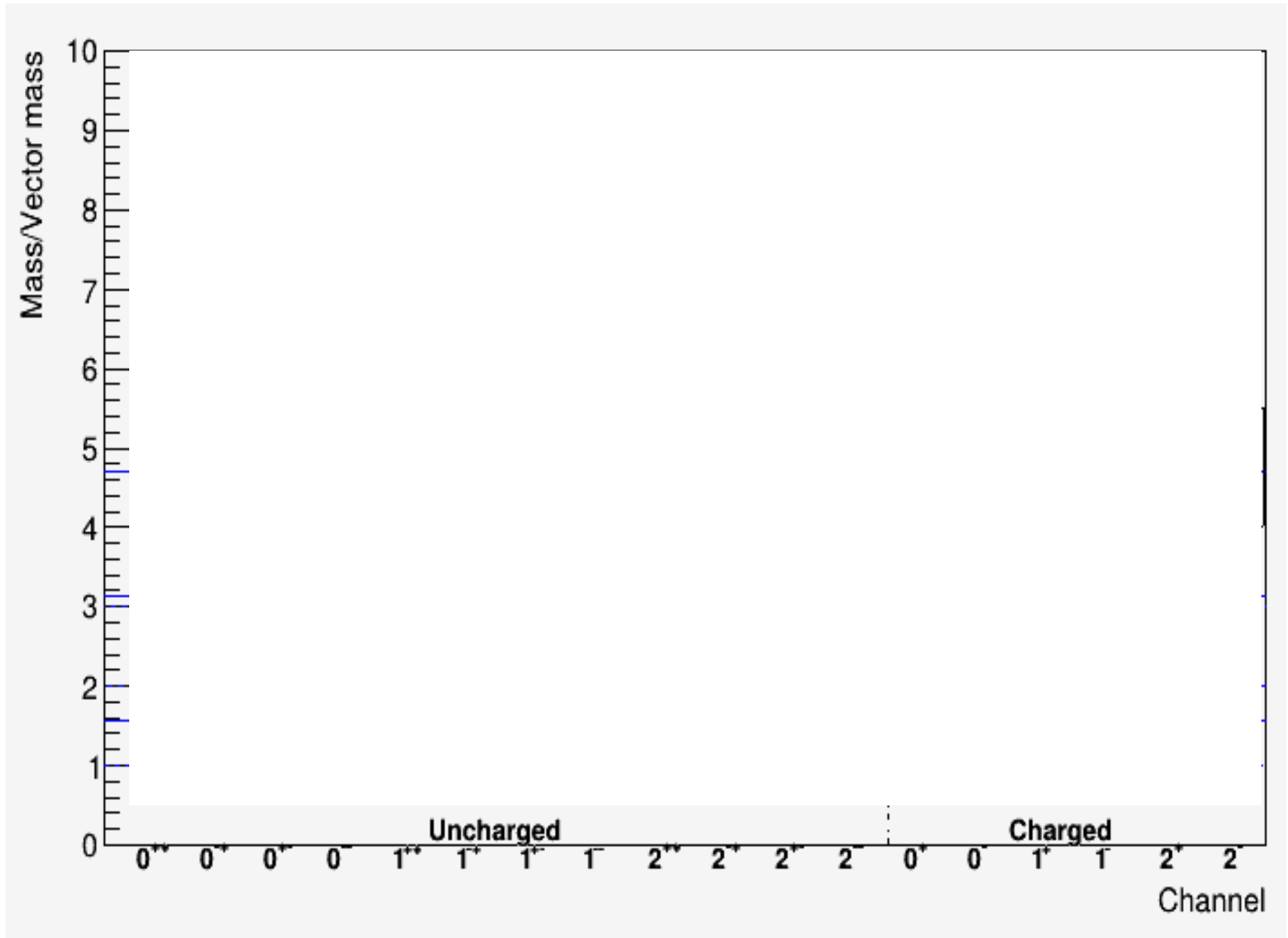
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 - All channels: $J < 3$
 - Aim: Ground state for each channel
 - Characterization through scattering states

Typical spectrum

PRELIMINARY

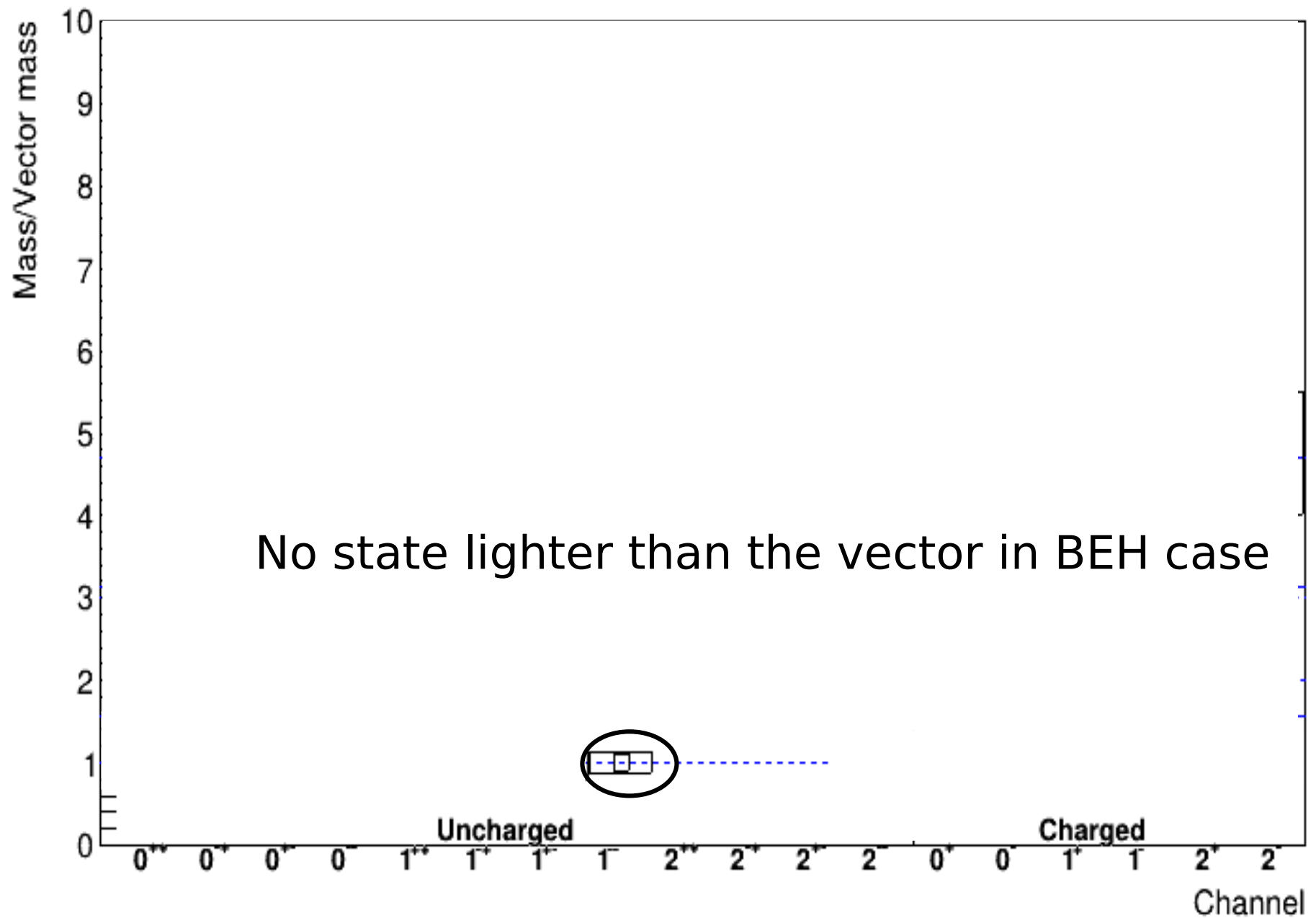
[Dobson et al.'25]



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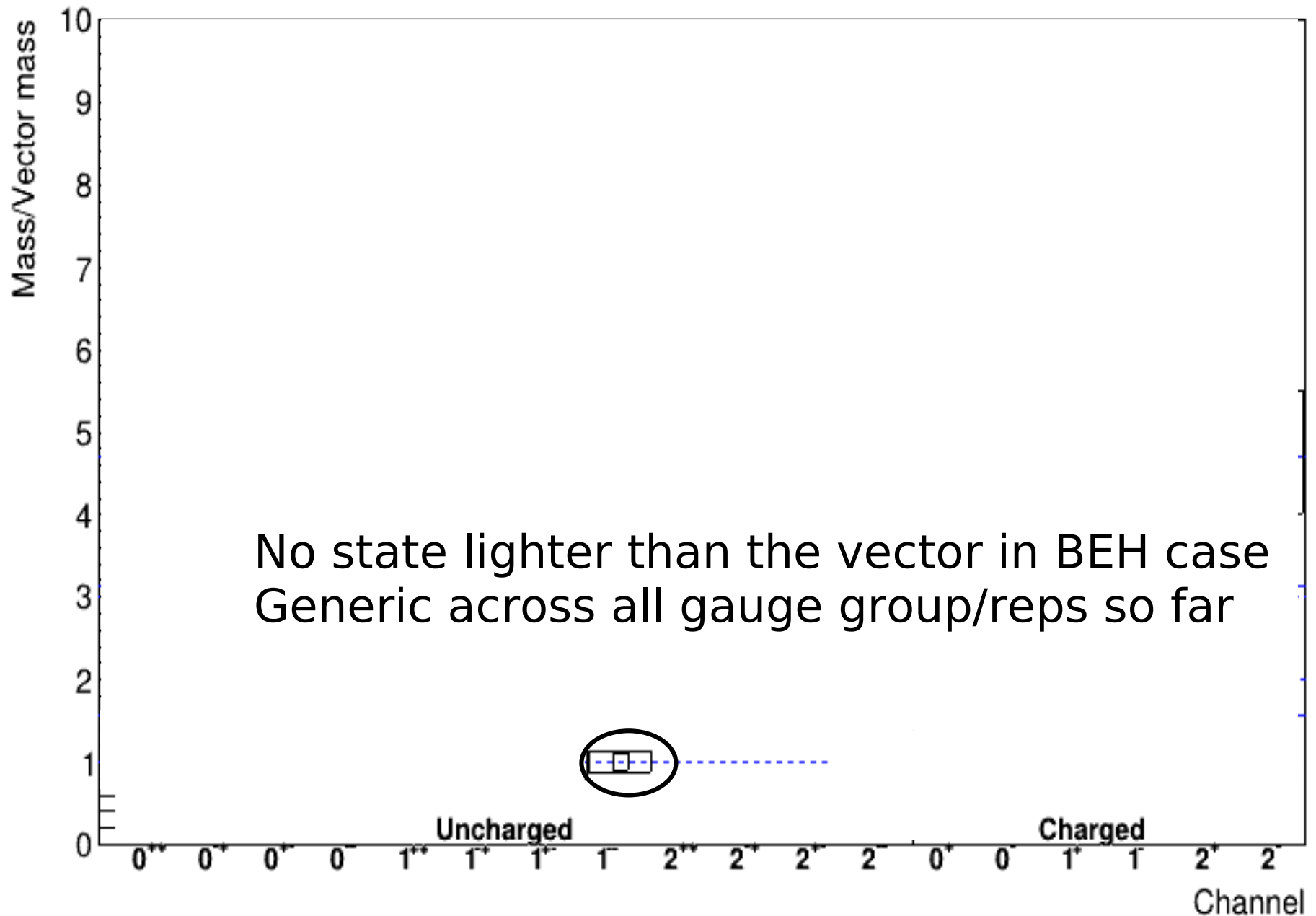
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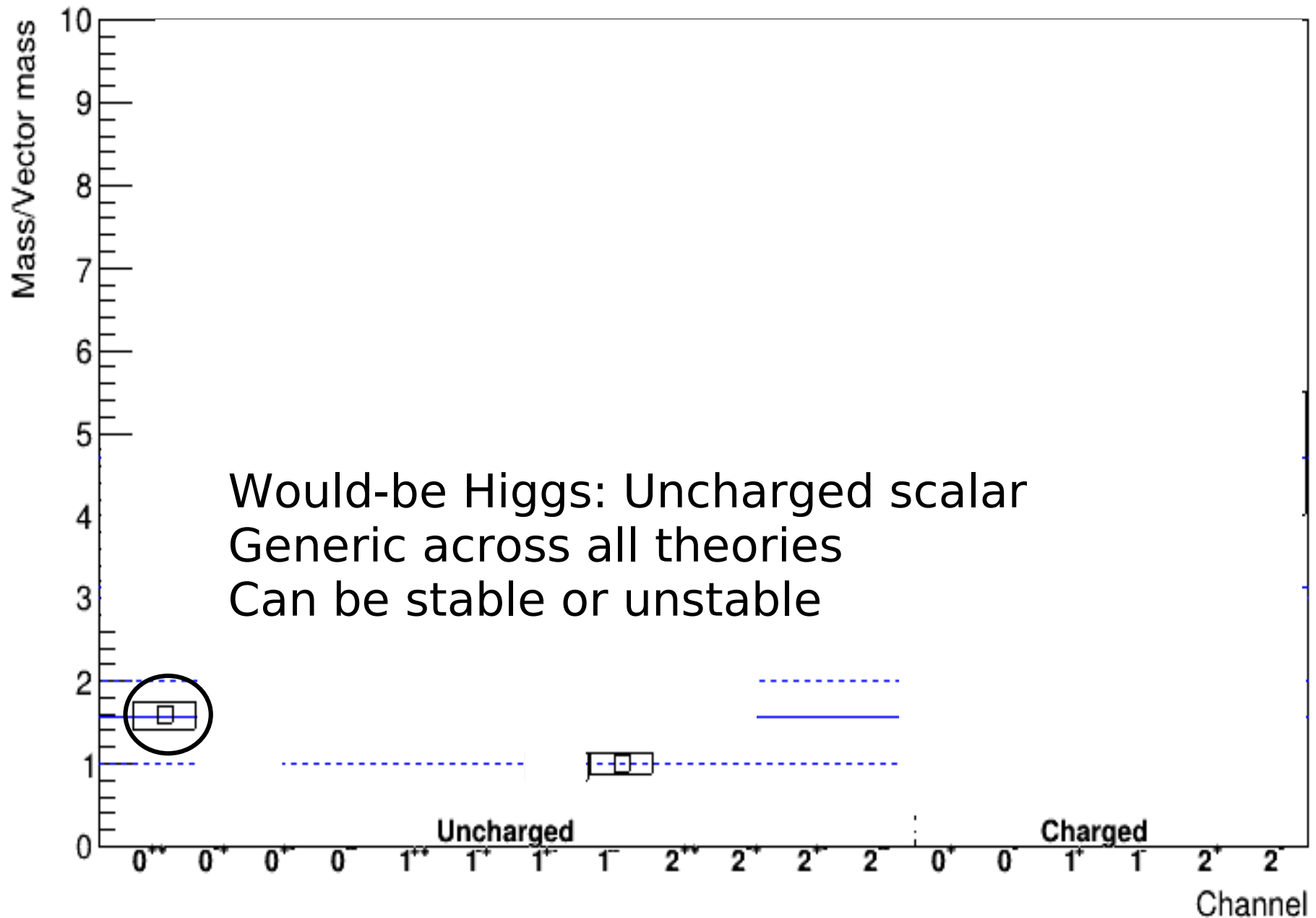
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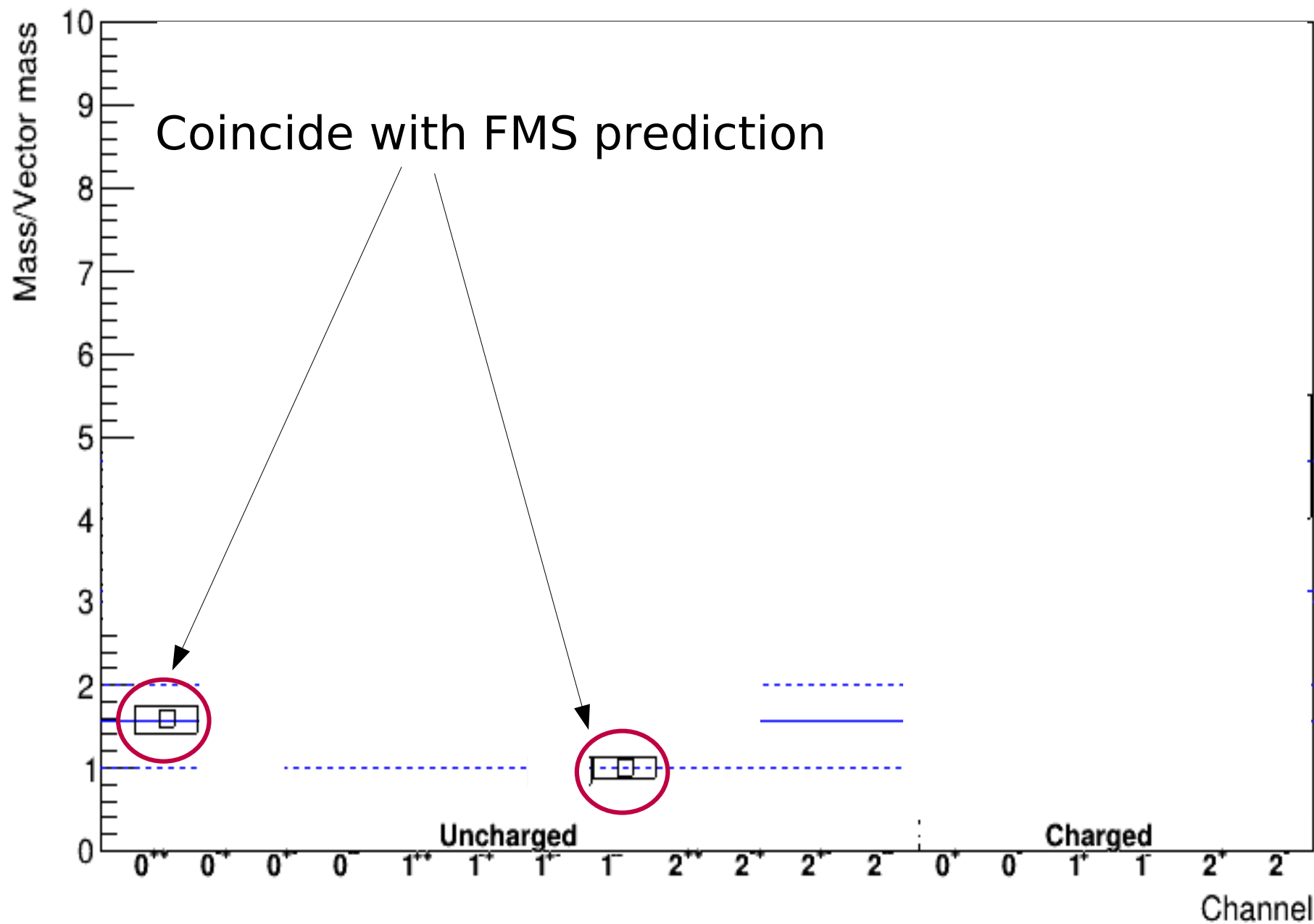
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Maas'15]



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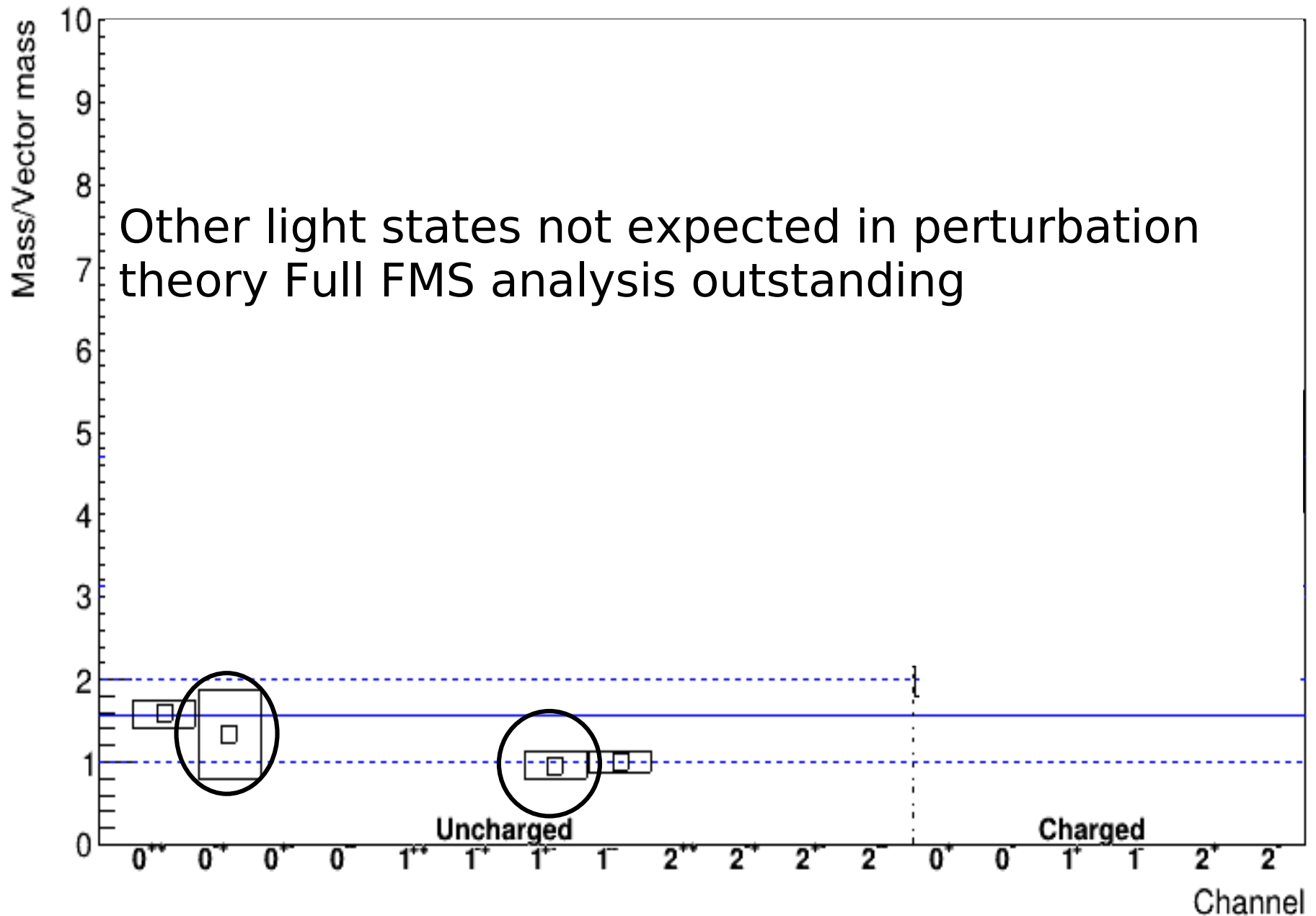
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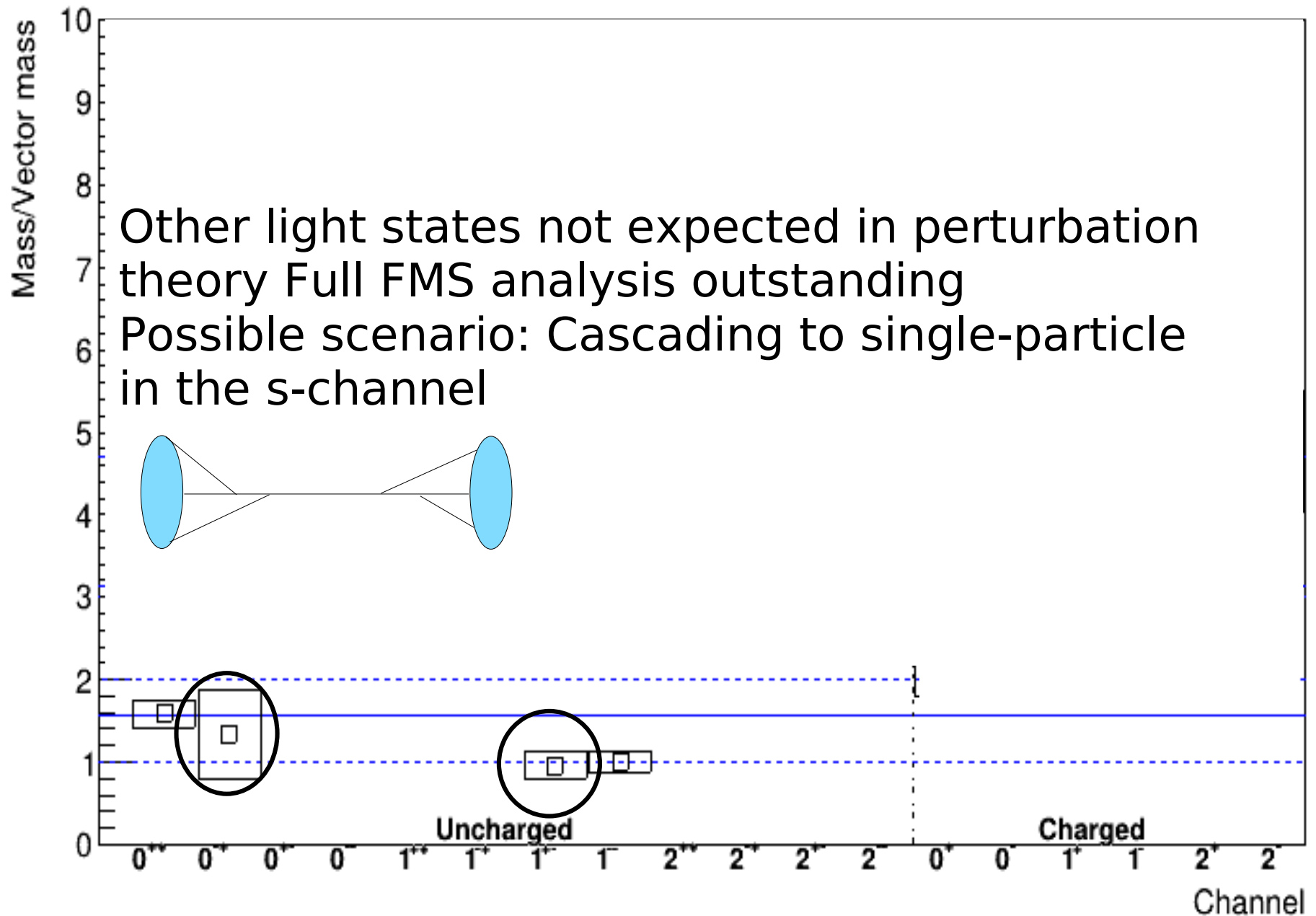
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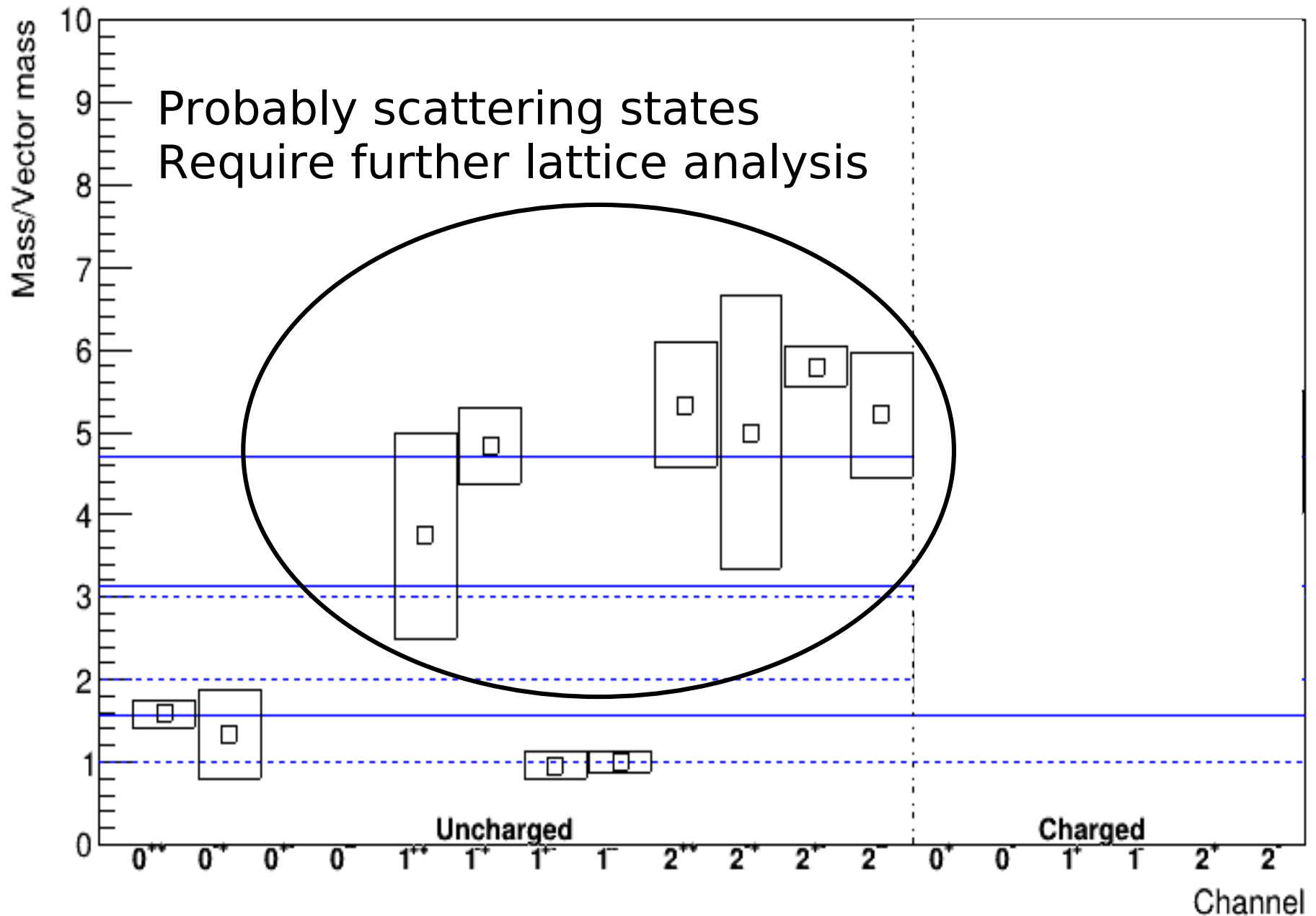
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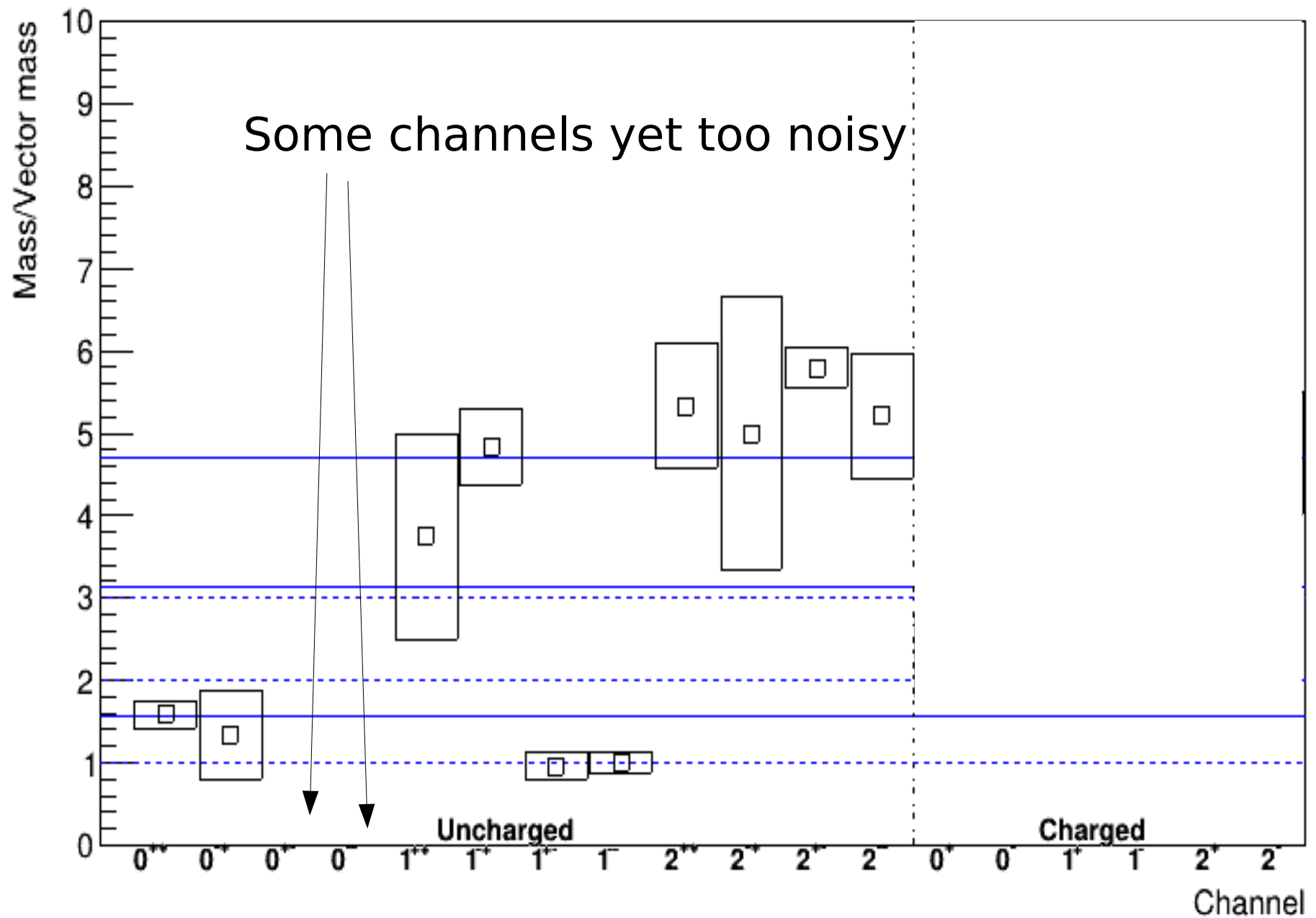
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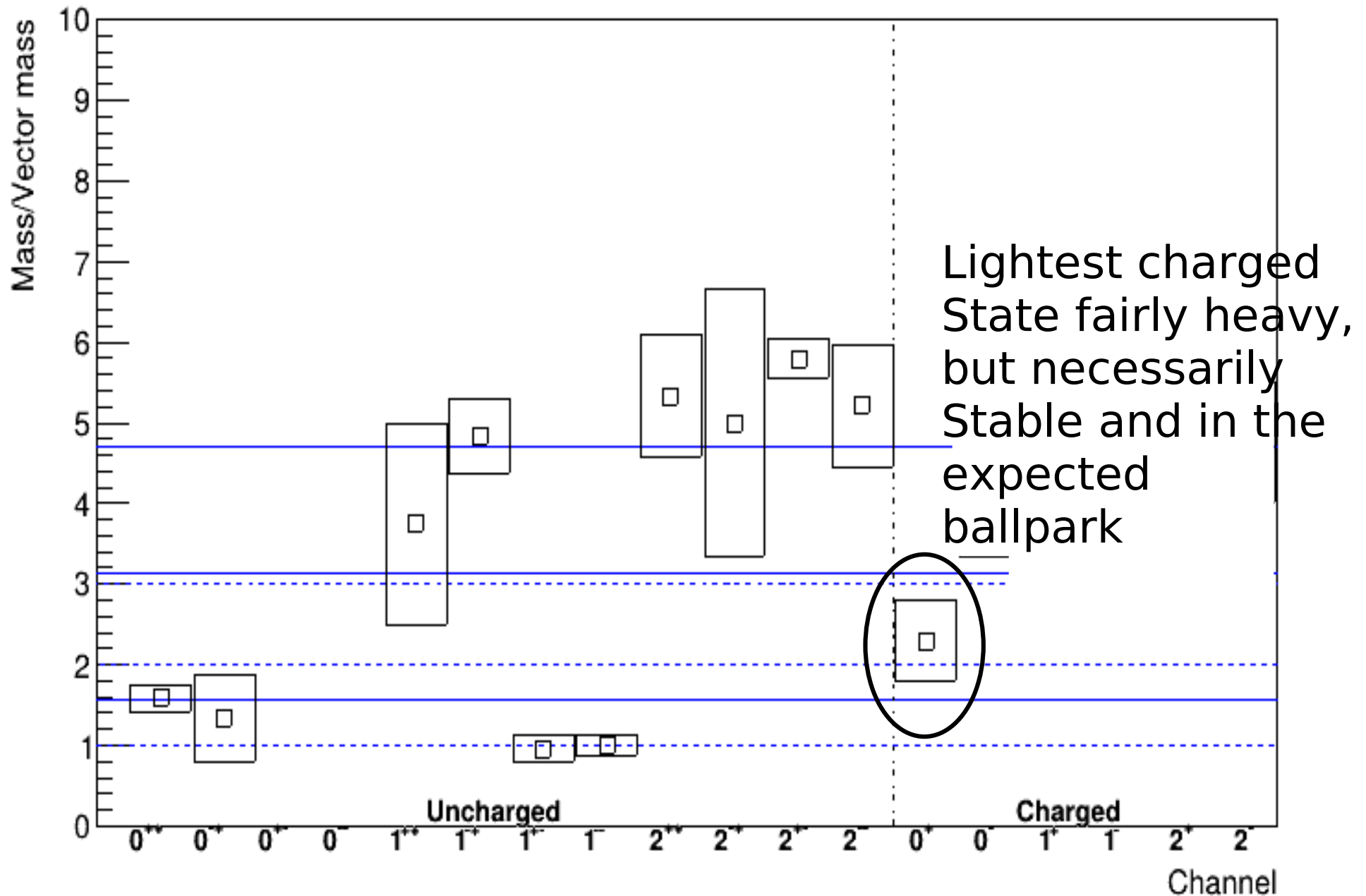
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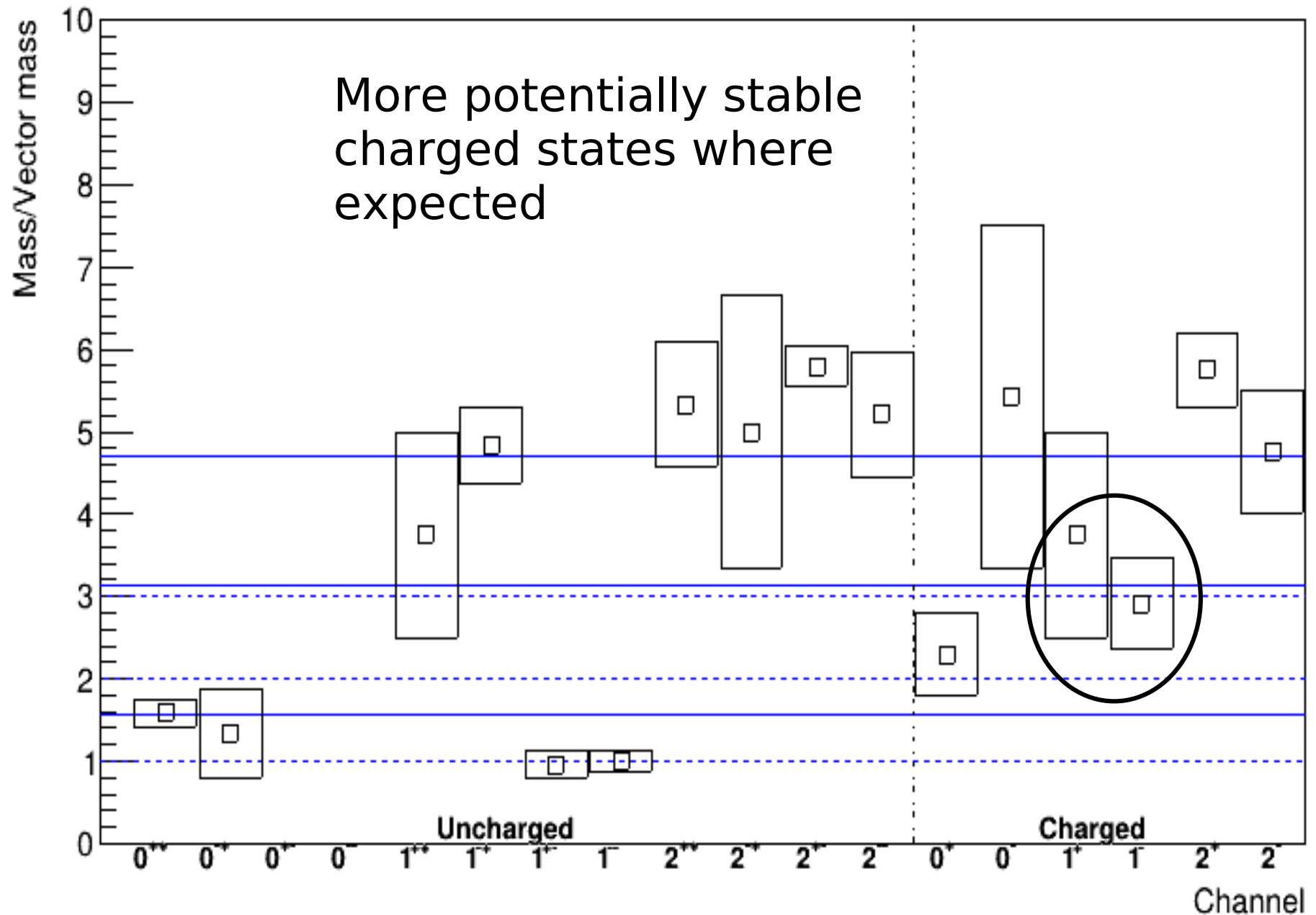
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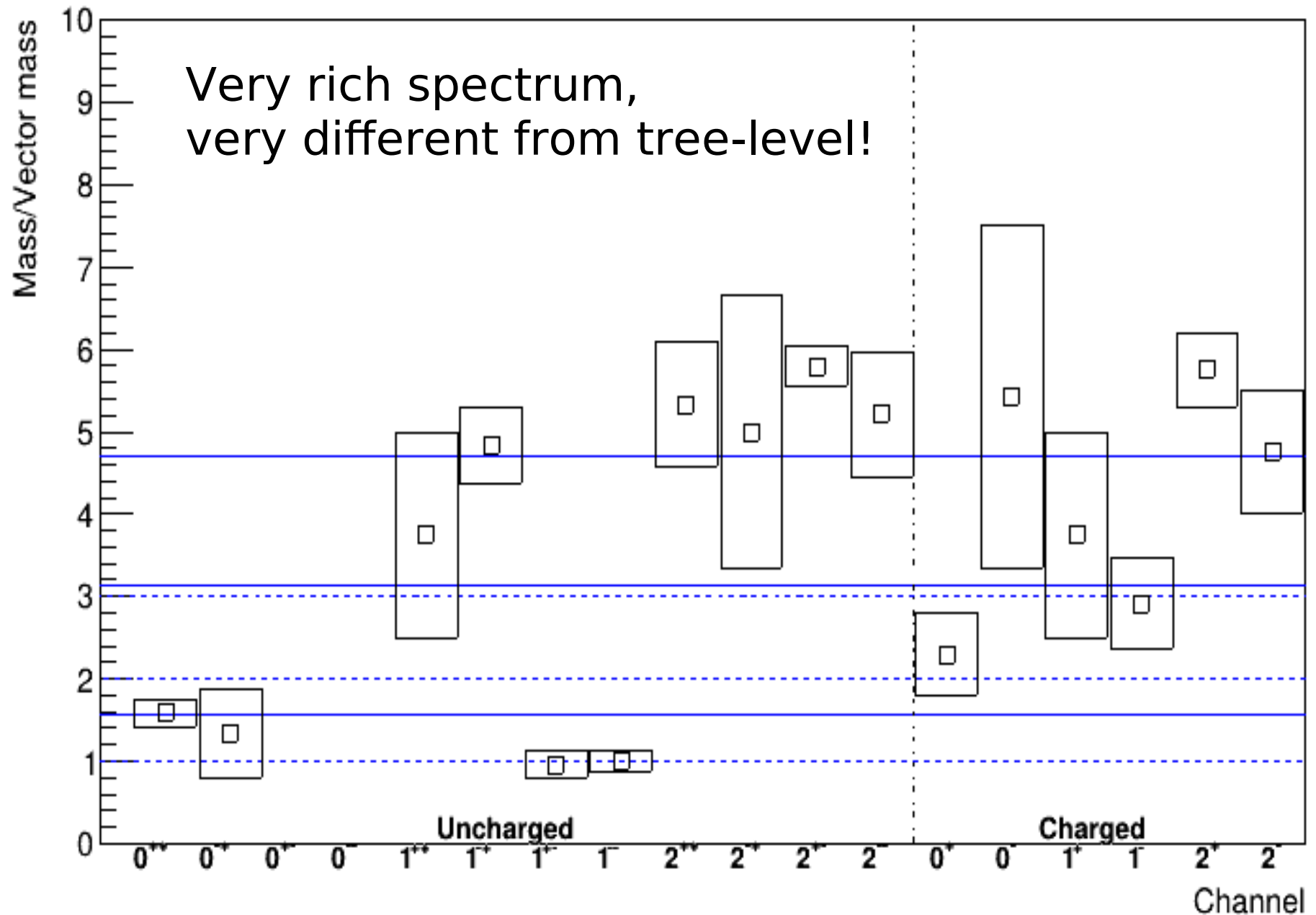
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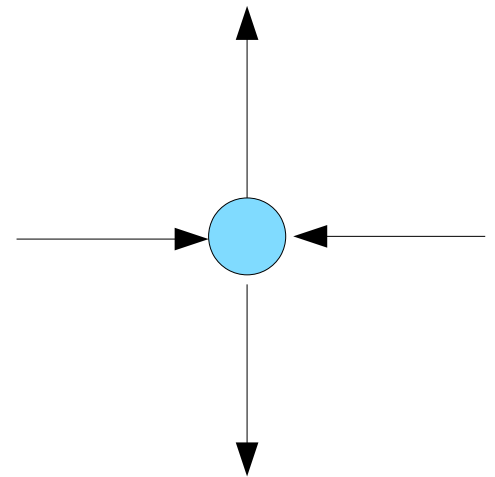
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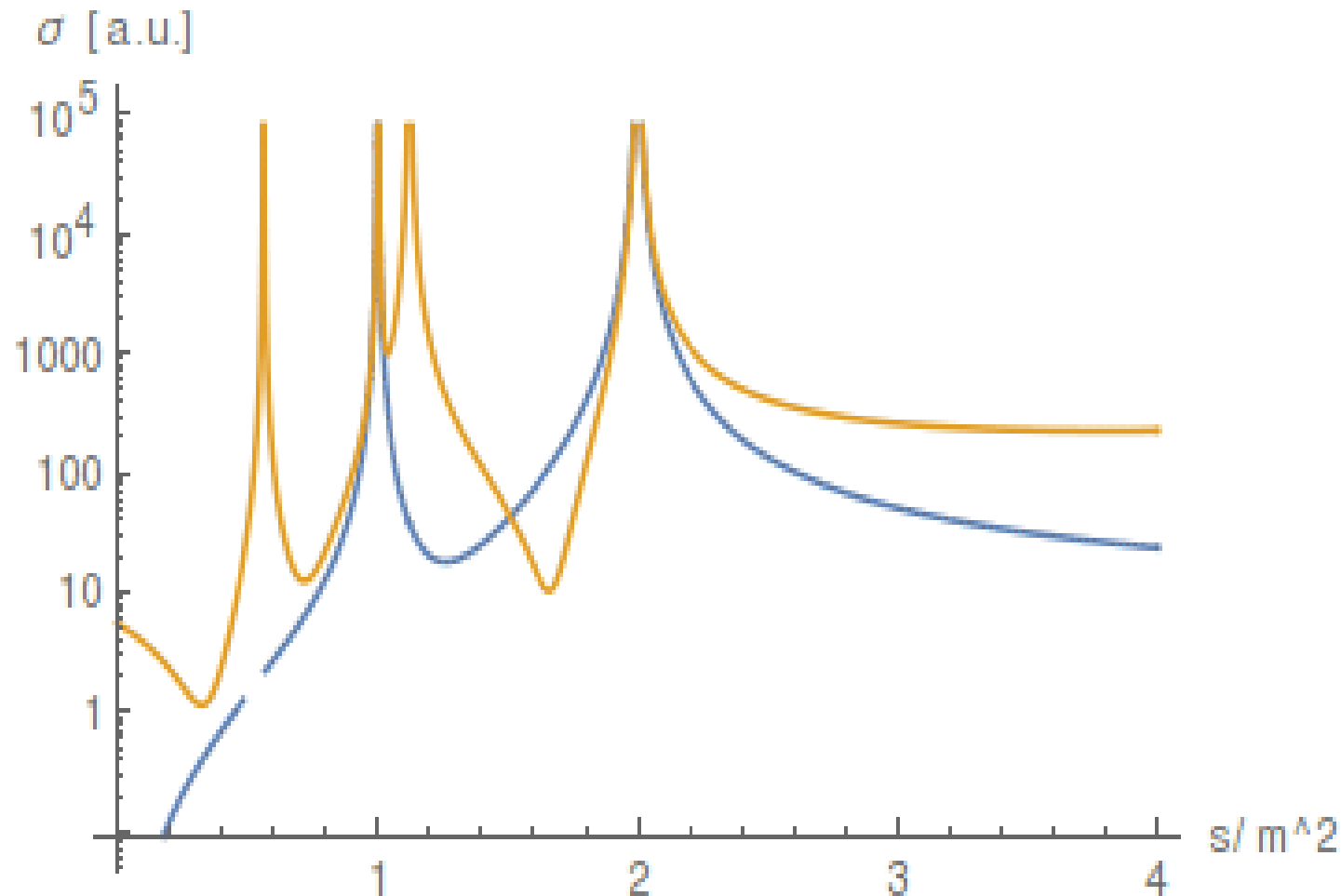
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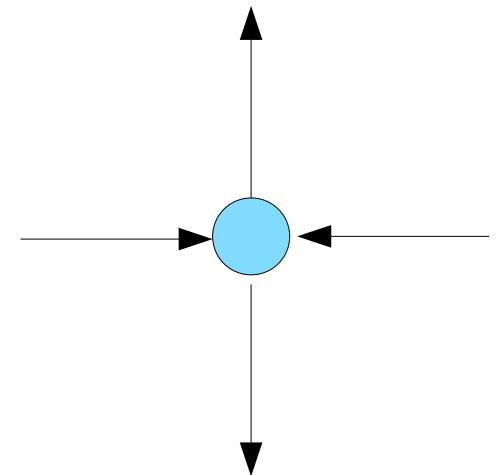


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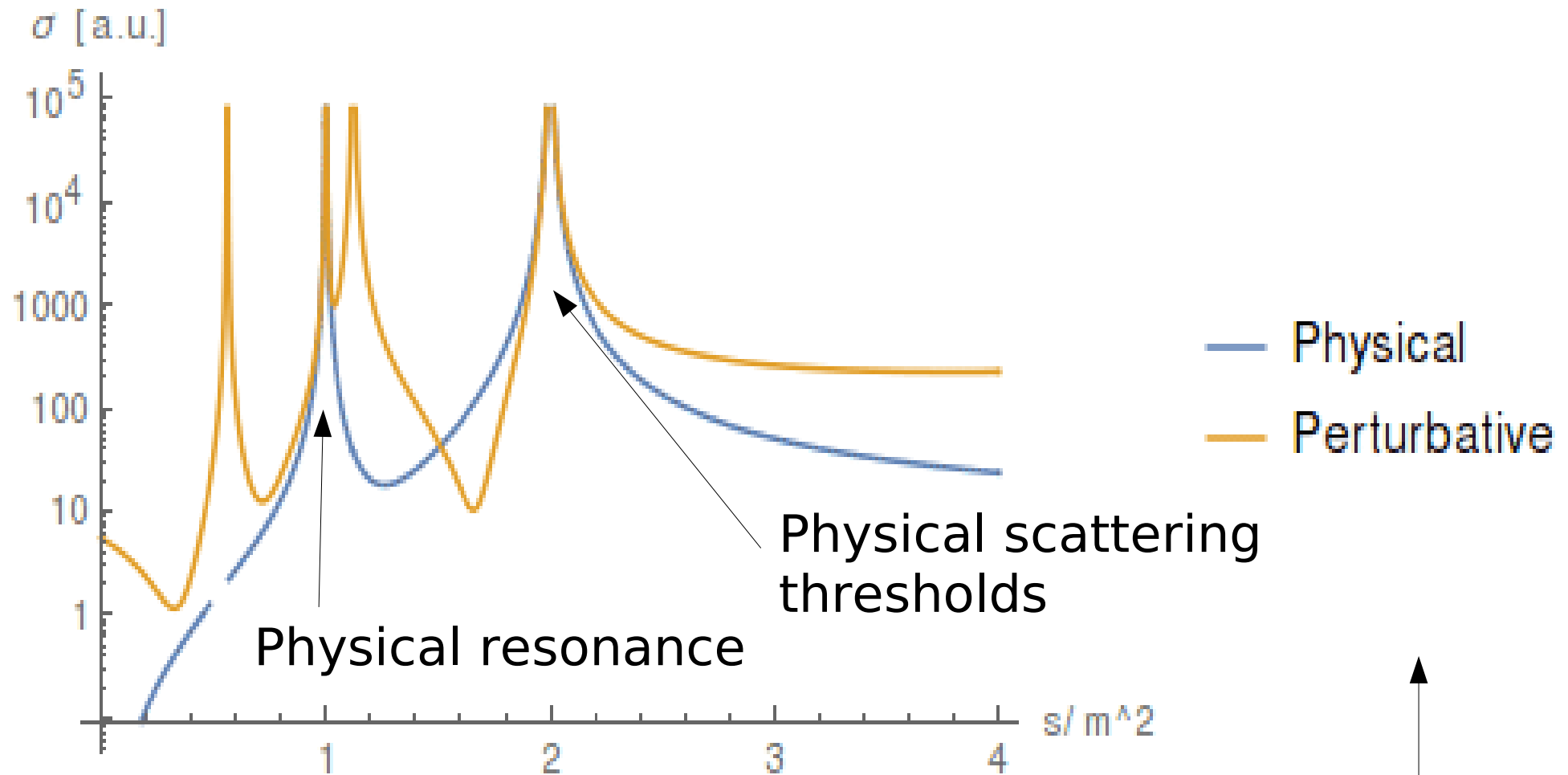


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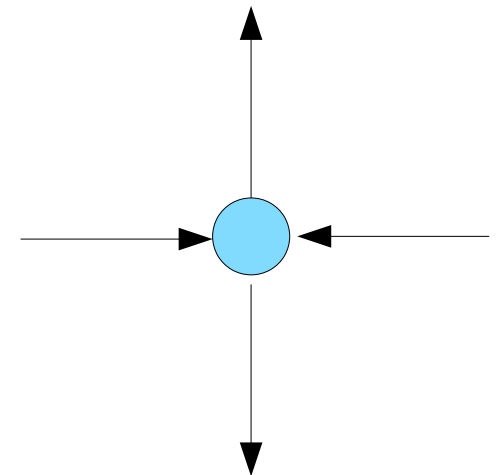


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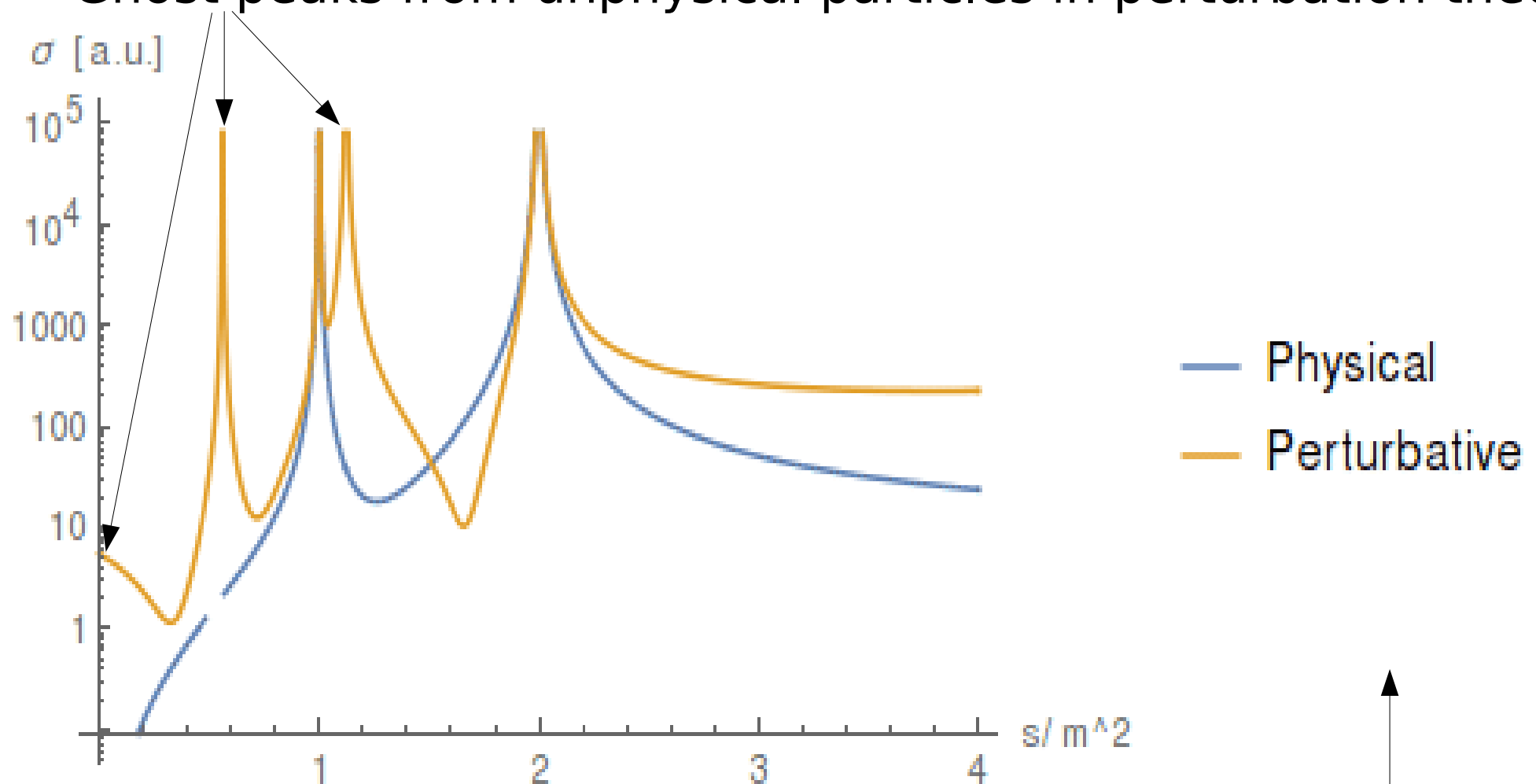
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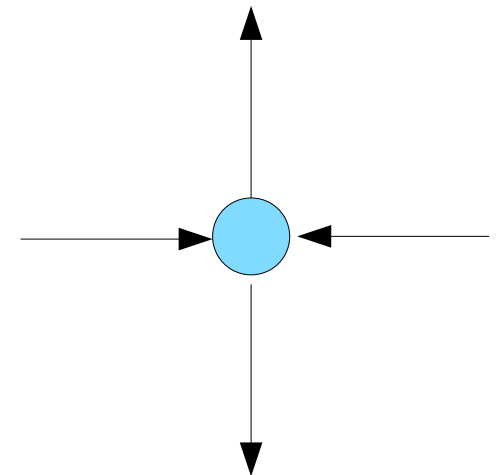
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Ghost peaks from unphysical particles in perturbation theory

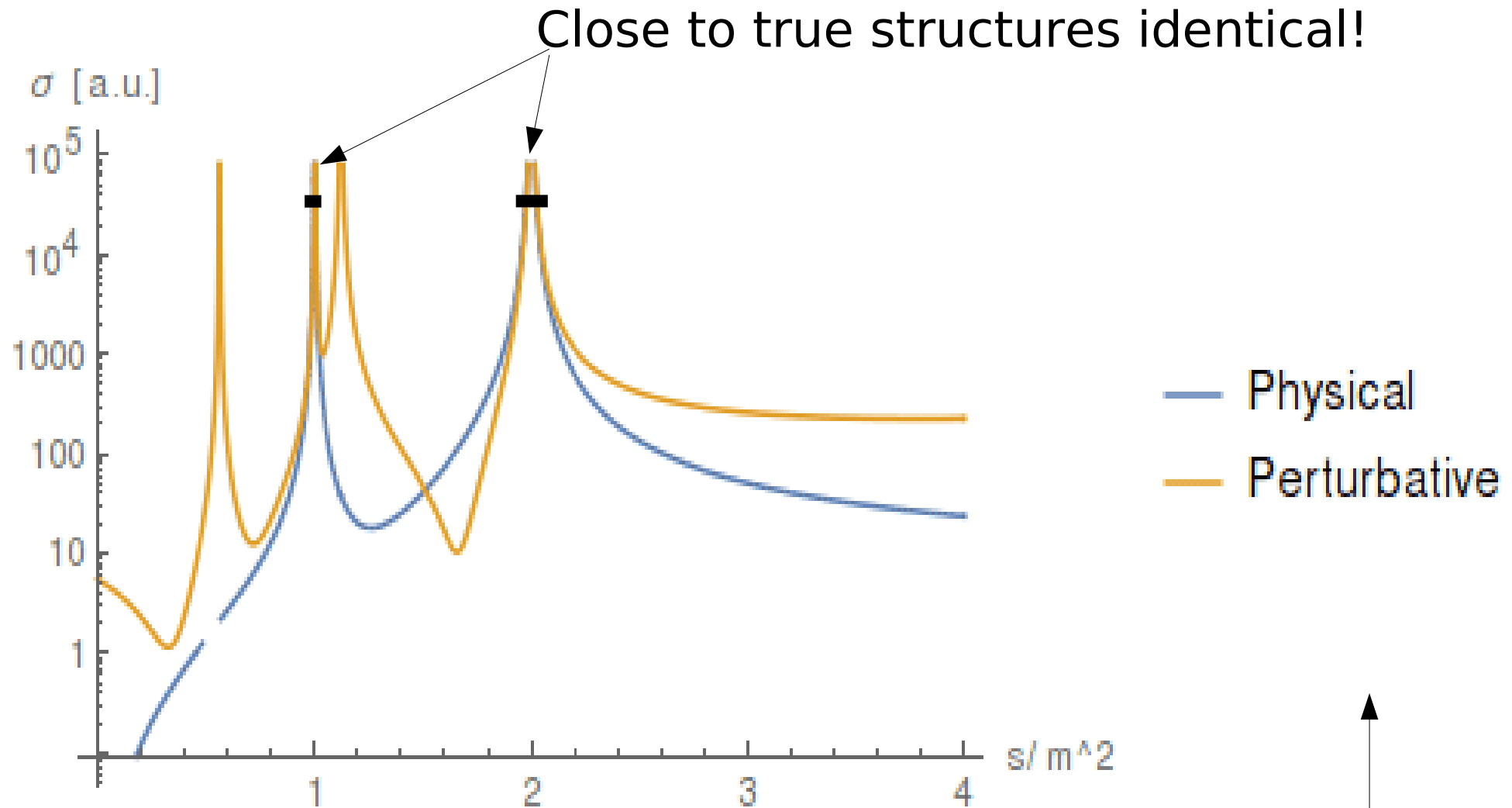


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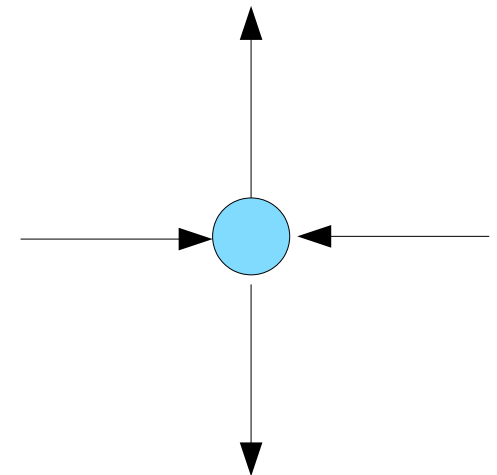


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[Maas'19,
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